

BBN's Systems for the Chinese-English Sub-task of the NTCIR-10 PatentMT Evaluation

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- Statistical machine translation framework
- Building patent machine translation systems
- Official evaluation results
- Summary



Part I: Statistical Machine Translation Framework

Statistical Machine Translation (MT) Framework



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String-to-Dependency Translation Model



- Modified version of Chiang's Hiero algorithm
- Extract hierarchical rules with well-formed dependencies on the target side
 - Well-formed dependency structure:
 - Single rooted tree, with each child being a complete sub-tree
 - Sequence of siblings, each being a complete sub-tree
 - Use POS tag of head word as non-terminal labels on the target side

$$X: X_1$$
 出发 去 $X_2 \rightarrow VB: NR_1$ leaves for NN_2

- Extract all phrasal rules, ignoring dependency
- Features:
 - 10+ core features
 - ~50K sparse binary features



Part II: Building Patent Machine Translation Systems

BBN Patent MT systems - Overview



- Data released by the NTCIR-10 organizers
 - Parallel data: 45M words of Chinese-English sentence pairs
 - Extra LM data: 14B words of US patents in English
 - Development data: 2K Chinese-English sentence pairs, split into tuning and test set
- Model training
 - Translation Model: trained on the 45M parallel corpus
 - Language Models:
 - 45M LM: trained on the target side of the 45M parallel corpus
 - 14B LM: trained on the 45M words plus the 14B US patent words
- Summary of results on the test set (development)



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- Consistent tokenization
 - Fixed inconsistent tokenization of ASCII strings in the source and target sides, e.g., "IS-1000" vs. "IS 1000"

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 - Replace special tokens with a common token for each type in translation and language model
 - Numbers: e.g., 2,596, -123.321
 - Patent IDs: e.g., No.5,400,788, No. 5,405,753
 - Math expressions: e.g., p=0.004, Sine(45)=0.7071
 - Material names: e.g., C15H23N2O5P, LiEt3BH
 - Labeled names: e.g., 3.05kg, 200ml

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- Patent case-LM
 - Re-trained on the 45M LM data

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 - Due to the smaller tuning set, we use only the top 100 features of the highest weights in each category of the 50K sparse features

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Review of Work for BBN NTCIR-9

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- Document-level LM adaptation
 - Find documents in monolingual English patent corpus that are similar to test document
 - Estimate a separate LM and interpolate with the general LM



$$P_{LM}(s) = (1 - \alpha)P_{generalLM}(s) + \alpha P_{biasLM}(s)$$

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System	BLEU
BBN Baseline with 45M LM	34.01
+ consistent tokenization	34.56
+ more token sharing	34.97
+ patent case-LM	36.47
+ optimized word segmenter	36.95
+ top 100 features	37.71
+ 14B LM	39.14
+ document-level LM adaptation	40.04

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- Overview
 - Miscellaneous additional features
 - Sentence-level LM adaptation
 - Robust context dependent translation
 - Recurrent neural network LM
 - Translation-based caser

Miscellaneous Additional Features



- Bigram lexical translation model
 - Extension of context-based lexical probabilities to model joint likelihood of target bigrams given source context

$$P(t_{s_i}, t_{s_{i-1}} | s_i, s_{i-1}, s_{i+1}, s_{i-2})$$

- Apply chain rule and use simple back-off smoothing
- Similarly for the backward direction

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- Similarly for the backward direction
- Trait features, e.g.,
 - Percent of NULL source content words
 - Percent of words that re-order
 - Percent of low-frequency n-grams
 - Source-to-target length ratio

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System	Test
NTCIR-9 system with 45M LM	37.71
+ miscellaneous features	38.06
NTCIR-9 system with 14B LM	39.14
+ miscellaneous features	39.51



 Patent documents tend to use well-structured sentence and re-use n-grams in other patent documents



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Percentage of source n-grams (tokens) in the test sentences that are observed in the parallel training for newswire (GALE) and patent (NTCIR-10)

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Sentence-level LM adaptation

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Percentage of target n-grams (tokens) in the patent test sentences that are also observed in the patent parallel corpus and the monolingual English patent corpus

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Parallel corpus

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Sentence-level LM adaptation

• Patent documents tend to use well-structured sentence and re-use n-grams in other patent documents

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 High order context-dependent translation models may be very sparse

$$\mathbf{P}(t_{s_i}, t_{s_{i-1}} | s_i, s_{i-1}, s_{i+1}, s_{i-2})$$

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$$\mathbf{P}(t_{s_i}, t_{s_{i-1}} | s_i, s_{i-1}, s_{i+1}, s_{i-2})$$

- Common solution
 - First, apply the chain rule

 $\mathbf{P}\left(t_{s_{i}}, t_{s_{i-1}} \mid s_{i}, s_{i-1}, s_{i+1}, s_{i-2}\right) = \mathbf{P}\left(t_{s_{i}} \mid s_{i}, s_{i-1}, s_{i+1}, s_{i-2}\right) \mathbf{P}\left(t_{s_{i-1}} \mid t_{s_{i}}, s_{i}, s_{i}, s_{i-1}, s_{i+1}, s_{i-2}\right)$

Back-off each probability independent



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Robust Context-Dependent Modeling

 High order context-dependent translation models may be very sparse

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- Back-off each probability independent
- But, unlike LM, there is no clear back-off ordering
 - Is $P(t_{s_{i-1}} | t_{s_i}, s_{i-1})$ "better" than $P(t_{s_{i-1}} | s_i, s_{i-1})$?

- **Raytheon** BBN Technologies
- Our solution: interpolate all possible back-off components
 - Sparse context types can be added independently of one another

 $P(t_{s_{i-1}} | t_{s_i}, s_i, s_{i-1}, s_{i+1}, s_{i-2}) = \omega_0 P(t_{s_{i-1}} | t_{s_i}, s_i, s_{i-1}, s_{i+1}, s_{i-2}) + \omega_1 P(t_{s_{i-1}} | t_{s_i}, s_i, s_{i-1}, s_{i+1}) + \dots + \omega_{30} P(t_{s_{i-1}} | t_{s_i})$

- Our solution: interpolate all possible back-off components
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• Each weight ω is a function of the marginal count $\omega_j P(t_{s_i} | s_i, s_{i-1}) = \frac{1}{Z} \alpha_j \log(C(s_i, s_{i-1})) \frac{C(t_{s_i}, s_i, s_{i-1})}{C(s_i, s_{i-1})}$ Kaytheon

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- Weights α are optimized to maximize likelihood on a held-out set
 - Least useful components are thrown out for efficiency

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+ miscellaneous features	38.06
+ robust context dependent translation	38.72
NTCIR-9 system with 14B LM	39.14
+ miscellaneous features	39.51
+ sentence-level LM adaptation	40.95
+ robust context dependent translation	41.09

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- Trained a recurrent neural net LM for rescoring
 - Mikolov's toolkit: <u>http://www.fit.vutbr.cz/~imikolov/rnnlm/</u>
 - Interpolated with 5-gram KN Smoothing LM

Neural Net LM

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SystemTestNTCIR-9 system with 45M LM37.71+ miscellaneous features38.06+ robust context dependent translation38.72+ recurrent neural network LM39.35
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+ miscellaneous features 39.51
+ document-level LM adaptation 39.94
+ sentence-level LM adaptation 40.95
+ robust context dependent translation 41.09
+ recurrent neural network LM 41.43



Translation-based Caser



- Treats casing as a translation problem
 - Similar to (Hassan, et al. 2006)'s MaTrEx system
 - Trained on 45M LM training data
 - Use rule probabilities, case LM probability, and sparse features, e.g., *Is the target word upper cased and does it follow a period? Is the target word upper cased and a proper noun?*

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+ miscellaneous features	38.06
+ robust context dependent translation	38.72
+ recurrent neural network LM	39.35
+ translation-based caser	40.02
NTCIR-9 system with 14B LM	39.14
+ miscellaneous features	39.51
+ document-level LM adaptation	39.94
+ sentence-level LM adaptation	40.95
+ robust context dependent translation	41.09
+ recurrent neural network LM	41.43
+ translation-based caser	42.13



Part III: Official Evaluation Results

Official Automatic (BLEU) Results

- The two BBN systems
 - BBN-1 : the primary system, trained on 45M parallel corpus plus 14B English patent corpus
 - BBN-2: the secondary system, trained on 45M parallel corpus only
- NCTIR Official Baseline systems
 - Baseline1– Moses phrase-based hierarchical SMT system
 - Baseline2– Moses phrase-based SMT system

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System	Intrinsic evaluation	Chronological evaluation	Multilingual evaluation
BBN-1	42.68	39.44 → 41.09	27.62
BBN-2	39.98	36.69 → 38.93	N/A
Baseline1	32.52	30.74	17.96
Baseline2	31.34	29.34	18.05

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Official Manual Evaluation Results



• Adequacy: scores from 5 (best) to 1 (worst)

	Average	Allocation of scores				
System	adequacy	5	4	3	2	1
BBN-1	42.68	156	66	44	34	0
Baseline1	32.52	46	73	91	84	6
Baseline2	31.34	38	34	75	141	12

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- Acceptability: scores in AA (best), A, B, C, and F (worst)
- Pairwise acceptability: percentage of wins and ties when comparing acceptability score with other submissions

	Pairwise Allocation of scores					
System	score	AA	Α	В	С	F
BBN-1	0.69	81	36	50	35	98

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Pairwise Allocation of scores						
score	AA	Α	В	С	F	
0.69	81	36	50	35	98	
	Pairwise score 0.69	Pairwise A score AA 0.69 81	PairwiseAllocatscoreAAA0.698136	PairwiseAllocation ofscoreAAA0.69813650	PairwiseAllocation of scorescoreAAAB0.6981365035	

 Patent examination evaluation: scores in S (perfect), A, B, C, D, and F (worst)

	Allocation of scores								
System	S	Α	В	С	D	F			
BBN-1	6	19.5	3.5	0	0	0			

Translation Examples

- Source: 对于每一像素,着色引擎210使用在以上等式(2)-(4)中陈述的边等式来确定所述像素是否在三角形中。
- MT output: For each pixel, the rendering engine 210 uses the edge equation set forth in equations (2) to (4) above to determine whether the pixels in a triangle.
- Reference: For each pixel, the shading engine 210 determines whether the pixel is in the triangle using the edge equations set forth in equations (2) (4) above.
- Source: 上述说明书全面描述了根据本发明原理的改进型可穿透膜片的成分、制造和用途。
- MT output: The above description fully describes the composition, manufacture and use of improved penetrable diaphragm in accordance with the principles of the present invention.
- Reference: The above specification provides a complete description of the composition, manufacture and use of the improved penetrable membrane in accordance with the principles of the present invention.





- It was relatively straightforward to port BBN's MT system to work on patents
 - 4-5 weeks of efforts in NTCIR-9 evaluation
 - 3-4 weeks of efforts in NTCIR-10 evaluation
 - All techniques initially developed for other domains work well on patents
- Special attention to patents helps
 - Better tokenization, special token sharing, optimizing word segmentation
 - Sentence-level LM adaptation
 - Further improvement is possible by exploring special properties of patents
- Lots of potential
 - Patents are easier to translate
 - State-of-the-art accuracies in both automatic and manual evaluations
 - Helpful in real patent examination and possibly other tasks



Leading performer in DARPA's MT programs

- Text-to-text translation (GALE, BOLT)
 - Arabic and Chinese to English. newswire, weblogs, web forums, SMS/chat
- Speech-to-text translation (GALE)
 - Arabic and Chinese to English. broadcast news and broadcast conversation
- Speech-to-speech translation (TransTac, BOLT)
 - English to/from Iraqi Arabic, Farsi, Dari, Pashto, Malay, and Spanish
 - TransTalk: portable (Android), two-way translation device; deployed by US Army
- Image to text translation (MADCAT)
 - Foreign text (Arabic, Chinese and Korean) in images (through OCR) to English
- Multilingual broadcast/web monitoring
 - Continuous searchable archive of international television broadcasts and web sites
 - Automatic translation to English for deep analysis

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