

STIS at the NTCIR16-DataSearch 2 Task:
Ad-hoc Data Retrieval Ranking with
Pretrained Representative Words Prediction

Lya Hulliyyatus Suadaa, Lutfi Rahmatuti Maghfiroh, Muhammad Luqman and Isfan Nur Fauzi

Jakarta, June 17th 2022



NTCIR-16 Data Search is a shared task on ad-hoc retrieval for governmental statistical data (more information: https://ntcir.datasearch.jp/)

Information Retrieval Subtask:

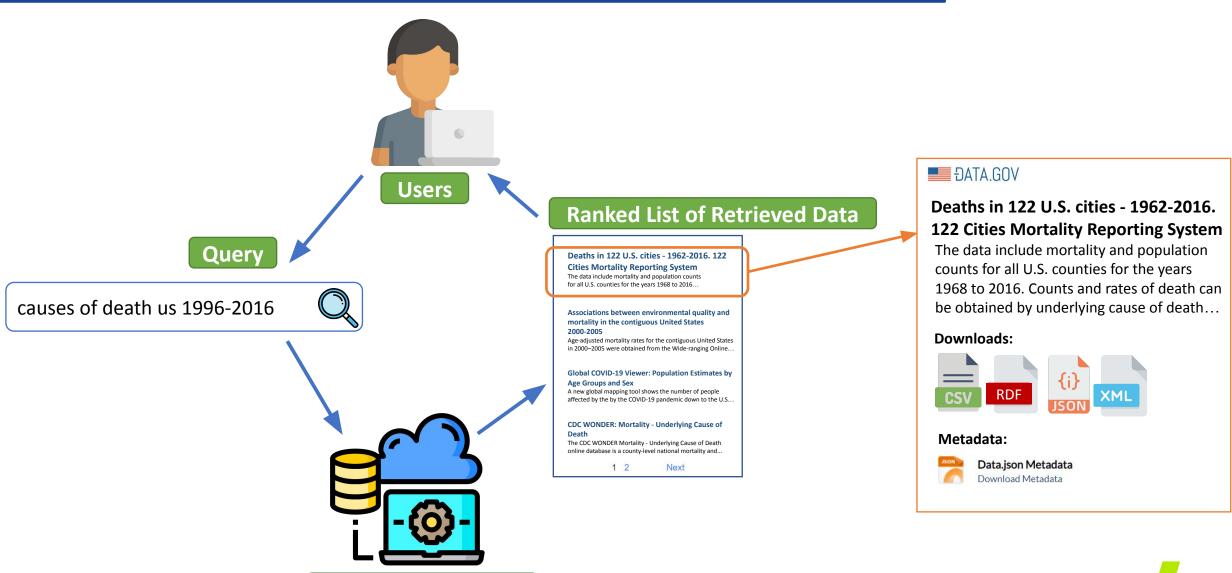
- Given queries and data collections, we are expected to generate a ranked list of statistical data for each query.
- Japanese & English



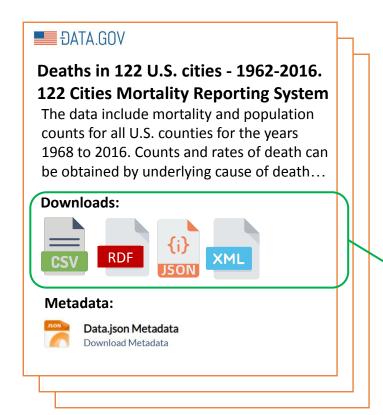


Task Introduction: Information Retrieval

Data Search Engine



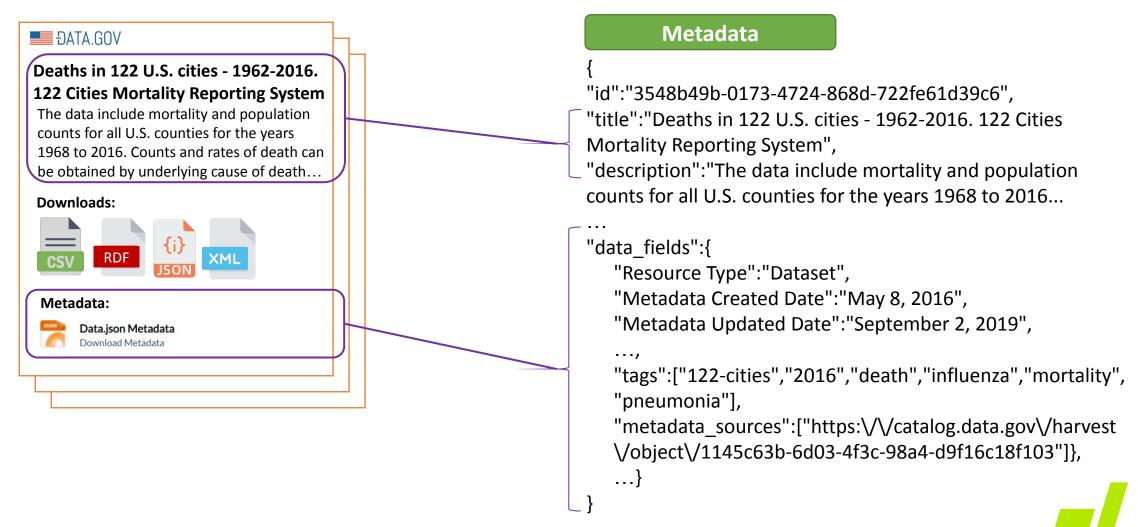
Dataset collections: datasets published by the US government (<u>https://data.gov</u>)



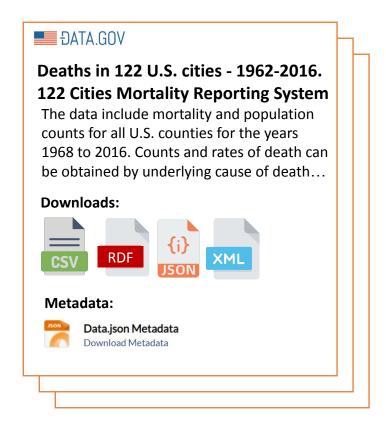
Data

```
"id":"3548b49b-0173-4724-868d-722fe61d39c6",
"title": "Deaths in 122 U.S. cities - 1962-2016. 122 Cities
Mortality Reporting System",
"description": "The data include mortality and population
counts for all U.S. counties for the years 1968 to 2016...
"data":
[{"data format":"csv","data organization":"U.S. Department
of Health & Human Services", "data url": "...csv"},
{"data format":"rdf","data organization":"U.S. Department of
Health & Human
Services", "data url": "...rdf", "data filename": "...rdf+xml"},
{"data format":"json","data organization":"U.S. Department
of Health & Human
Services", "data url": "...json", "data filename": "...json"},
{"data format":"xml","data organization":"U.S. Department
of Health & Human
Services", "data url": "...xml", "data filename": "....xml" }],
```

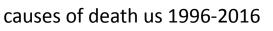
Dataset collections: datasets published by the US government (<u>https://data.gov</u>)



Dataset collections: datasets published by the US government (<u>https://data.gov</u>)



2. Queries:



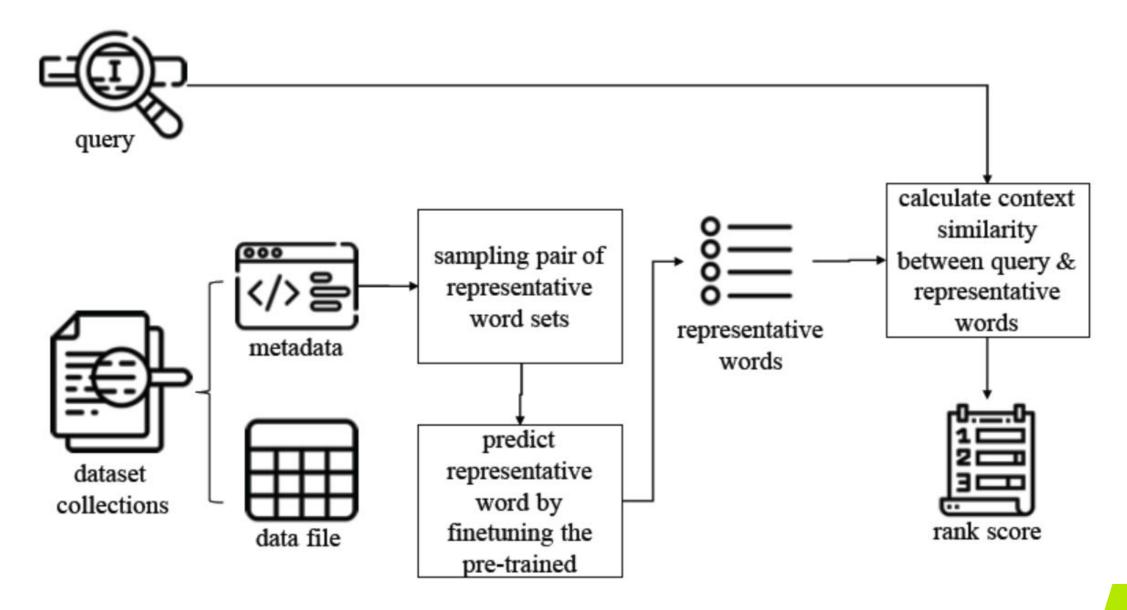




Pretrain Representative Words Prediction

- Implemented Pre-training with Representative wOrds Prediction (PROP) for ad-hoc retrieval (Xinyun Ma, et al., WSDM 2021) in constructing the representative words prediction for each document.
- Representative words: inspired by a query likelihood model that ranks the documents based on the relationship between a query and the document contents.

Pretrain Representative Words Prediction



Representative Word Sets Sampling

• sample a pair of representative word sets from vocabulary $V = \{w_i\}_n^1$ sed on document language model following dirichlet distribution with probability $P(w_i | D)$

if
$$QL_u > QL_v$$
 then $w_{pos} = u$ and $w_{neg} = v$
else $w_{pos} = v$ and $w_{neg} = u$

Representative Words Prediction

- finetune pre-trained Transformer BERT for representative words prediction task
- hidden state:

$$h_{[CLS]} = \text{Transformer}([CLS] + w_{rep} + [SEP] + D + [SEP])$$
 where $w_{rep} = \{w_{pos}, w_{neg}\}$

probability of word represent to the the document:

$$P(w_{rep}|D) = \mathrm{MLP}(h_{[CLS]})$$

• loss function:

$$\mathcal{L} = \max(0, 1 - P(w_{pos}|D) + P(w_{neg}|D))$$

- $\{w_{prop}\}_1^k$: k list of representative word prediction from the finetuned BERT
- rank score using prop:

$$S_{prop} = \operatorname{avg}(BERT_{Score}(query, w_{prop_i})), i = 1...k$$

1st Model

$$S = S_{prop}$$

2nd Model

$$S = \alpha S_{base} + (1 - \alpha)S_{prop}$$

| | Model | nDCG@3 | nDCG@5 | nDCG@10 | nERR@3 | nERR@5 | nERR@10 | Q-mea |
|--|--|--------|--------|---------|--------|--------|---------|-------|
| | BM25 | 0.191 | 0.188 | 0.211 | 0.199 | 0.222 | 0.233 | 0.248 |
| | Ranking by PROP and BertScore | 0.172 | 0.175 | 0.201 | 0.191 | 0.188 | 0.201 | 0.218 |
| | BM25 + Reranking by PROP and BertScore | 0.163 | 0.173 | 0.202 | 0.192 | 0.183 | 0.200 | 0.221 |

Table 1: Results from NTCIR-16 Data Search 2. The best score is in bold.

| Model | nDCG@3 | nDCG@5 | nDCG@10 | nERR@3 | nERR@5 | nERR@10 | Q-mea |
|--|--------|--------|---------|--------|--------|---------|-------|
| BM25 | 0.191 | 0.188 | 0.211 | 0.199 | 0.222 | 0.233 | 0.248 |
| Ranking by PROP and BertScore | 0.172 | 0.175 | 0.201 | 0.191 | 0.188 | 0.201 | 0.218 |
| BM25 + Reranking by PROP and BertScore | 0.163 | 0.173 | 0.202 | 0.192 | 0.183 | 0.200 | 0.221 |

Table 1: Results from NTCIR-16 Data Search 2. The best score is in bold.



| Query | Representative Word | Rank _{BM25} | Rank |
|---------------------------------|---|----------------------|------|
| causes of death us 1999-2016 | x county cause numerous rate, new census, update scientifically deaths deaths, death estimating internationally, direction mortality death poisoning low ages rates, base longer meets deaths, drug death computer selected, estimated coded, affect states death poisoning, pending ratings x poisoned | 6 | 1 |
| | deaths finalization deaths, provisional death provisional comparisons, classifications updated, deaths reported death deaths causative categories, specifically cause, drug, counting drugs provisional, drug delay provisional, drug x updates vital, drug numbered pending drug | 9 | 2 |
| | rated rate x nchs differing, rated, rating census causes estimate number baseline, poisoned base acquisition, references death, poisoned drug includes, update cdcs adjusted, www published demographic, x rating ageing, death defined wonder | 1 | 8 |

Table 2: Samples of representative words prediction for each query and their ranks.

| Query | Representative Word | Rank _{BM25} | Rank _{PROP} |
|------------------------------|--|----------------------|----------------------|
| annual turnover care workers | disabled, incoming, benefits statistics, insurance social, socially calculations workers, securing three, three, receiving edition calculate, disability series people disability tables workers, peoples social statistical | 56 | 1 |
| | low researchers, teams v u shorebird shores forage, forest v tennessee foraging group, radio forest primary radio migrate, ponds estimating migratory shorebird, opening vulnerable estimated network rates, calidris, western flight shorebird reservation created migration, establishing rate, build regional valleys | 1 | 35 |

Table 2: Samples of representative words prediction for each query and their ranks.



https://catalog.data.gov/dataset/annual-statistical-report-on-the-social-security-disability-insurance-program-2005



Annual Statistical Report on the Social Security Disability Insurance Program, 2005

This annual report provides program and demographic information on the people who receive Social Security Disability Insurance Program benefits. This edition presents a series of detailed tables on the three categories of beneficiaries: **disabled workers, disabled widowers, and disabled adult children**. Numbers presented in these tables may differ slightly from other published statistics because all tables, except those using data from the Survey of Income and Program Participation, are based on 100 percent data files. Report for 2005.

Downloads:



Representative Words:

disabled, incoming, benefits statistics, insurance social, socially calculations workers, securing three, three, receiving edition calculate, disability series people disability tables workers, peoples social statistical



https://catalog.data.gov/dataset/annual-statistical-report-on-the-social-security-disability-insurance-program-2005



Annual Statistical Report on the Social Security Disability Insurance Program, 2005

This annual report provides program and demographic information on the people who receive Social Security Disability Insurance Program benefits. This edition presents a series of detailed tables on the three categories of beneficiaries: **disabled workers, disabled widowers, and disabled adult children**. Numbers presented in these tables may differ slightly from other published statistics because all tables, except those using data from the Survey of Income and Program Participation, are based on 100 percent data files. Report for 2005.

Downloads:

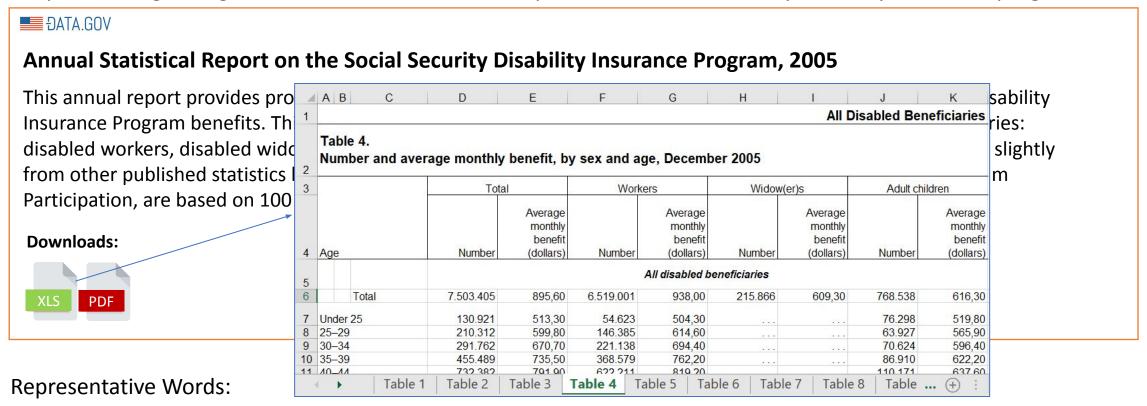


Representative Words:

disabled, incoming, benefits statistics, insurance social, socially calculations workers, securing three, three, receiving edition calculate, disability series people disability tables workers, peoples social statistical



https://catalog.data.gov/dataset/annual-statistical-report-on-the-social-security-disability-insurance-program-2005



disabled, incoming, benefits statistics, insurance social, socially calculations workers, securing three, three, receiving edition calculate, disability series people disability tables workers, peoples social statistical

http://datadiscoverystudio.org/geoportal/rest/metadata/item/ce61995d7d6b4070b1bdcbe68d710276/html



Turnover Rates of Fall Migrating Pectoral Sandpipers Through the Lower Mississippi Alluvial Valley

The Mississippi Alluvial Valley (MA V) is the historic alluvial floodplain of the Lower Mississippi River. Most of the MAV is ... The primary objective of this study is to estimate the turnover rates of fall migrating shorebirds in the MA V using 2 target species, the pectoral sandpiper (Calidris metanotos, PESA) and the least sandpiper (Calidris minulilla, LESA). We will estimate turnover rates from PESAs using radio telemetry data from 2001 and 2002 and from LESAs using capture-resight data from 2002.

Downloads:



Representative Words:

low researchers, teams v u shorebird shores forage, forest v tennessee foraging group, radio forest primary radio migrate, ponds estimating migratory shorebird, opening vulnerable estimated network rates, calidris, western flight shorebird reservation created migration, establishing rate, build regional valleys

http://datadiscoverystudio.org/geoportal/rest/metadata/item/ce61995d7d6b4070b1bdcbe68d710276/html

DATA.GOV

Turnover Rates of Fall Migrating Pectoral Sandpipers Through the Lower Mississippi Alluvial Valley

The Mississippi Alluvial Valley (MA V) is the historic alluvial floodplain of the Lower Mississippi River. Most of the MAV is ... The primary objective of this study is to estimate the turnover rates of fall migrating shorebirds in the MA V using 2 target species, the pectoral sandpiper (Calidris metanotos, PESA) and the least sandpiper (Calidris minulilla, LESA). We will estimate turnover rates from PESAs using radio telemetry data from 2001 and 2002 and from LESAs using capture-resight data from 2002.

Downloads:

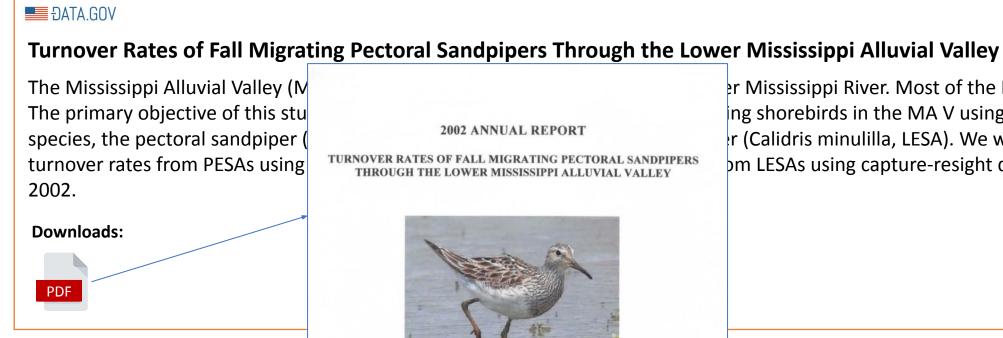


Representative Words:

low researchers, teams v u shorebird shores forage, forest v tennessee foraging group, radio forest primary radio migrate, ponds estimating migratory shorebird, opening vulnerable estimated network rates, calidris, western flight shorebird reservation created migration, establishing rate, build regional valleys



http://datadiscoverystudio.org/geoportal/rest/metadata/item/ce61995d7d6b4070b1bdcbe68d710276/html



2002 ANNUAL REPORT THROUGH THE LOWER MISSISSIPPI ALLUVIAL VALLEY



er Mississippi River. Most of the MAV is ... ing shorebirds in the MA V using 2 target r (Calidris minulilla, LESA). We will estimate m LESAs using capture-resight data from

Representative Words:

low researchers, teams v u shorebird shores forage, forest v tennessee foraging group, radio forest primary radio migrate, ponds estimating migratory shorebird, opening vulnerable estimated network rates, calidris, western flight shorebird reservation created migration, establishing rate, build regional valleys

Addressing ad-hoc retrieval approach for governmental statistical data:

- proposed using a pre-trained model to capture representative words prediction for each document then calculate the similarity between the query and the representative words as a rank score.
- proposed combined the representative similarity score to re-rank candidate documents of BM25 model for each query.





THANK YOU

