



# Overview of the NTCIR-10 SpokenDoc-2 Task

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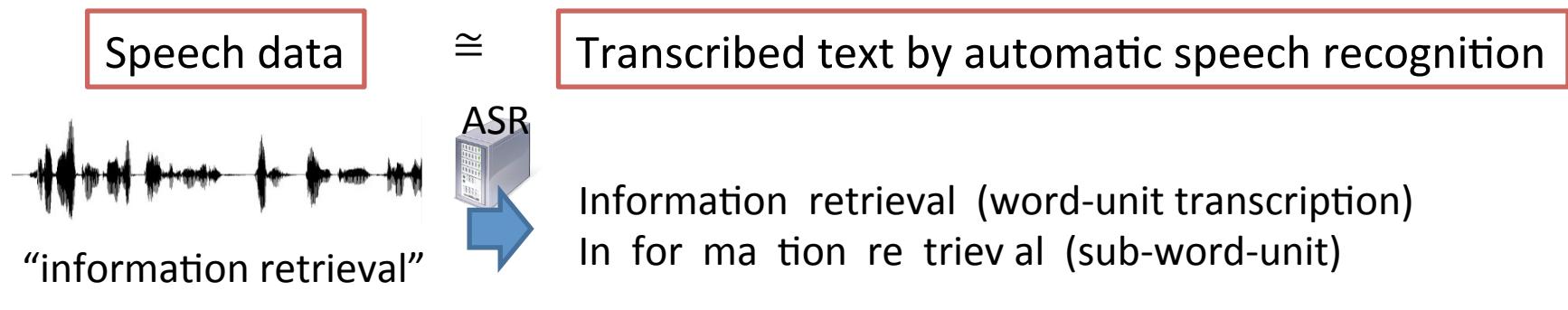
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# What is “SpokenDoc-2”?

- Second round of the IR for spoken documents
- Finding the information related to a given query from speech data



Participants of SpokenDoc-2 will challenge

Information retrieval from very noisy text data

Techniques for SpokenDoc may be used for OCR or Machine Translated text retrieval

# SpokenDoc-1 vs. SpokenDoc-2

- Previous evaluation frameworks related to Spoken Document Retrieval
  - TREC SDR Track (1996-2000), TREC Video Track (2001-2002), TRECVID (2003-2010)
  - CLEF CL-SDR (2003-2004), CLEF CL-SR (2005-2007), CLEF QAST (2007-2009), VideoCLEF (2008-2009), Mediaeval (2010-2011)
  - NIST STD evaluation (2006)
- NTCIR-9 SpokenDoc (2011)
  - Both **STD and SCR** task
  - First evaluation targeting **Japanese** and **lecture speech**
  - Investigate **Boundary-free passage retrieval task**
- NTCIR-10 SpokenDoc-2 (2013)
  - New target documents "**Corpus of Spoken Document Processing Workshop (SDPWS)**"
  - New "**inexistent spoken term detection**" (**iSTD**) task
  - Prepared **Separate query topics** for lecture and passage retrieval tasks.

# Outline

- ✓ Background
- Task Definition
  - Documents & Transcriptions
  - Subtasks
- Evaluation Results
  - STD subtask
  - SDR subtask

# Document Collection

- Corpus of Spontaneous Japanese ([CSJ](#))
  - provided from National Institute for Japanese Language and Linguistics (NINJAL)
  - 2702 lectures, 612 hours length
- Recording of Annual Spoken Document Processing Workshop ([SDPWS](#)) \*new\*
  - 104 oral presentation, 28.6 hours length

# Reference Automatic Transcriptions for CSJ and SDPWS

- Two Types of Recognition Unit
  - **Word-based transcription**
    - Produced by using the word tri-gram language model (Vocabulary Size is 27K)
  - **Syllable-based transcription**
    - Produced by using the syllable tri-gram language model (with Japanese Syllable Dictionary)
- Two Kinds of Training Condition
  - **Matched Condition**
    - Both the acoustic model and the language model were trained by using lecture speech (CSJ).
  - **Unmatched Condition**
    - The acoustic model was trained by lecture speech, while the language model was trained by newspaper articles.
- All of them are provided as N-best lists, confusion networks and word-lattices to represent the multiple recognition candidates

	<b>word-based</b>	<b>syllable-based</b>
<b>matched condition</b>	REF-WORD-MATCHED	REF-SYLLABLE-MATCHED
<b>unmatched condition</b>	REF-WORD-UNMATCHED	REF-SYLLABLE-UNMATCHED

*The organizers provided  $2 \times 2 \times 2 = 8$  transcriptions in total.*

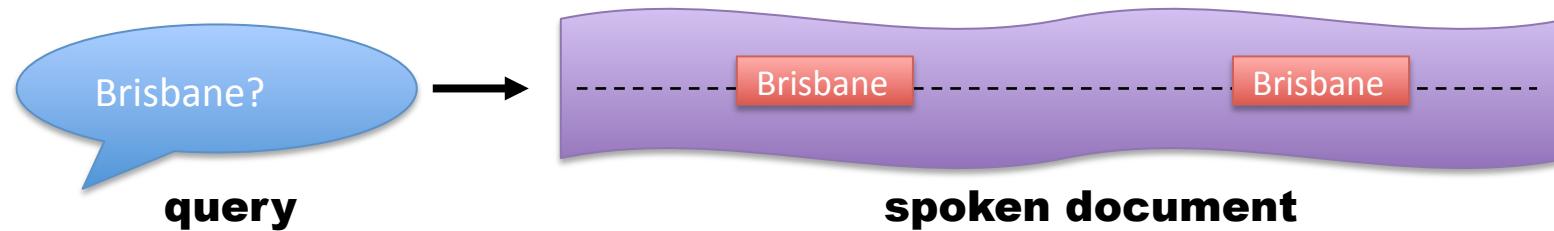
# Inter Pausal Unit (IPU)

- Speech segment surrounded between two pauses no shorter than 200ms.
  - A spoken document = a sequence of IPUs
- Used as an atomic unit in our task definition.
  - Ignore time differences within IPU
- Enable us to apply conventional IR metrics based on discrete units.



# Task Definition Overview:

- Spoken Term Detection (STD) task
  - Find the occurrence of the given query term



- Spoken Content Retrieval (SCR) task
  - Find the segments related to the given query topic



# Spoken term detection task (STD)

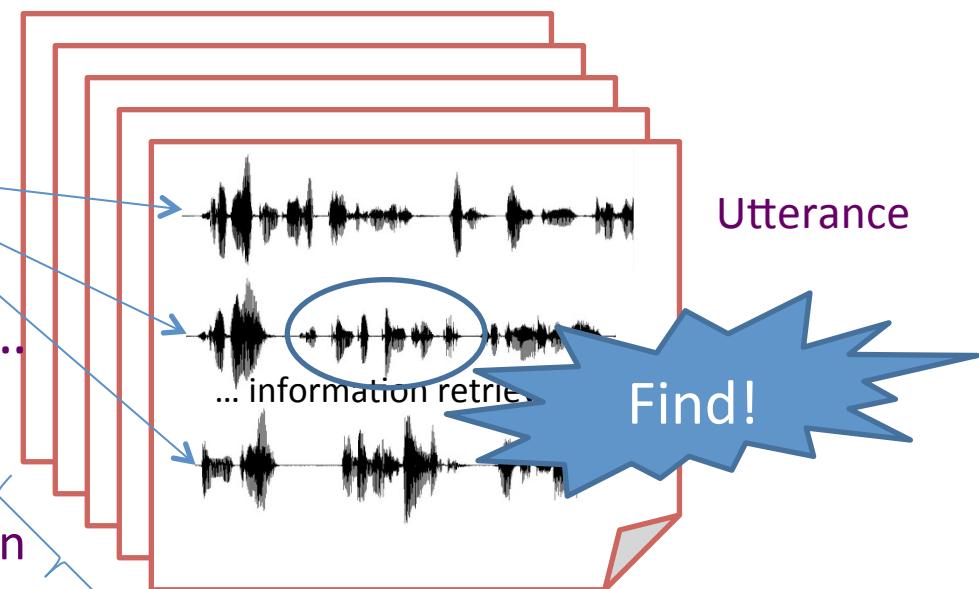
- From the target documents, the system is required to find the IPUs in that the given query term is uttered.

QUERY:

“Information Retrieval”

Spoken documents collection

Search...



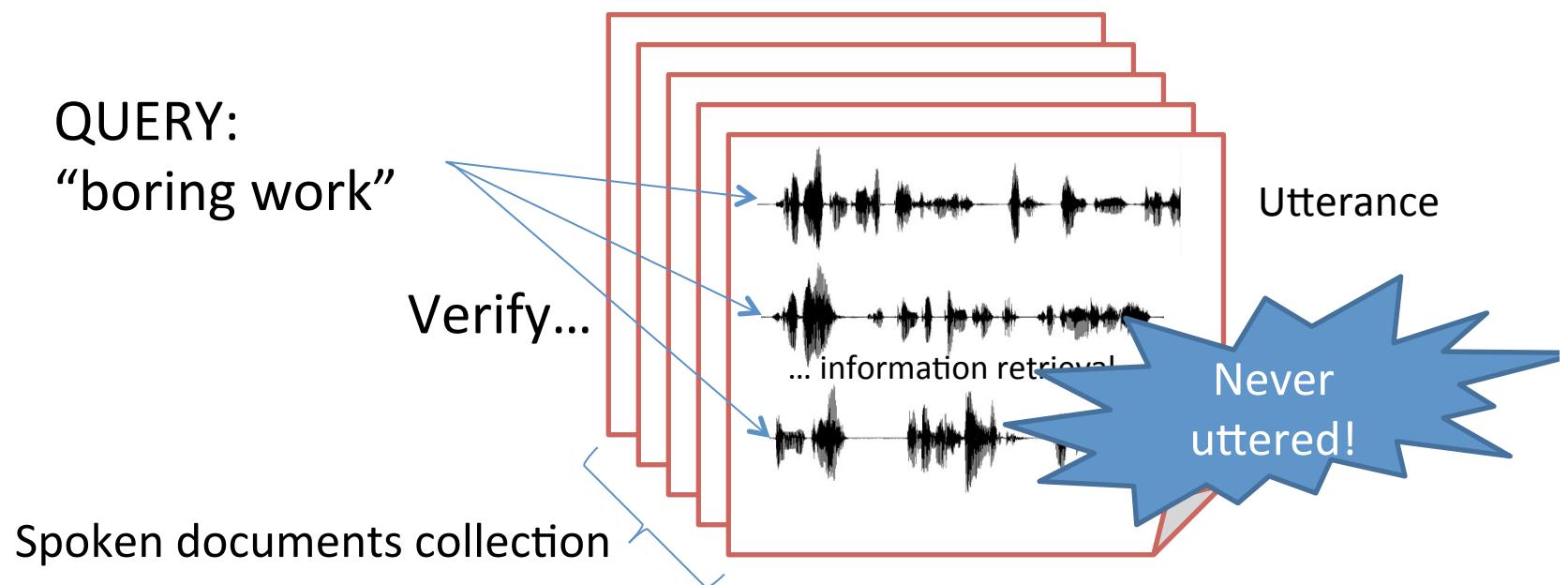
- Target: [CSJ \(large-size task\)](#) or [SDPWS \(moderate-size task\)](#)
- Input: a query term
- Output: a list of scored **Inter Pausal Units (IPUs)**

# Evaluation Metrics for STD task

- Using IPUs as the basic unit.
  - Precision, Recall, and F-measure (micro/macro average)
    - Recall-precision curve
    - F-measure at the specified detection threshold (actual F-measure)
    - max F-measure
  - Mean Average Precision (macro average)

# Inexistent spoken term detection task (iSTD) \*new\*

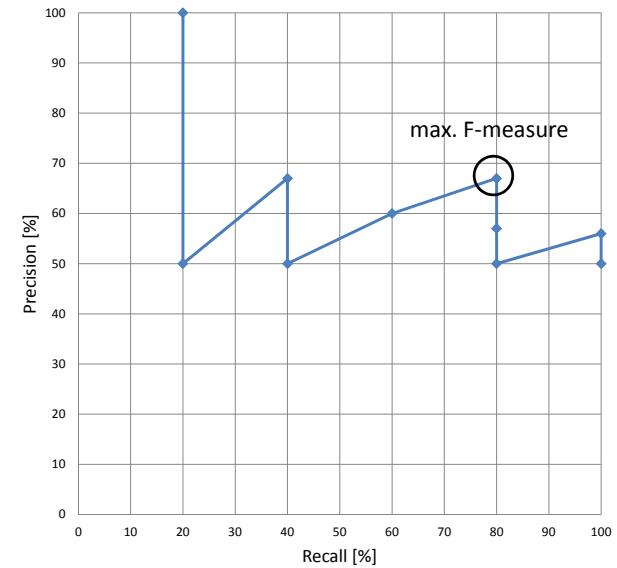
- Which query terms are **not** uttered in a given spoken document collection?



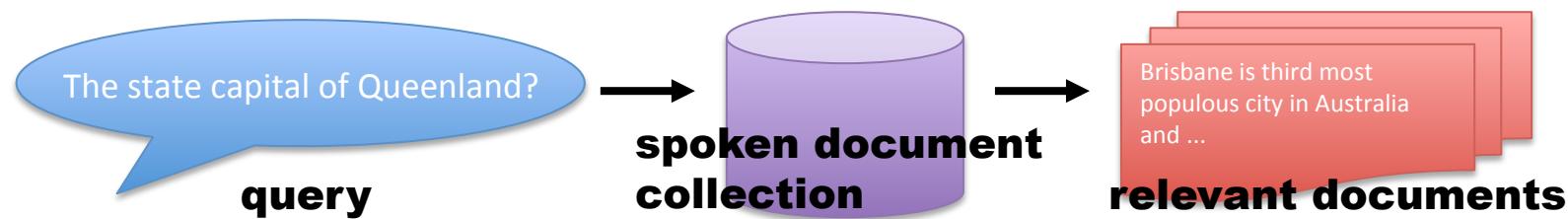
- Target: **SDPWS**
- Input: a list of query terms
- Output: a ranked list of "not uttered" query terms

# Evaluation Metrics of iSTD task

- Recall, Precision, and F-measure at Top-N of the ranked list.
  - F-measure at N=100.
  - F-measure at run specified N.
  - Maximum F-measure among N.
  - Recall-Precision curve.



# Task Definition of SCR task



- From the target documents, the system is required to find the **segments** that are relevant to the given query topic.
  - Granularity of a segment: **lecture** or **passage**
  - Target: **CSJ (lecture retrieval task)** or **SDPWS (passage retrieval task)**
  - Input: a query topic
  - Output: an ordered list of segments

# An Example Query Topic and its Relevant Passages

Query: 情報検索性能を評価するにはどのような方法があるか知りたい。  
(How can we evaluate the performance of information retrieval?)

## sequence of IPUs

- |  |                  |
|--|------------------|
| 0072: <雑音>   | Relevant Passage |
| 0073: (D ふそ)   |                  |
| 0074: (F え一)漏れなくという方に関係している                              |                  |
| 0075: で(F その)評価尺度としていわゆる再現率と呼ばれているものは(F その)どれだけ(D も)網羅的に |                  |
| 0076: (F え一)検索ができるかということを表わす尺度です                         |                  |
| 0077: <雑音>   | Relevant Passage |
| 0078: (F え)もう一つの   |                  |
| 0079: (F え)スペシフィシティーというのは(F その一)                         |                  |
| 0080: もう一方の特徴で(F あの)目的の重要な要素である(F その)正確に                 |                  |
| 0081: (F え一)検索するということに関係してますこれは(F あの一)評価尺度で言うと           |                  |
| 0082: <雑音>   | Relevant Passage |
| 0083: (F え)精度  |                  |
| 0084: (F え一)プリシジョンと呼ばれてるやつですね精度                          |                  |
| 0085: に関係するもんすけれど(F その)できるだけ(F その)文書の                    |                  |
| 0086: 内容   |                  |
| 0087: を特徴的な要素を掴まえている                                     | Relevant Passage |
| 0088: という  |                  |
| 0089: ことが(F ま)望ましい訳です                                    |                  |
| 0090: で当然のことなんか(F ま)両者はある程度(D 排)                         |                  |

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# Evaluation Metrics for SCR task

- For lecture retrieval task
  - Mean Average Precision (MAP)
- For passage retrieval task
  - Utterance-based metric
    - utterance-based MAP (uMAP)
  - Passage-based metric
    - point-wise MAP (pwMAP)
    - fractional MAP (fMAP)

# Evaluation of Passage Retrieval

Q:情報検索性能を評価するにはどのような方法があるか知りたい。

0072: <雑音>

0073: (D ふそ)

0074: (F えー)漏れなくという方に関係している

0075: で(F その)評価尺度としていわゆる再現率と呼ばれているものは(F その)どれだけ(D も)網羅的に

0076: (F えー)検索ができるかということを表わす尺度です

Relevant Passage

0077: <雑音>

0078: (F え)もう一つの

0079: (F え)スペシフィシティーというのは(F その一)

0080: もう一方の特徴で(F あの)目的の重要な要素である(F その)正確に

0081: (F えー)検索するということに関係してますこれは(F あのー)評価尺度で言うと

0082: <雑音>

0083: (F え)精度

0084: (F えー)プリシジョンと呼ばれてるやつですね精度

0085: に関係するもんすけれど(F その)できるだけ(F その)文書の

0086: 内容

Relevant Passage

0087: を特徴的な要素を掴まえている

0088: という

0089: ことが(F ま)望ましい訳です

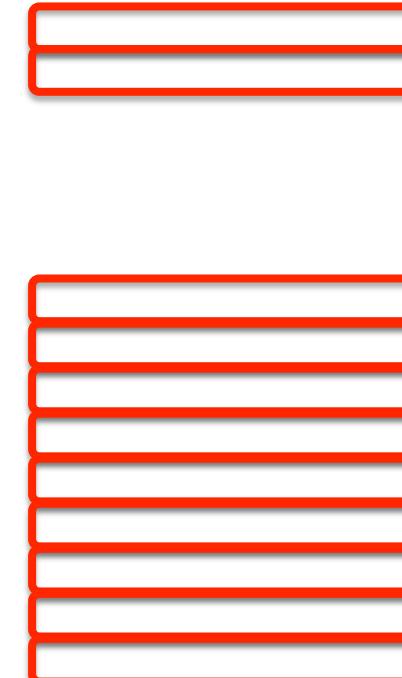
0090: で当然のことなんか(F ま)両者はある程度(D 排)

System Output

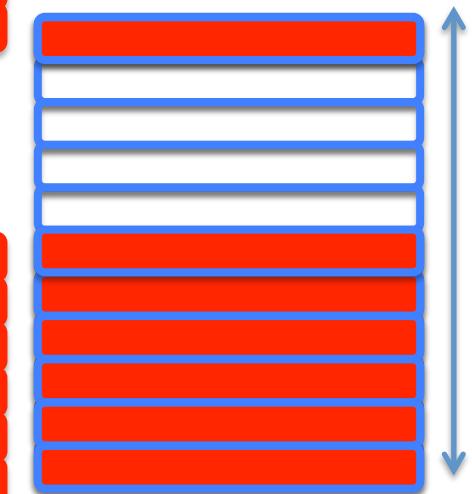
# Utterance-based MAP (uMAP)

0072: <雑音>  
0073: (D ふそ)  
0074: (F えー)漏れなくという方に関係し ...  
**0075: で(F その)評価尺度としていわゆる ...**  
**0076: (F えー)検索ができるかという ...**  
0077: <雑音>  
0078: (F え)もう一つの  
0079: (F え)スペシフィシティーというの ...  
0080: もう一方の特徴で(F あの)目的の ...  
0081: (F えー)検索するということに關 ...  
0082: <雑音>  
0083: (F え)精度  
0084: (F えー)プリシジョンと呼ばれて ...  
0085: に關係するもんすけれど(F ...  
0086: 内容  
0087: を特徴的な要素を掴まえている  
0088: という  
0089: ことが(F ま)望ましい訳です  
0090: で当然のことな ...

Relevant  
IPUs

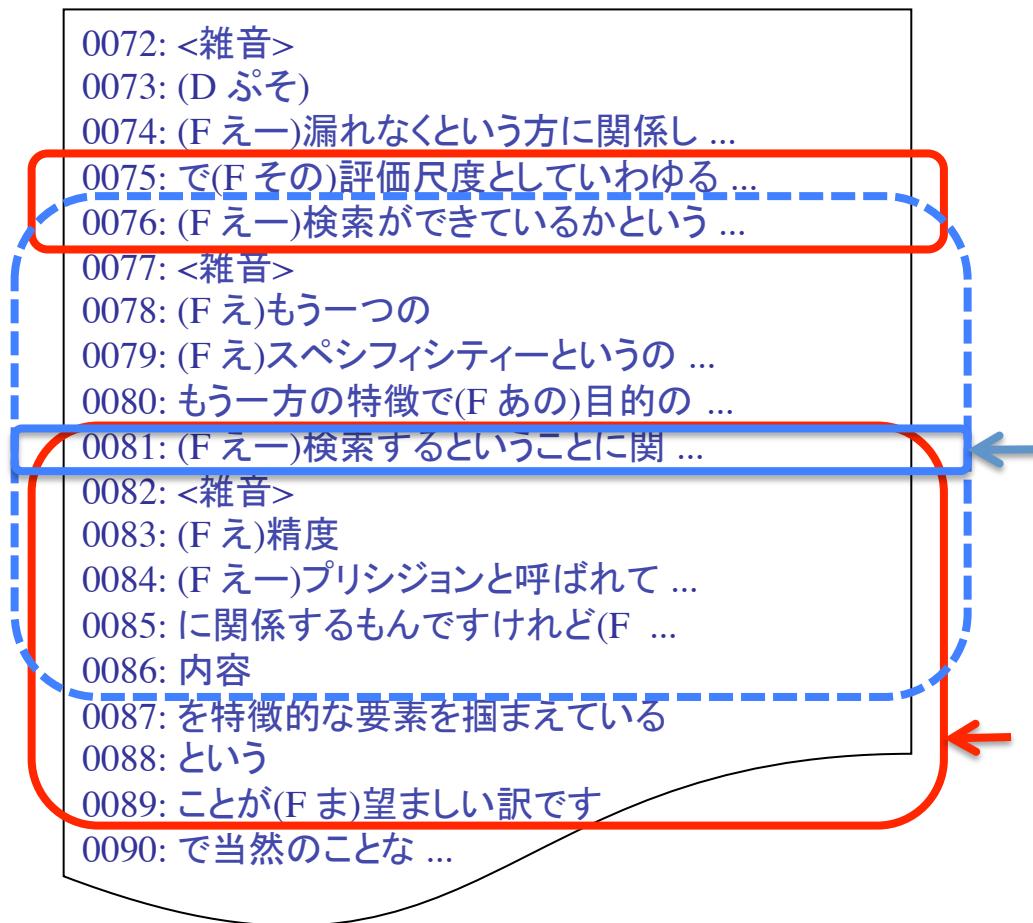


Retrieved  
IPUs



IPUs are reordered  
within the passage  
so as to maximize  
MAP measure.

# Point-wise MAP (pwMAP)



Center IPU of  
Retrieved Passage

This relevant passage as a whole  
is considered to be retrieved.

# Fractional MAP (fMAP)

- 0072: <雑音>
- 0073: (D ふそ)
- 0074: (F えー)漏れなくという方に関係し ...
- 0075: で(F その)評価尺度としていわゆる ...**
- 0076: (F えー)検索ができるかという ...**
- 0077: <雑音>
- 0078: (F え)もう一つの
- 0079: (F え)スペシフィシティーというの ...
- 0080: もう一方の特徴で(F あの)目的の ...
- 0081: (F えー)検索するということに關 ...**
- 0082: <雑音>
- 0083: (F え)精度
- 0084: (F えー)プリシジョンと呼ばれて ...
- 0085: に関係するもんすけれど(F ...
- 0086: 内容
- 0087: を特徴的な要素を掴まえている
- 0088: という
- 0089: ことが(F ま)望ましい訳です**
- 0090: で当然のことな ...

$$fAveP_q =$$

$$\frac{1}{|R_q|} \sum_{i=1}^{|P_q|} \boxed{rel(p_i, R_q)} \frac{\sum_{j=1}^i prec(p_j, R_q)}{i}$$

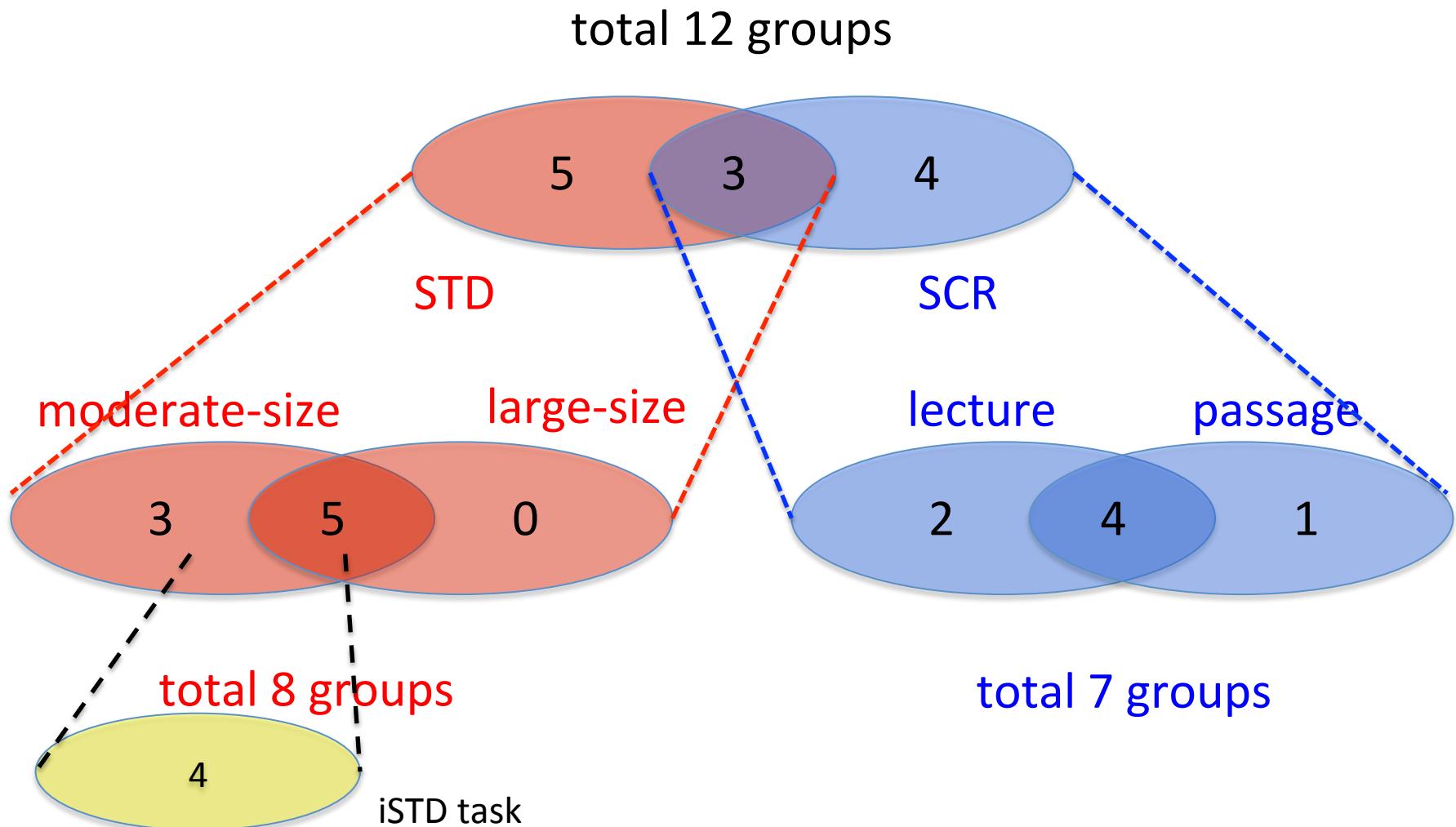
$$prec(p, R_q) = \max_{r \in R_q} \frac{|r \cap p|}{|p|}$$

$$rel(p, R_q) = \max_{r \in R_q} \frac{|r \cap p|}{|r|}$$

# Outline

- ✓ Background
- ✓ Task Definition
  - ✓ Documents & Transcriptions
  - ✓ Subtasks
- Evaluation Results
  - STD task
  - SCR task

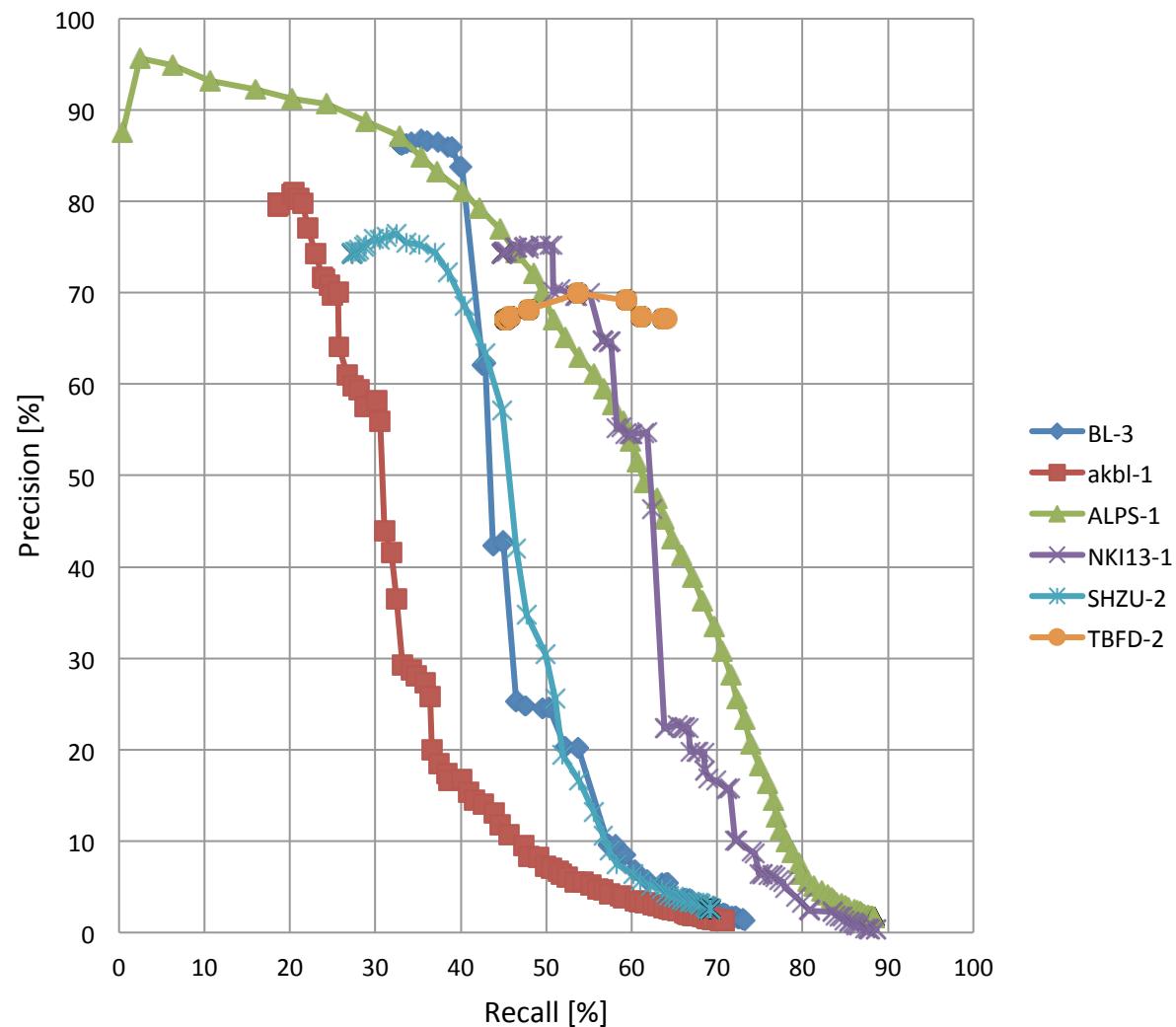
# Participant Groups



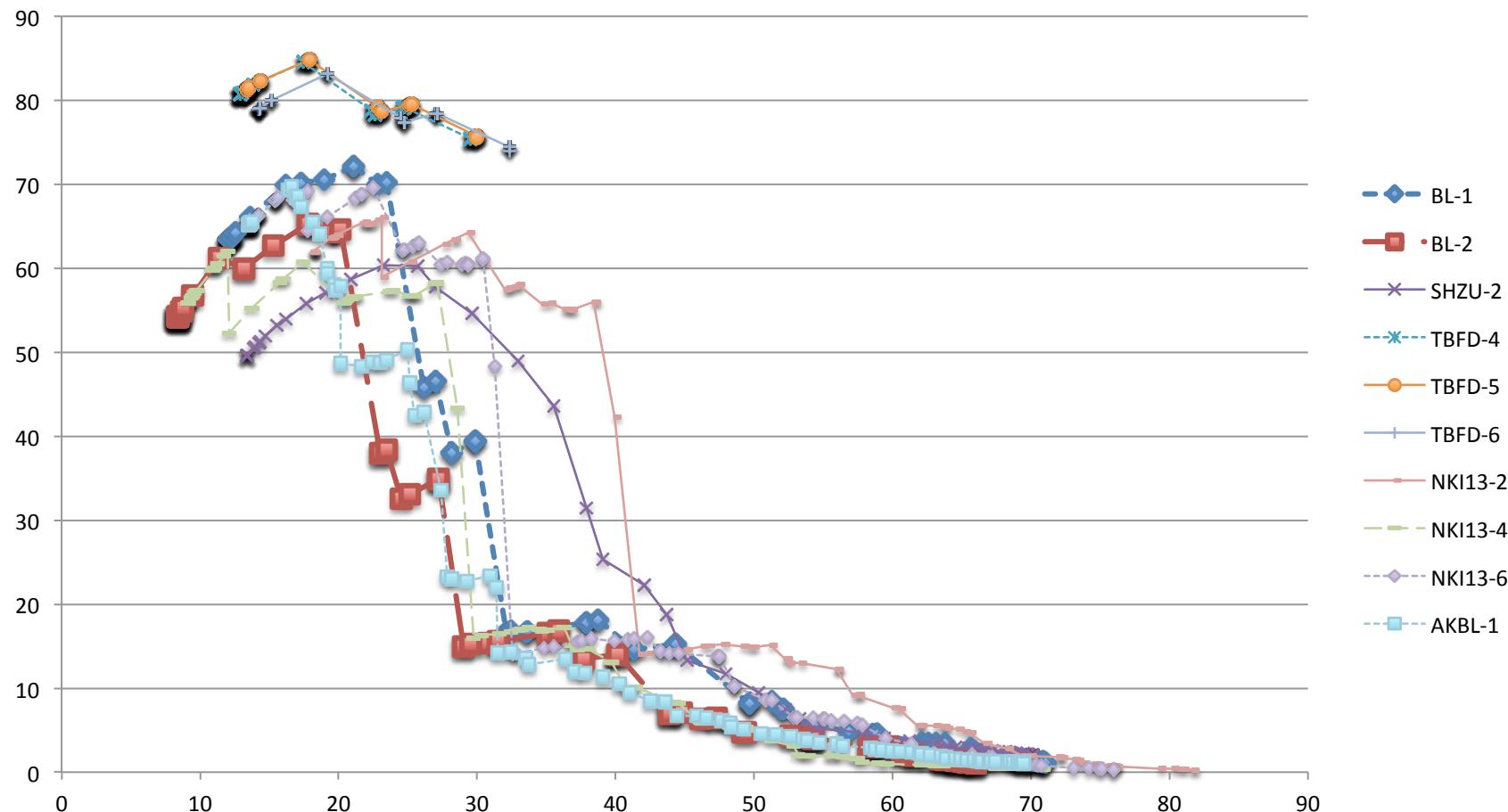
# Baseline runs for STD task

- Edit distance-based Continuous DP matching against ...
  - BL-1: REF-SYLLABLE-MATCHED
  - BL-2: REF-WORD-MATCHED
  - BL-3: REF-WORD-MATCHED for IV query terms,  
REF-SYLLABLE-MATCHED for OOV query terms
- For iSTD task,
  - Rank query terms according to the lowest detection score throughout document collection.

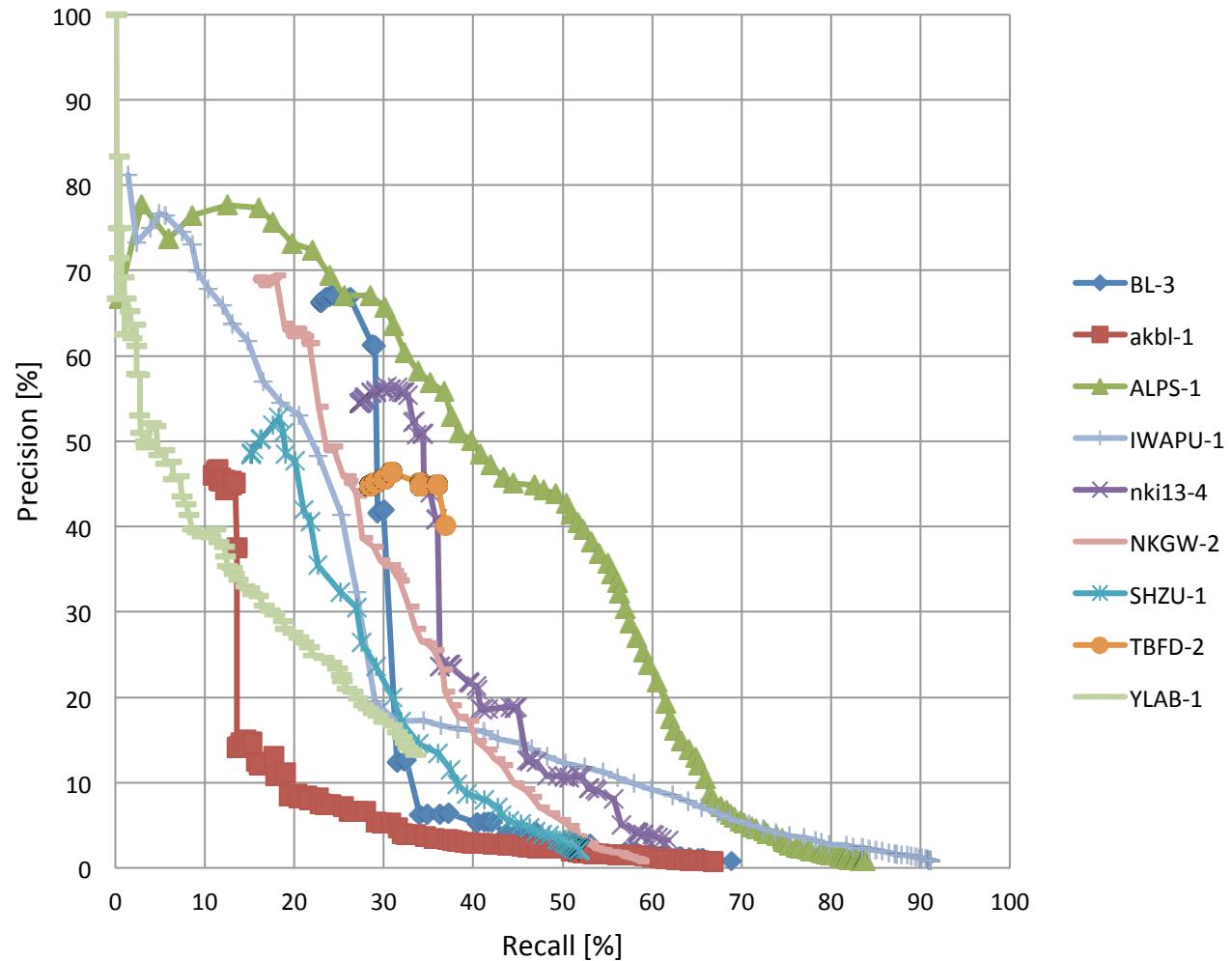
# STD large-size task results



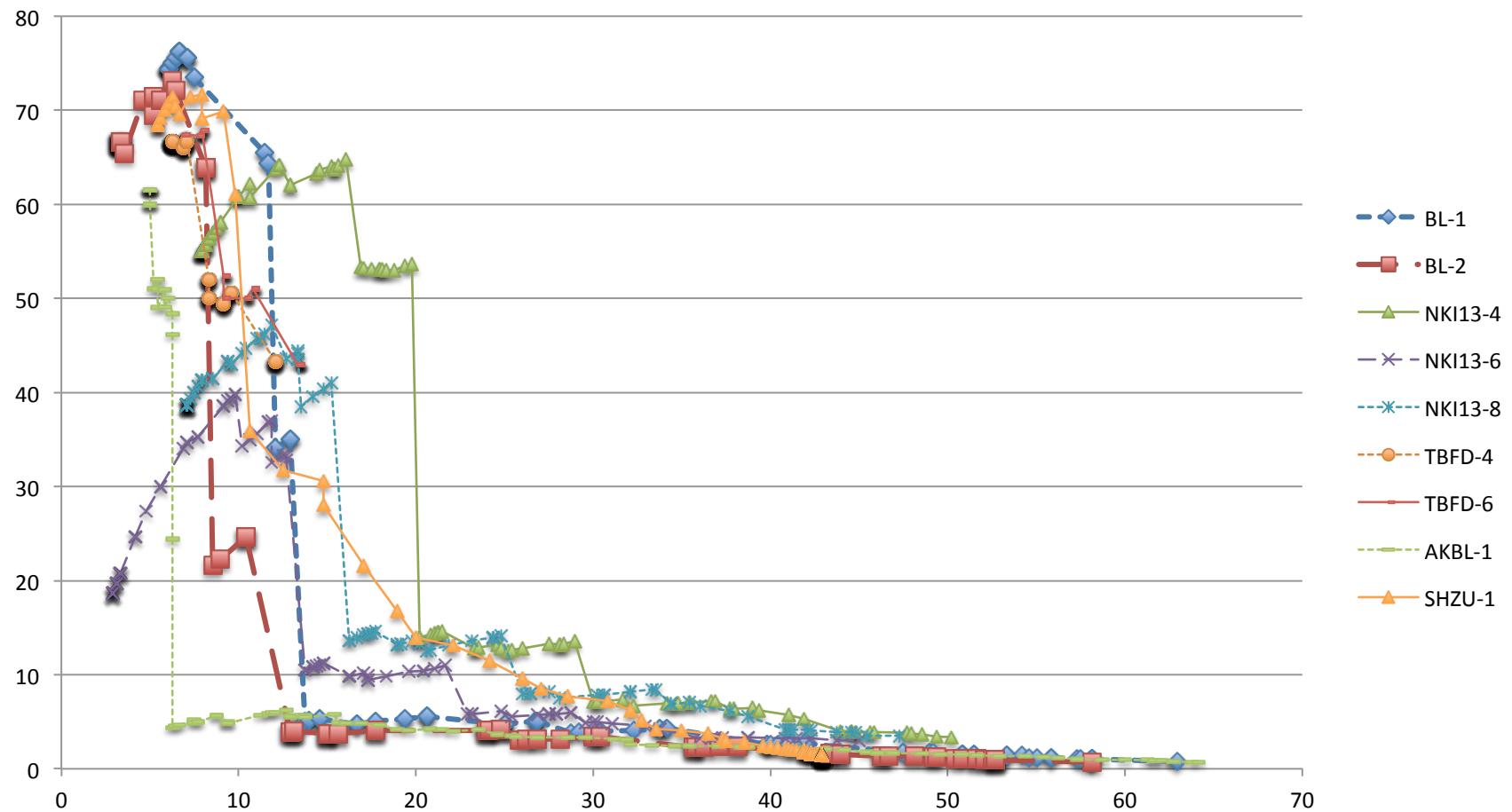
# OOV term detection results at CSJ large-size task for the runs using only the reference MATCHED transcriptions



# STD moderate-size task results



# OOV term detection results at SDPWS moderate-size task for the runs using only the reference MATCHED transcriptions



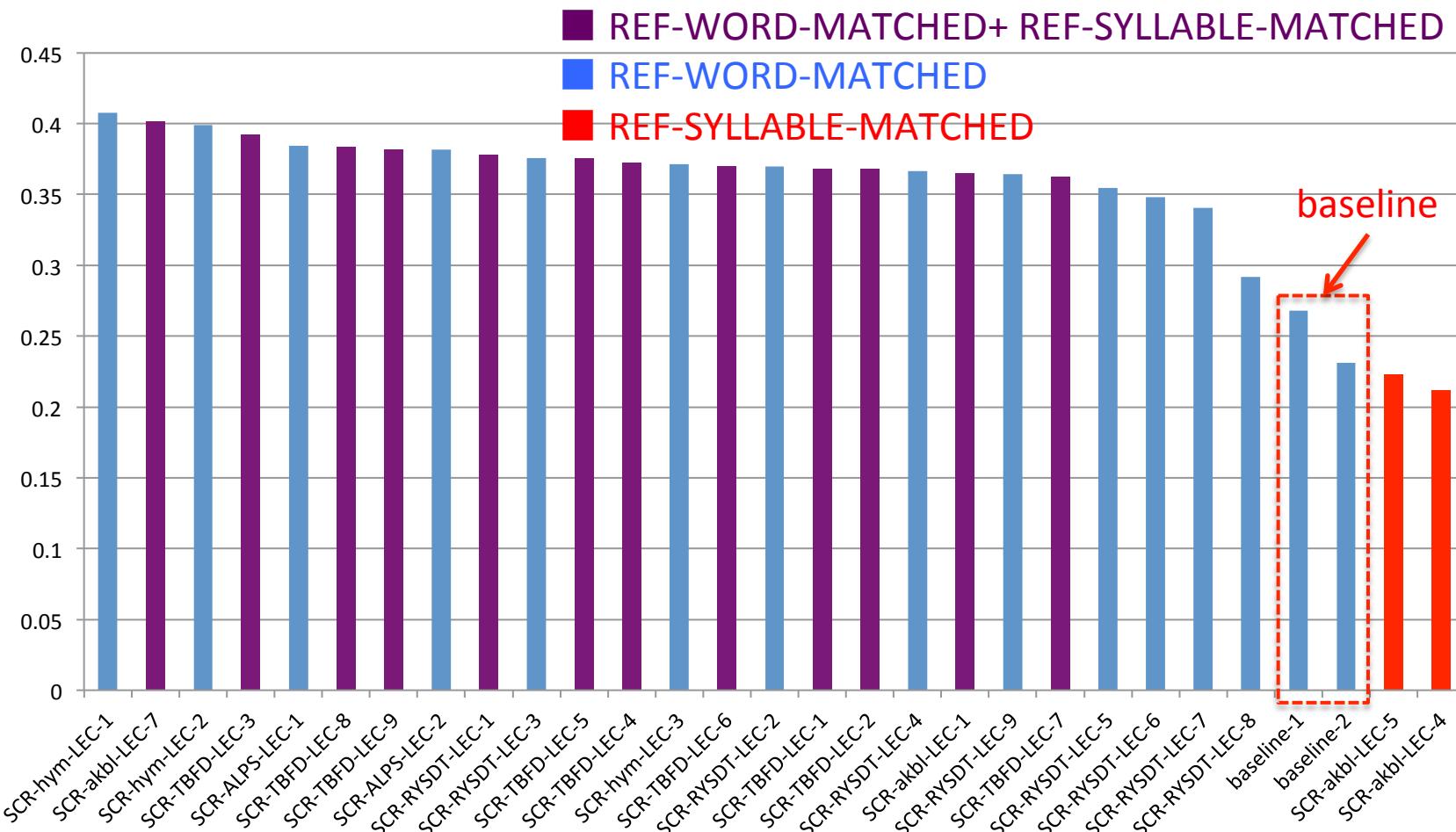
# Baseline runs for SCR task

- Conventional word-based vector space model using 1-best transcription.
  - Transcription: REF-WORD-MATCHED or REF-WORD-UNMATCHED
  - Term weighting: TF-IDF with pivoted normalization (SMART) or without it (TF-IDF)

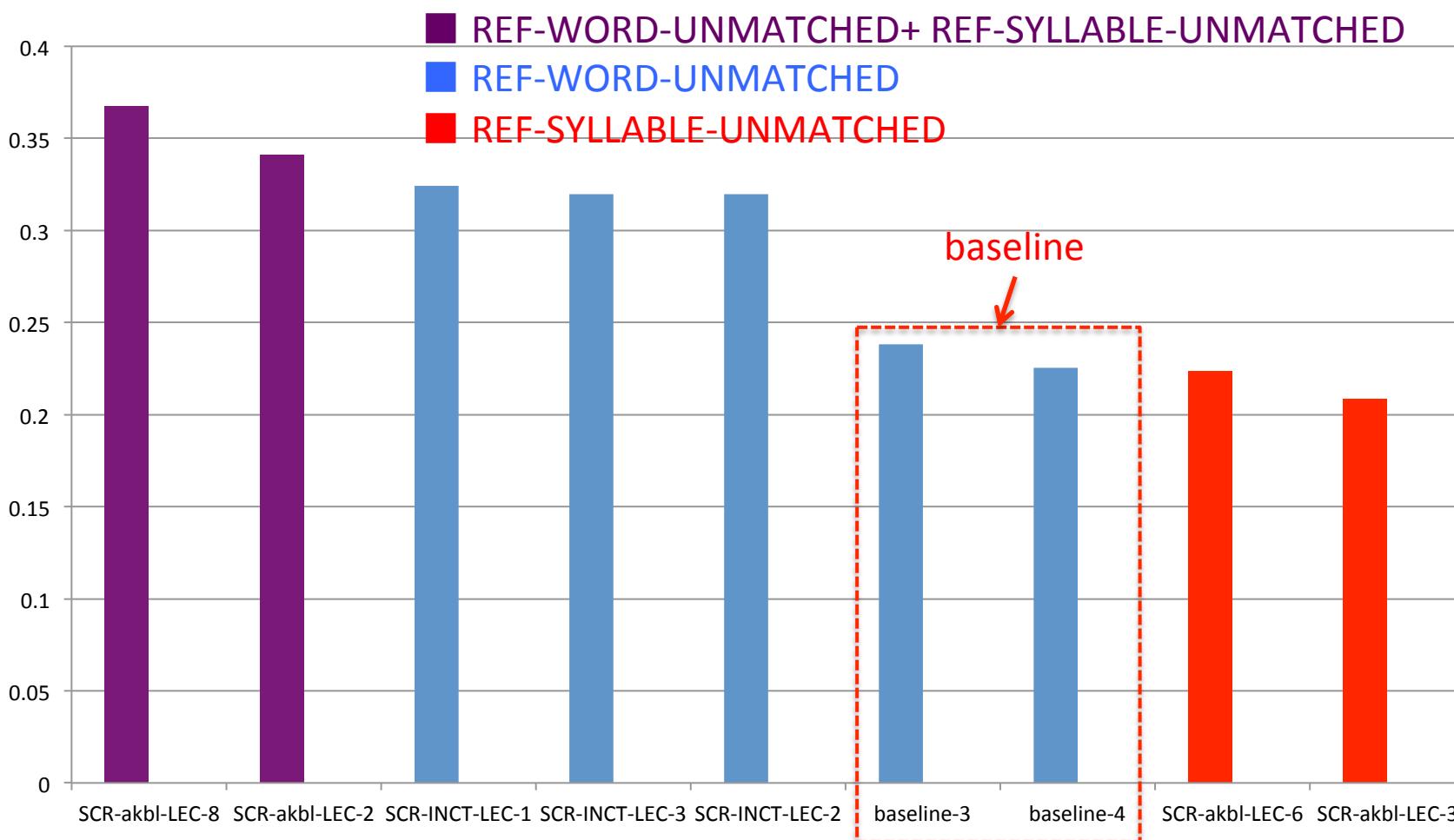
	REF-WORD-MATCHED	REF-WORD-UNMATCHED
SMART	baseline-1	baseline-3
TF-IDF	baseline-2	baseline-4

- For passage retrieval task,
  - Using pre-defined fixed length 15 IPUs as a passage

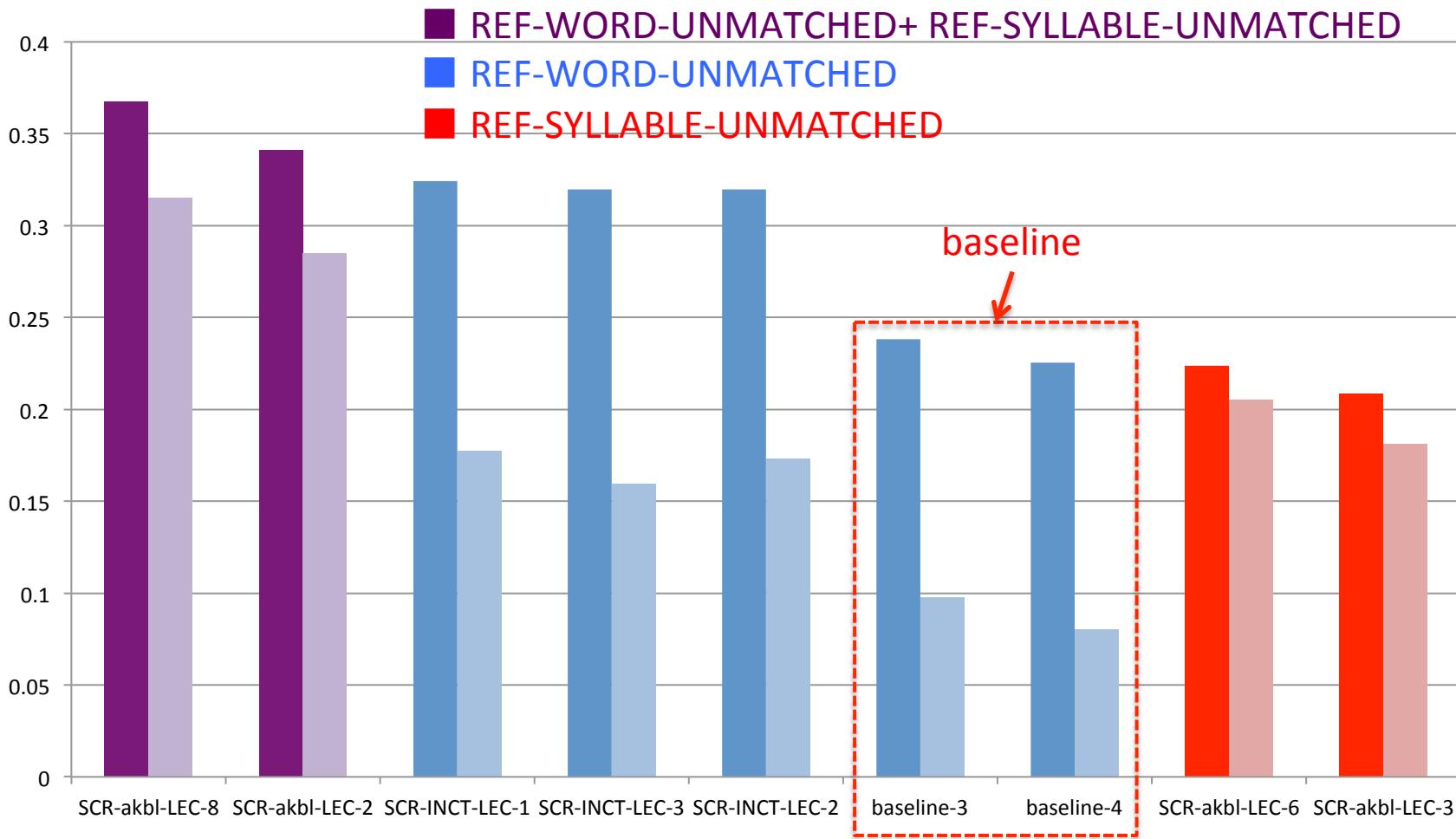
# Lecture retrieval task result (the runs using "matched" transcriptions)



# Lecture retrieval task results (the runs using "unmatched" transcriptions)

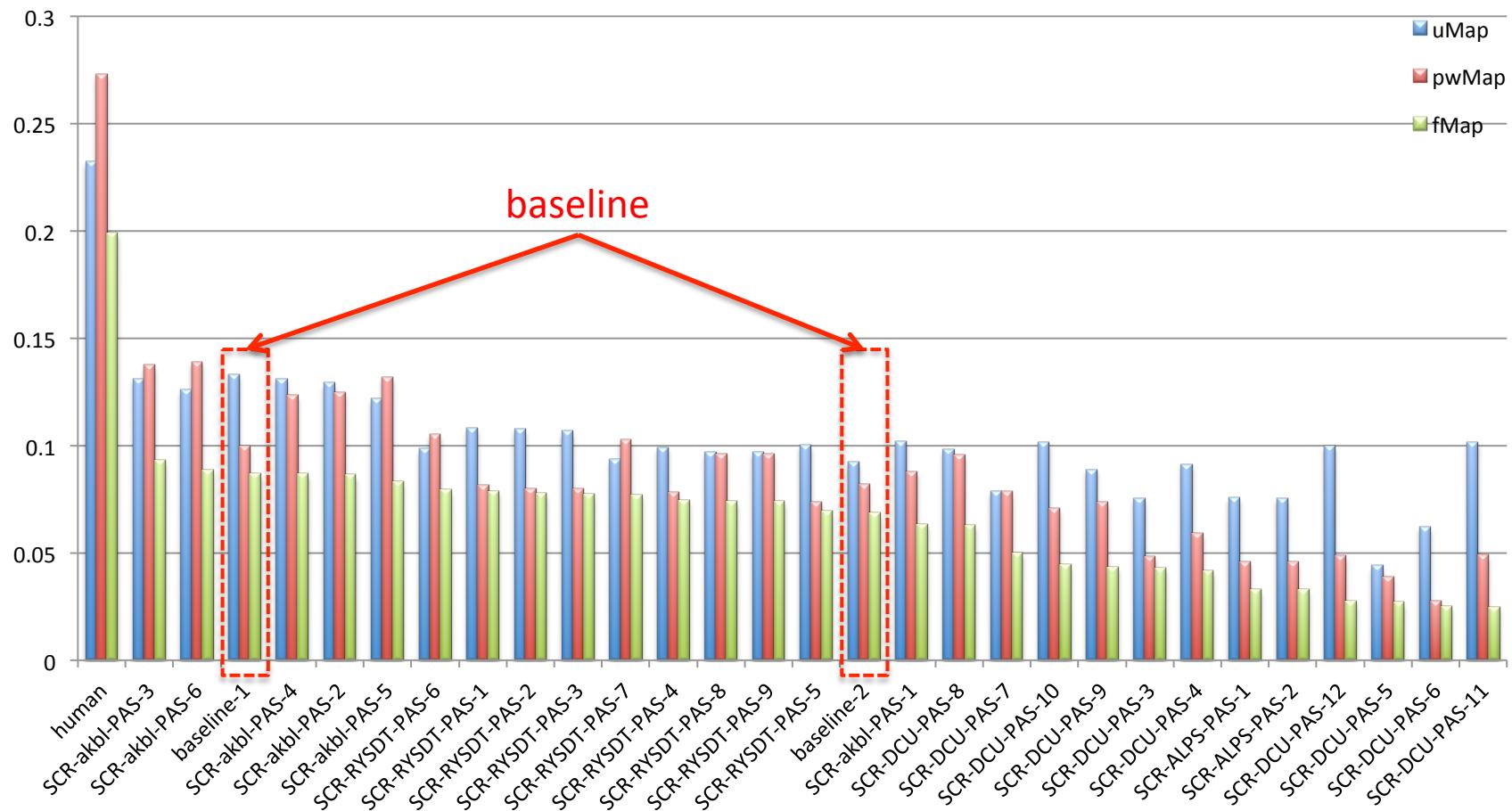


# Lecture retrieval task results (the runs using "unmatched" transcriptions)



left: All query / right: OOV query

# Passage retrieval task results (the runs using "matched" transcriptions)



# Conclusion

- Findings for STD task,
  - Because STD task is OOV-sensitive, the comparison is difficult among runs using different automatic transcriptions.
  - For the OOV term detection, syllable-based transcription is more effective than word-based.
  - Along with the finding in the SpokenDoc-1, using multiple transcriptions (e.g. syllable and word transcriptions) is still effective, even when it does not work to reduce the OOV rate.
  - While variety of indexing methods are introduced in the SpokenDoc-2 and successfully improve their efficiency, their detection performance seem to depend on their underlying DTW-matching methods.
- Findings for SCR task,
  - Using both word-based and syllable-based transcription is effective, but, unlike STD, only for OOV queries.
  - Human performed much better than the current automated system for the boundary-free passage retrieval task, which indicates that there are still rooms for improvement.