## A Monolithic Approach and a Type-by-Type Approach for Non-Factoid Question-answering

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#### Introduction and Related work

- Probabilistic model for definitional question-answering [Han et al. 06]
  - 1. General language model
  - 2. Topic language model: relevance of answer candidate to the question.
  - 3. Definition language model: appropriateness of writing style in terms of definition.
- Non-factoid QA can be modeled from at least two aspects.
- Most of previous studies follow the same scheme
  - Lexico-syntactic patterns to find answer candidates in terms of the appropriateness of writing style [Fujii 02, Morooka 06]
  - Method to extract from Wikipedia a list of interest-marking terms and use them to score answer candidates [Kosseim et al. 05]
  - Summarization techniques are used to reduce redundancy in answer candidates [Blair-Goldensohn 03]

### Our approach

- As described by Han et al. [Han 06], we also hypothesize that the appropriateness of answer candidate for non-factoid questions can be measured by the combination of two measures:
  - Appropriateness of writing style: how appropriate
    is the writing style of the candidate in terms of the
    type of a give question
  - Relevance to the topic of question: how relevant is the candidate to the "topic" of the question.

#### Our contribution

- We basically adopts major techniques in the previous studies:
  - 1. Lexico-syntactic patterns to find key sentences,
  - 2. Passage retrieval, and
  - 3. Summarization based on passage clustering.
- Main contribution of this study
  - 1. Query expansion using the Web to improve the coverage of retrieval of documents related to the topic of question.
  - Variable-length passage retrieval based on lexical chains.
  - Method to find interest-marking words and eliminate unrelated chains, in order to detect only topic-related lexical chains.
  - Unified score for answer candidates calculated from document score, passage score, and score of writing style.

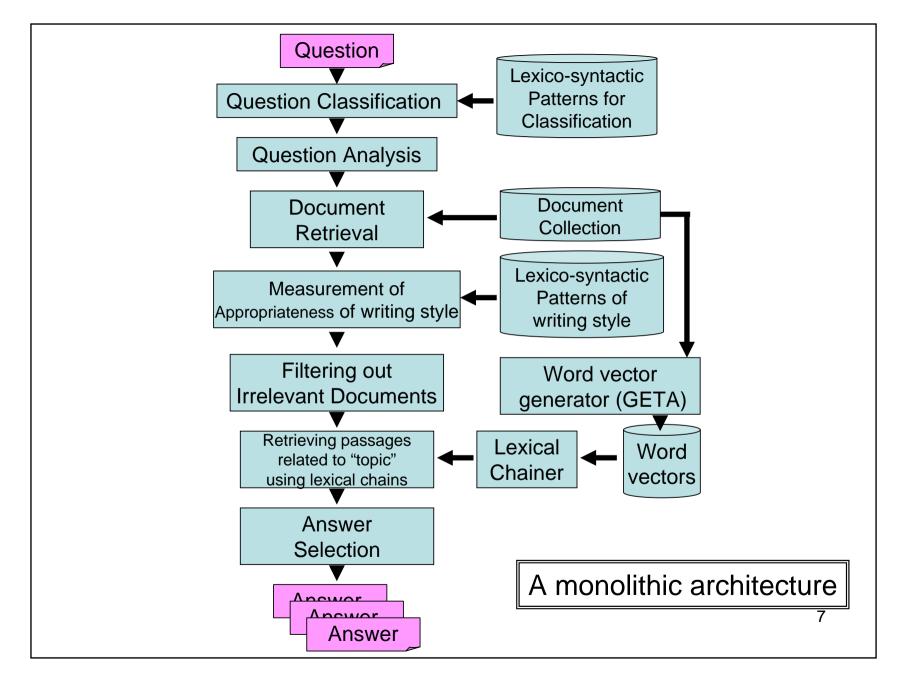
# Two systems for NTCIR-6 QAC (QAC4)

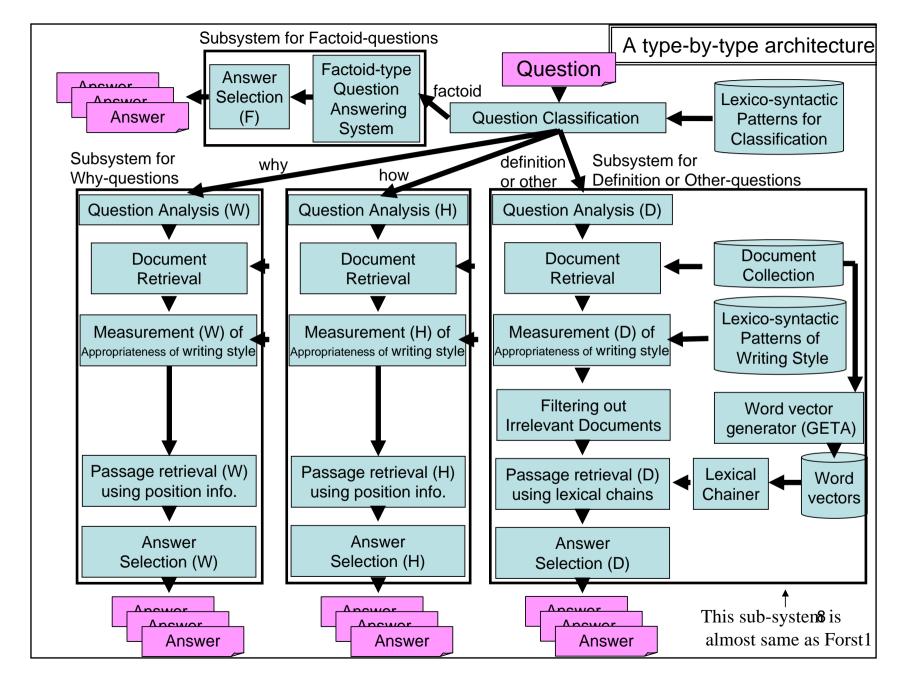
- A system with a *monolithic* architecture (ID = forst1)
  - Processes all types of questions including factoid questions uniformly.
    - A set of manually constructed lexico-syntactic patterns.
    - A method to retrieve passages related to topic of question by using lexical chains.
- A system with a type-by-type architecture (ID = forst2)
  - Four specialized subsystems
    - For definitional and other type question
    - For why-type question
    - For how-type question
    - For factoid question
  - Given questions are dispatched to one of the subsystems by module of question classification.

## Types of question

Q. type	Examples
definition	"to-wa nan-desu-ka", "tte nani" (what is)
why	"nazedesu-ka" (why)
	" riyuu-wa nan-desu-ka" (what is the reason for)
how	" dou su-reba yoi-desu-ka" (how do I do)
	" yari-kata-wa nan-desu-ka" (what is the way to do)
factoid	"wa dare-desu-ka" (who is)
	"wa doko-desu-ka" (where is)
other	"wa dou-desu-ka" (how about)

➤ Questions classified into *factoid* are treated as *other* in the system with a monolithic architecture (i.e. forst1).





### Detailed Techniques

- Document retrieval with query expansion using Web (described later)
- The type-by-type approach (forst2)
  - Definition-type and Other-type questions are processed by the almost same system as forst1.
  - Subsystem for why-type questions
    - Retrieves fixed length passages (3 sentences)
    - Utilize *relative position of the passage* to the key sentence
  - Subsystem for how-type questions
    - Detection of *focused predicate*.
    - Paraphrasing relative clause with the focused predicate in order to dynamically generate lexico-syntactic patterns of writing style.
- The monolithic approach (forst1, described later)
  - Score of key sentences in terms of writing style
  - Passage retrieval using lexical chains
  - Answer selection: scoring and redundancy reduction

## Document retrieval with query expansion using Web

to improve the coverage of topic-related documents

- 1. Retrieve *top L snippets from a Web search engine* by submitting keywords.
- 2. Give a "word score" to each word in snippets, and select top *M* words as *additional keywords*.

$$score_{word}(w) = freq_{snippet}(w) \cdot IDF_{DB}(w)$$

- 3. Perform document retrieval with a in-house tf\*idf/VSM-based search engine in three settings
  - a. Ordinary search with the original keywords
  - b. "AND" search with the original keywords
  - c. Ordinary search with original keywords with the weight 1.0 and additional keywords with the weight 0.5
- 4. Merge retrieved documents and give a normalized document score to each document:

$$score_{doc}(D_i) = \frac{w(D_i) \cdot sim(Q, D_i)}{\max_d \{w(d) \cdot sim(Q, d)\}} \quad w(D_i) = \begin{cases} 1.0 & \text{obtained by (a)} \\ 2.0 & \text{by (b) or (c)} \end{cases}$$

#### Answer candidate and its score

- Answer candidate = passage
- Scoring answer candidates

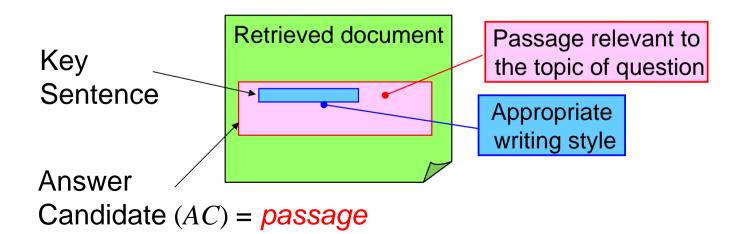
$$score_{AC}(AC) = \alpha \cdot score_{doc}(doc(AC))$$
  
  $+ \beta \cdot score_{p}(AC)$   
  $+ \gamma \cdot score_{AC}^{style}(AC)$ 

Document score

Passage score

Score of writing style

11



# Score of appropriateness in terms of writing style

- Lexico-syntactic patterns that represent the clue of anwers for each question type.
- Each pattern has a score of appropriateness ([0,1]), which is assigned to matched sentences.
- Definition-type and how-type: each pattern is generated from one of *templates* that has a *slot* which will be filled by a *question target*.
  - Examples

```
Definition-type: <target>と?は.+<QFocus>
```

(<target> is a <QFocus> that .+)

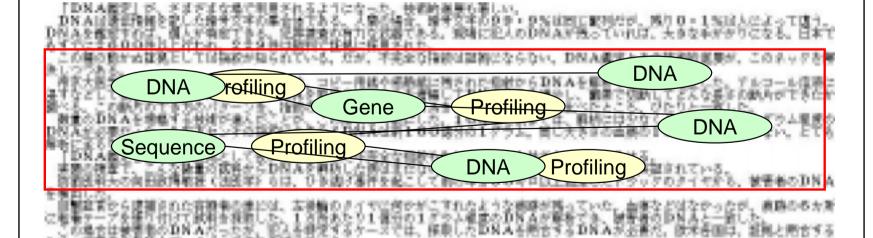
Why-type: <focusedPred>の?は.+ <ReasonExp>

(.+ <focusedPred> .+, <ReasonExp> .+)

 How-type and other-type: instead of patterns, it is examined whether compound nouns or focused predicates in the question appear in the sentences.

# Passage retrieval using lexical chains (1/2)

- Lexical chain
  - A sequence of semantically related words in a text.
  - Used for capturing a portion of the cohesive structure of the text.
  - We utilize it to retrieve passages related to the topic of question.
- Lexical chainers [Mochizuki 00]



# Passage retrieval using lexical chains (2/2)

- Mochizuki's method [Mochizuki 00] detects a portion of text as a passage if all sentences of the portion are covered by at least one lexical chain.
  - The method tends to extract *longer passages*.
- Our method: more moderate

 $R_d \cdot density(S_c)$ 

- 1. Calculate "density of lexical chain" for each sentence, and select sentences whose density are maximal. (The centers of passages  $S_c$ )
- 2. Determine the extent  $(S_s, ..., S_e)$  of passage for each center  $S_c$  of passage according to the density of sentences adjacent to the center.

$$\begin{array}{lll} s & = & \min\{j|j \leq \forall k \leq c \\ & & (density_{win}(S_k, S_c) \geq \\ & & R_d \cdot density(S_c))\} \\ e & = & \max\{m|c \leq \forall k \leq m \\ & (density_{win}(S_k, S_c) \geq \end{array} \\ & & density_{win}(S_j, S_c) = \\ & density(S_j) \cdot \frac{L^2 - |j - c|^2/2}{L^2} \end{array}$$

14

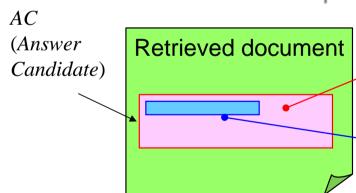
### Answer selection (1/2)

Scoring answer candidates

 $score_{AC}(AC) = \alpha \cdot score_{doc}(doc(AC))$   $+ \beta \cdot score_{p}(AC)$  $+ \gamma \cdot score_{AC}^{style}(AC)$  Document score

Passage score

Score of writing style

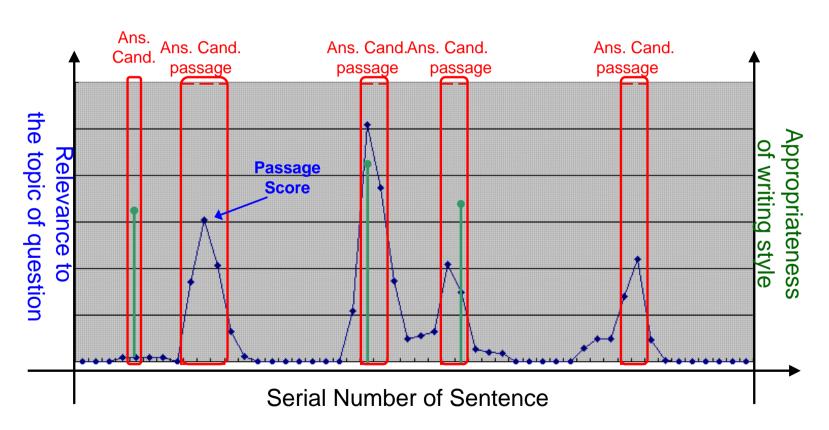


Passage relevant to the topic of question

Appropriate writing style

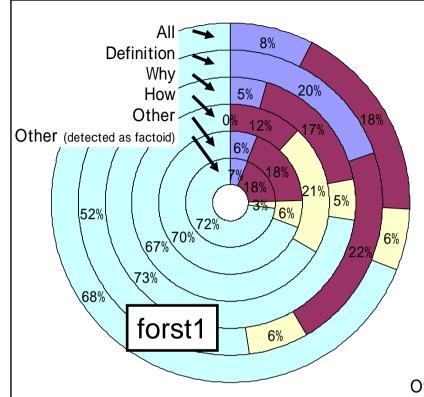
 Redundancy control by clustering-based summarization

## Answer Selection (2/2)



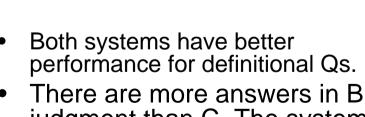
#### Runs at NTCIR-6 QAC

- forst1: a monolithic architecture
- forst2: a type-by-type architecture

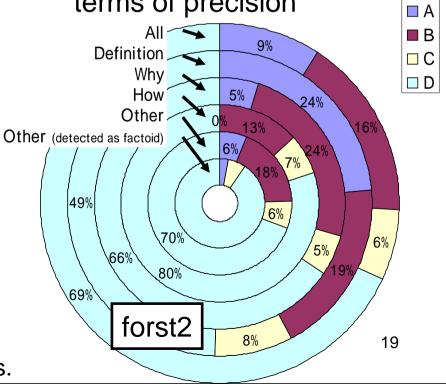


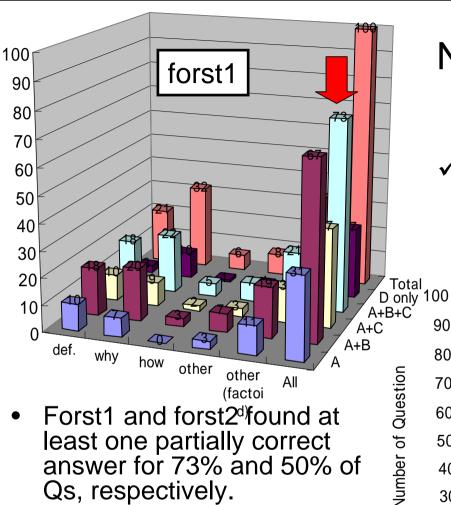
## Number of answers with judgment

- ✓ Micro average of precision.
- ✓ Performance overview in terms of precision



- There are more answers in B judgment than C. The systems return longer answers than expected.
- While forst1 is better for how-type Qs, forst2 is better for why-type Qs.





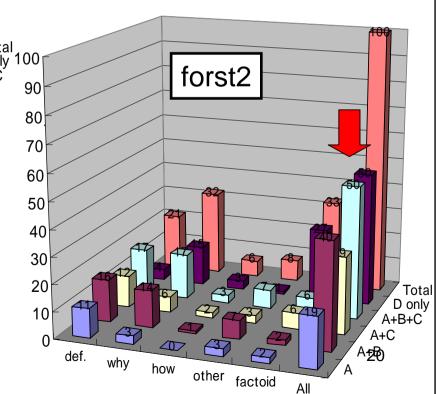
#### Number of questions with judgment

✓ Performance overview in terms of question coverage

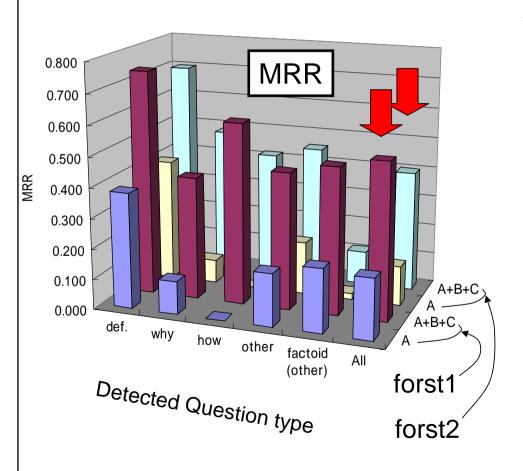
Forst1 and forst2dfound at least one partially correct answer for 73% and 50% of Qs, respectively.

(factoi

The main reason of difference of two systems lies in the questions detected as factoid. It is caused by the failure of Q. classification.



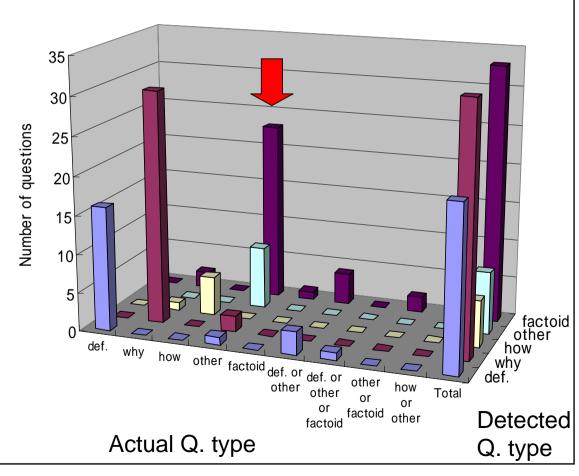
#### **MRR**



- ✓ Ability of ranking answer candidates (i.e. scoring methods)
- MRR values are 0.517 (forst1) and 0.400 (forst2).
- Forst1 produces generally better ranking than forst2

## Performance of question classification

- Many of nonfactoid questions are incorrectly classified into the type of factoid.
- While forst2 is affected by the failure, forst1 is not affected because it treats all questions as non-factoid type.
- In actual situation, we have to have better question classification.



#### QAC4-00036-00: 通信傍受法とはどのような法律ですか。

(What is the wiretap law?)

- Forst1
  - -A
  - B 通信傍受法自自公には乱用防止の監視義務「盗聴」「強行採決」表現めぐり…スタンスに悩んだ…小林雄志。延長国会で最大の与野党対決法案となった通信傍受法を柱とする組織犯罪対策3法を、3カ月余り取材した。通信傍受法は、警察の電話盗聴を合法化するもので、プライバシー侵害の恐れが高いだけでなく、事前に会話を特定することが難しいから、厳格な特定性を必要とする憲法の令状主義に反するとも言われる。
  - C 通信傍受によって的確、有効な水際作戦が可能になる。通信の秘密といえ ども、犯罪捜査という公共の福祉の制約を受け、絶対ではない。通信傍受法 は犯罪情報だけを傍受する仕組みになっており、一般の通信の秘密が侵害 されるものではない。
  - D 京都市西京区、無職、安田清一さん(69)通信傍受法は、傍受する人が社会の味方であり、公正無私な正義の人であるという前提で成り立つ。

#### QAC4-00036-00: 通信傍受法とはどのような法律ですか。

(What is the wiretap law?)

#### • Forst2

→ A 通信傍受法は、警察の電話盗聴を合法化するもので、プライバシー侵害の恐れが高いだけでなく、事前に会話を特定することが難しいから、厳格な特定性を必要とする憲法の令状主義に反するとも言われる。

– B

一 C 通信傍受法は犯罪情報だけを傍受する仕組みになっており、一般の通信の 秘密が侵害されるものではない。

■ D 京都市西京区、無職、安田清一さん(69)通信傍受法は、傍受する人が社会の味方であり、公正無私な正義の人であるという前提で成り立つ。

#### QAC4-00010-00: なぜ神戸に空港が必要なのですか。

(Why is an airport necessary in Kobe?)

#### Forst1

一 A 地域経済全体をかさ上げするためにも空港が必要で、空港があると従来に増して、物流、情報の発信、受信がにぎわうに違いない。

-B

-C

- D 震災復興途上の神戸に、空港は必要か 。「建設の是非は住民投票で」と、住民投票条例の制定を目指す「神戸空港・住民投票の会」(代表世話人、 須田勇・元神戸大学長ら8人)が25日提出した署名は、35万人を超えた。

#### QAC4-00010-00: なぜ神戸に空港が必要なのですか。

(Why is an airport necessary in Kobe?)

#### • Forst2

- 一 A 神戸の経済は現在、阪神大震災前の8割の水準にしか戻っていない。地域経済全体をかさ上げするためにも空港が必要で、空港があると、従来にも増して、物流、情報の発信、受信がにぎわうに違いないと確信しています。また国内航空需要は年々伸びている。
- B 神戸市は空港建設による経済効果について、2010年時点では3万7200人の雇用増と5900億円の所得増と見込む。21世紀に向け、市は医療産業を集積した都市作りを目指しており、笹山幸俊市長は「医療産業・情報のアジアの拠点になるためにも、空港は必要」と力説する。また、連合兵庫と非自民・非共産の政党、団体の地元組織で作る「連合・5党協議会」(座長、石井亮一・連合兵庫会長)は、署名運動反対の街頭活動を展開している。
- -C

-D

### Concluding remarks

- Two types of approaches
  - A monolithic architecture
    - retrieves answer passages related to a question using lexical chain
  - A type-by-type architecture with four subsystems
- The former approach has better performance than the latter.
  - ✓ Passage retrieval using lexical chains and the scoring function work well.
  - ✓ But the failure of the latter approach is mainly due to the failure on the question-type classifier.