

# Overview of the IR for Spoken Documents Task in NTCIR-9 Workshop

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# Outline

- Background
- Task Definition
  - Document Collection
  - Transcriptions
  - Subtasks
- Evaluation Results
  - STD subtask
  - SDR subtask
- Introduction of Oral Presentations

# Background (1/2)

- Increase of the multi-media contents through the Internet.
- Multi-media contents is much more difficult to be retrieved than texts.
  - Metadata is not always available.
  - Manual annotation is expensive.
- **Spoken Document Retrieval**
  - Retrieval using speech track
  - One of the promising methods for retrieving multi-media contents with speech.

# Background (2/2)

- Previous evaluation frameworks related to Spoken Document Retrieval
  - TREC SDR Track (1996-2000), TREC Video Track (2001-2002), TRECVID (2003-2010)
  - CLEF CL-SDR (2003-2004), CLEF CL-SR (2005-2007), CLEF QAST (2007-2009), VideoCLEF (2008-2009), Mediaeval (2010-2011)
  - NIST STD evaluation (2006)
- NTCIR-9 SpokenDoc (2011)
  - Both **STD** and **SDR** task
  - First evaluation targeting **Japanese** and **lecture speech**
  - Investigate **Boundary-free passage retrieval task**

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# Document Collection

- Corpus of Spontaneous Japanese (CSJ)
  - provided from National Institute for Japanese Language and Linguistics (NINJAL)
- ALL set: 2702 lectures from CSJ
  - Lectures at academic societies
  - Simulated lectures on a given subject
  - 612 hours length
- CORE set: 177 lectures
  - Subset of ALL
  - 44 hours length
  - only for STD subtask

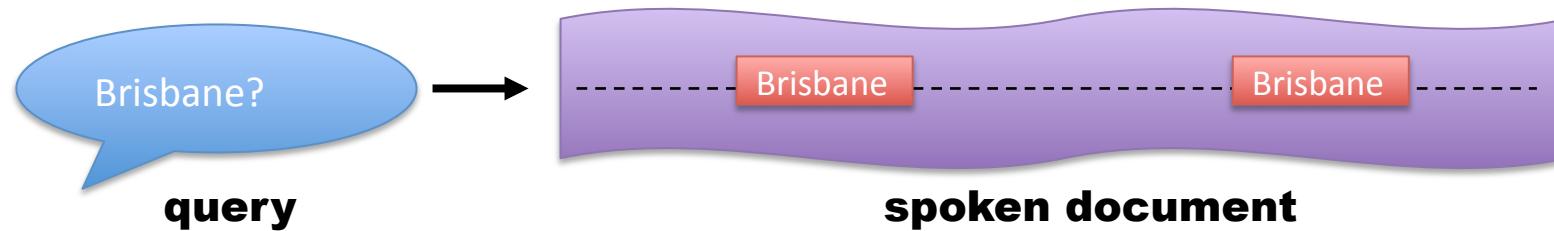
# Reference Automatic Transcriptions

- The organizers provided two transcriptions of CSJ.
  - **Word-based transcription**
    - Produced by using Large Vocabulary Continuous Speech Recognition (LVCSR)
      - Vocabulary Size: 27K
      - using the word tri-gram language model
  - **Syllable-based transcription**
    - Produced by using the syllable-based speech recognition
      - Syllable Dictionary
      - using the syllable tri-gram language model
- The acoustic model and the language models were trained by using the CSJ itself, but by keeping the open condition.

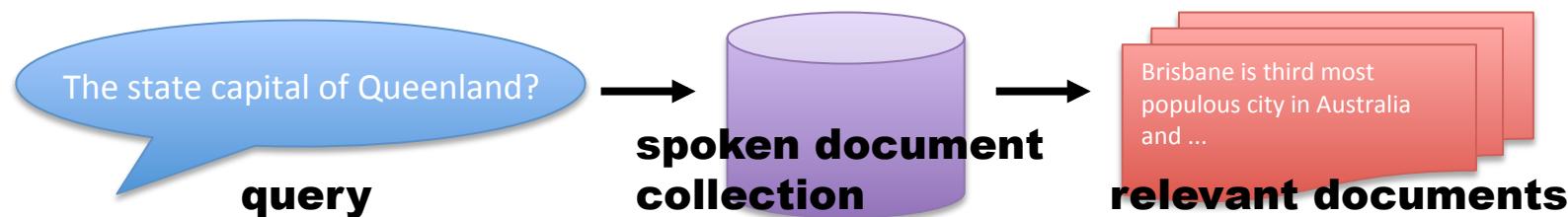
# Task Definition Overview:

## Two Subtasks

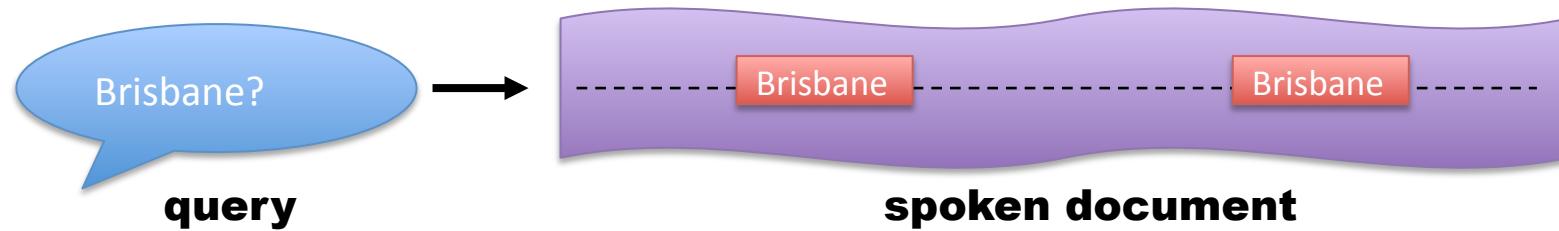
- Spoken Term Detection (STD) subtask
  - Find the occurrence of the given query term



- Spoken Document Retrieval (SDR) subtask
  - Find the segments related to the given query topic



# Task Definition of STD Subtask



- From the target documents, the system is required to find the **Inter Pausal Units (IPUs)** in that the given query term is uttered at least one time.
  - Target: **ALL** or **CORE** set
  - Input: a query term
  - Output: a list of scored IPUs

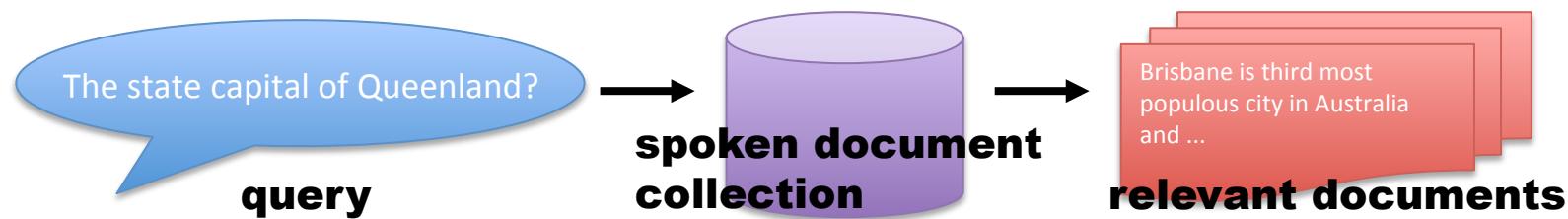
# Inter Pausal Unit (IPU)

- Speech segment surrounded between two pauses no shorter than 200ms.
  - A spoken document = a sequence of IPUs
- Used as an atomic unit in our task definition.
  - Ignore time differences within IPU
- Enable us to apply conventional IR metrics based on discrete units.

# Evaluation Metrics for STD subtask

- Using IPUs as the basic unit.
  - Precision, Recall, and F-measure (micro average)
    - Recall-precision curve
    - F-measure at the specified detection threshold (actual F-measure)
    - Max F-measure at the optimal detection threshold
  - Mean Average Precision (macro average)

# Task Definition of SDR subtask



- From the target documents, the system is required to find the **segments** that are relevant to the given query topic.
  - Target: ALL set
  - Granularity of a segment: **lecture** or **passage**
    - lecture retrieval task
    - passage retrieval task
  - Input: a query topic
  - Output: an ordered list of segments

# An Example Query Topic and its Relevant Passages

Query: 情報検索性能を評価するにはどのような方法があるか知りたい。  
(How can we evaluate the performance of information retrieval?)

## sequence of IPUs

- |  |                  |
|--|------------------|
| 0072: <雑音>   | Relevant Passage |
| 0073: (D ふそ)   |                  |
| 0074: (F え一)漏れなくという方に関係している                              |                  |
| 0075: で(F その)評価尺度としていわゆる再現率と呼ばれているものは(F その)どれだけ(D も)網羅的に |                  |
| 0076: (F え一)検索ができるかということを表わす尺度です                         |                  |
| 0077: <雑音>   | Relevant Passage |
| 0078: (F え)もう一つの   |                  |
| 0079: (F え)スペシフィシティーというのは(F その一)                         |                  |
| 0080: もう一方の特徴で(F あの)目的の重要な要素である(F その)正確に                 |                  |
| 0081: (F え一)検索するということに関係してますこれは(F あの一)評価尺度で言うと           |                  |
| 0082: <雑音>   |                  |
| 0083: (F え)精度  |                  |
| 0084: (F え一)プリシジョンと呼ばれてるやつですね精度                          |                  |
| 0085: に関係するもんすけれど(F その)できるだけ(F その)文書の                    |                  |
| 0086: 内容   |                  |
| 0087: を特徴的な要素を掴まえている                                     |                  |
| 0088: という  |                  |
| 0089: ことが(F ま)望ましい訳です                                    |                  |
| 0090: で当然のことなんか(F ま)両者はある程度(D 排)                         |                  |

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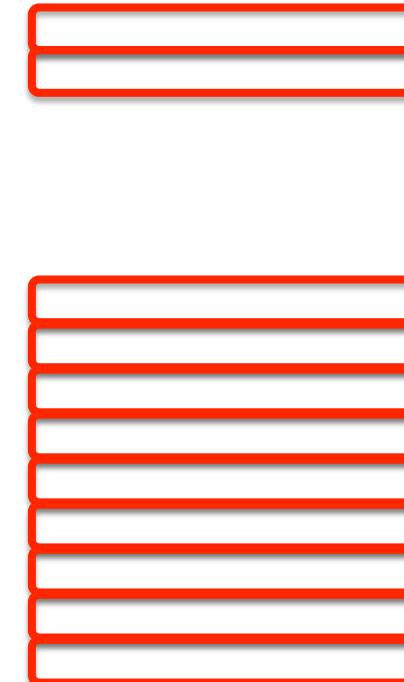
# Evaluation Metrics for SDR subtask

- For lecture retrieval task
  - Mean Average Precision (MAP)
- For passage retrieval task
  - Utterance-based metric
    - utterance-based MAP (uMAP)
  - Passage-based metric
    - point-wise MAP (pwMAP)
    - fractional MAP (fMAP)

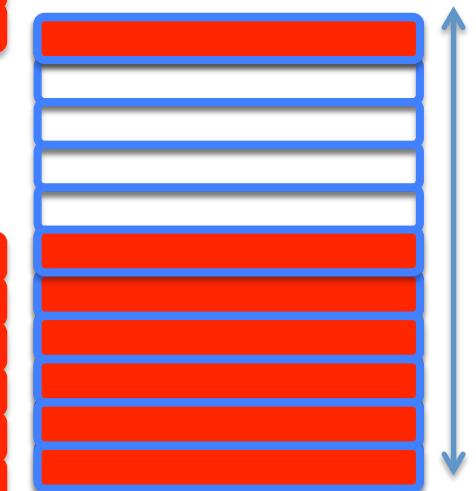
# Utterance-based MAP (uMAP)

0072: <雑音>  
0073: (D ふそ)  
0074: (F えー)漏れなくという方に関係し ...  
**0075: で(F その)評価尺度としていわゆる ...**  
**0076: (F えー)検索ができるかという ...**  
0077: <雑音>  
0078: (F え)もう一つの  
0079: (F え)スペシフィシティーというの ...  
0080: もう一方の特徴で(F あの)目的の ...  
0081: (F えー)検索するということに關 ...  
0082: <雑音>  
0083: (F え)精度  
0084: (F えー)プリシジョンと呼ばれて ...  
0085: に關係するもんすけれど(F ...  
0086: 内容  
0087: を特徴的な要素を掴まえている  
0088: という  
0089: ことが(F ま)望ましい訳です  
0090: で当然のことな ...

Relevant  
IPUs

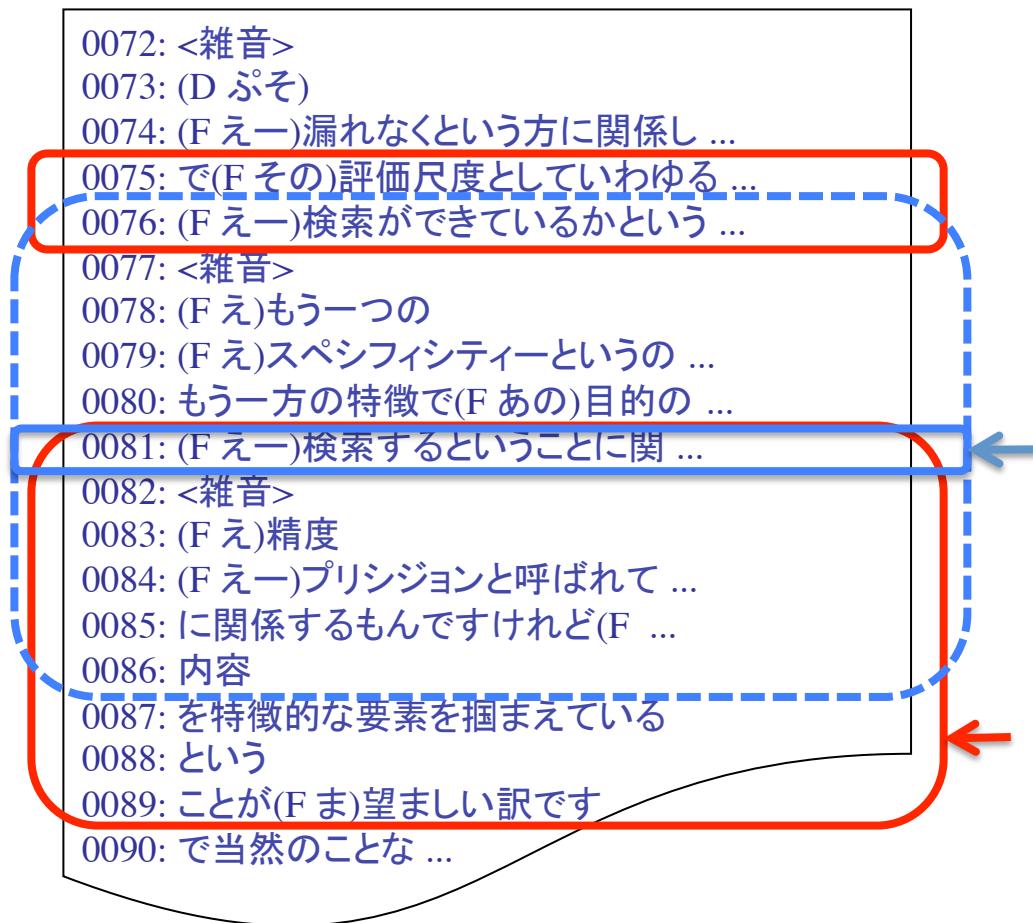


Retrieved  
IPUs



IPUs are reordered  
within the passage  
so as to maximize  
MAP measure.

# Point-wise MAP (pwMAP)



Center IPU of  
Retrieved Passage

This relevant passage as a whole  
is considered to be retrieved.

# Fractional MAP (fMAP)

- 0072: <雑音>
- 0073: (D ふそ)
- 0074: (F えー)漏れなくという方に関係し ...
- 0075: で(F その)評価尺度としていわゆる ...**
- 0076: (F えー)検索ができるかという ...**
- 0077: <雑音>
- 0078: (F え)もう一つの
- 0079: (F え)スペシフィシティーというの ...
- 0080: もう一方の特徴で(F あの)目的の ...
- 0081: (F えー)検索するということに關 ...**
- 0082: <雑音>
- 0083: (F え)精度
- 0084: (F えー)プリシジョンと呼ばれて ...
- 0085: に関係するもんすけれど(F ...
- 0086: 内容
- 0087: を特徴的な要素を掴まえている
- 0088: という
- 0089: ことが(F ま)望ましい訳です**
- 0090: で当然のことな ...

$$fAveP_q =$$

$$\frac{1}{|R_q|} \sum_{i=1}^{|P_q|} \boxed{rel(p_i, R_q)} \frac{\sum_{j=1}^i prec(p_j, R_q)}{i}$$

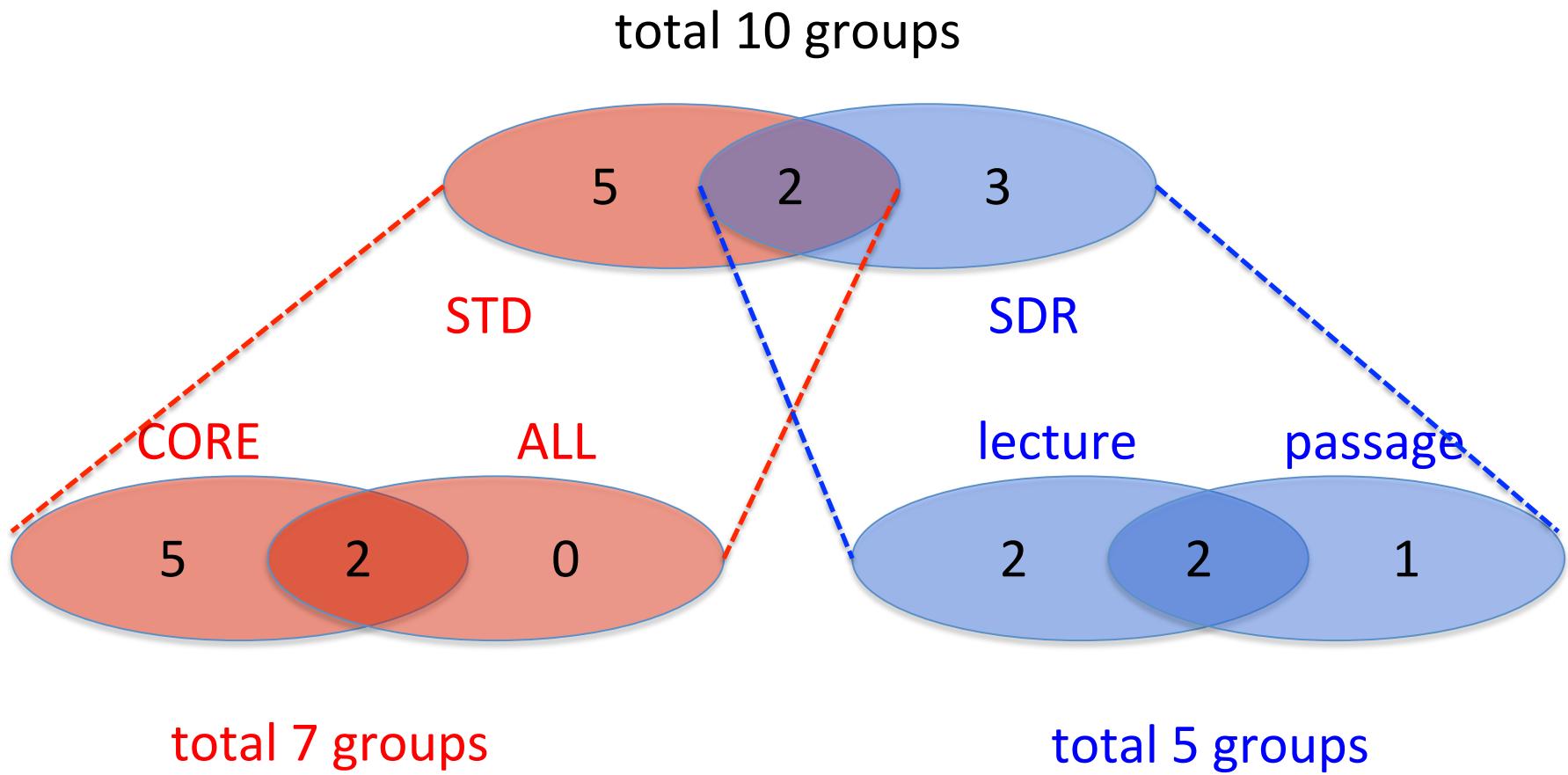
$$prec(p, R_q) = \max_{r \in R_q} \frac{|r \cap p|}{|p|}$$

$$rel(p, R_q) = \max_{r \in R_q} \frac{|r \cap p|}{|r|}$$

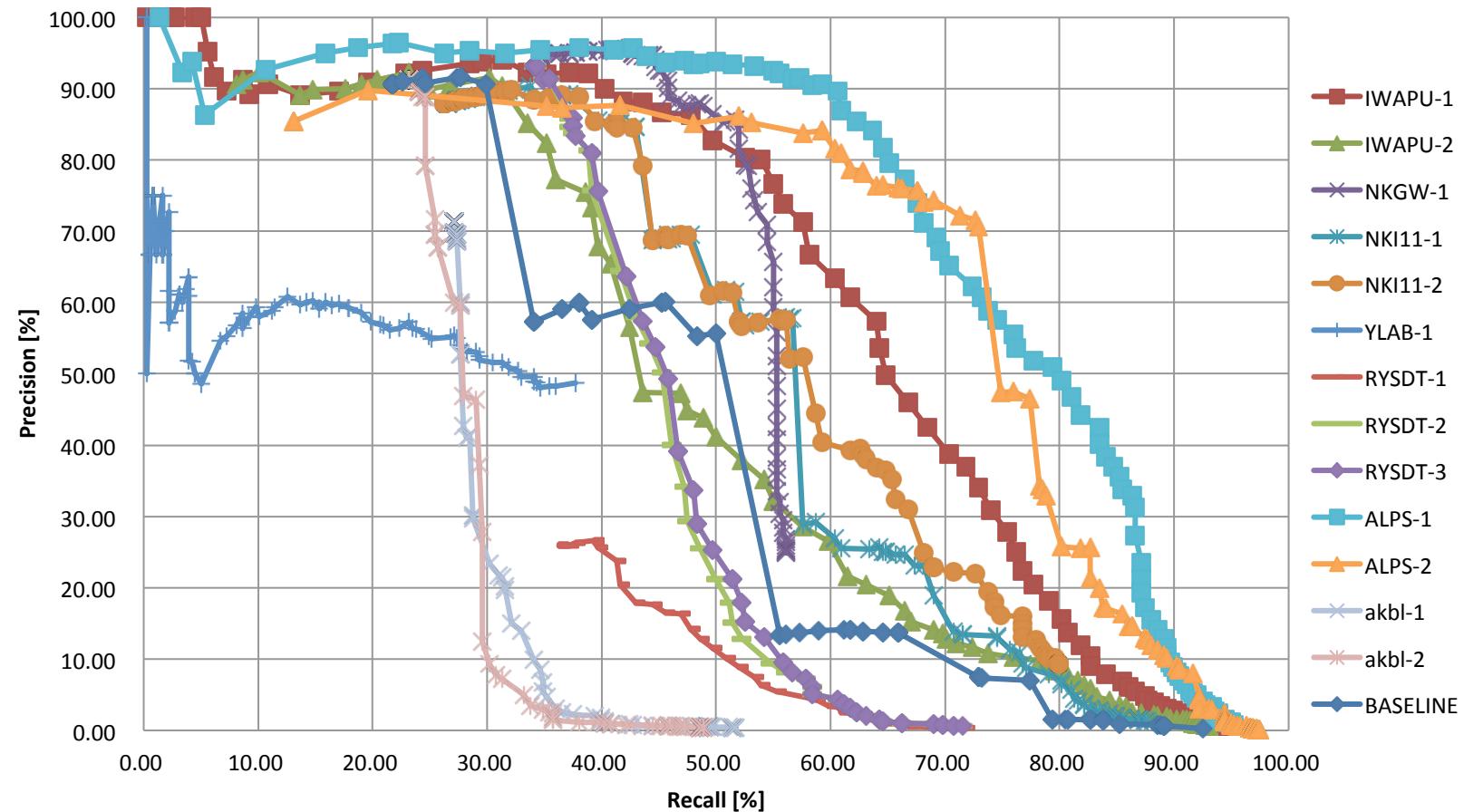
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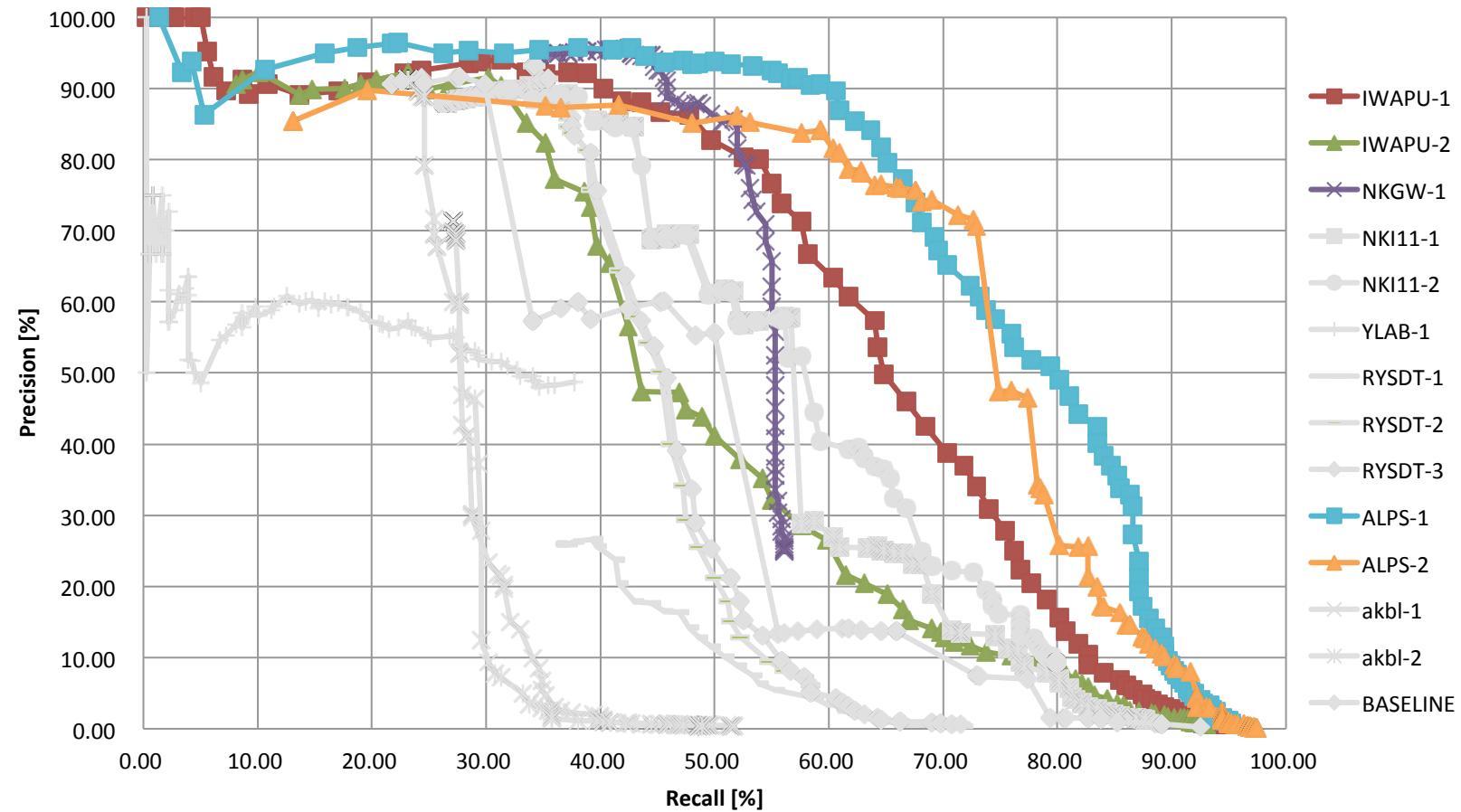
# Participant Groups



# Recall-Precision Curves for STD subtask for CORE set

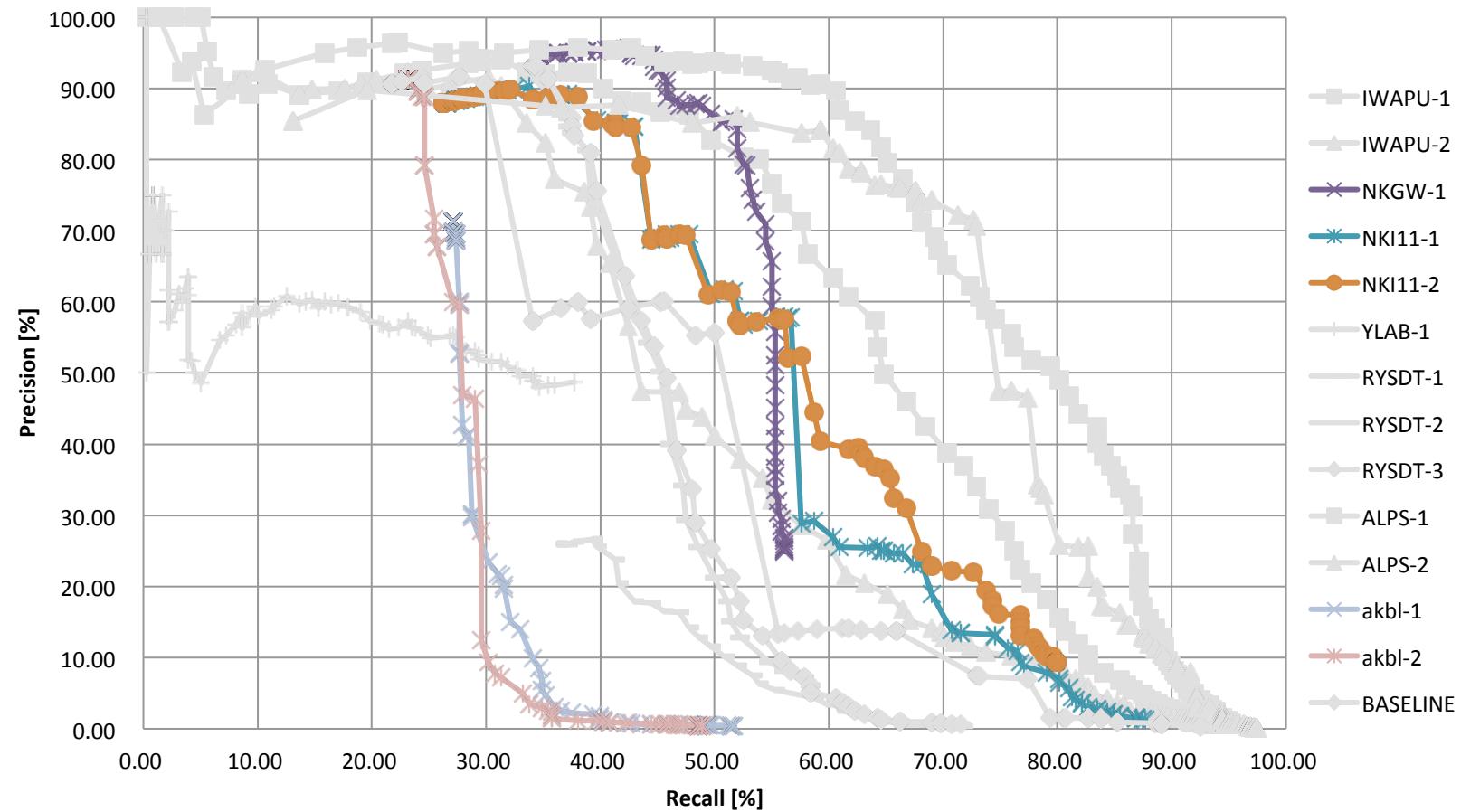


# Recall-Precision Curves for STD subtask for CORE set



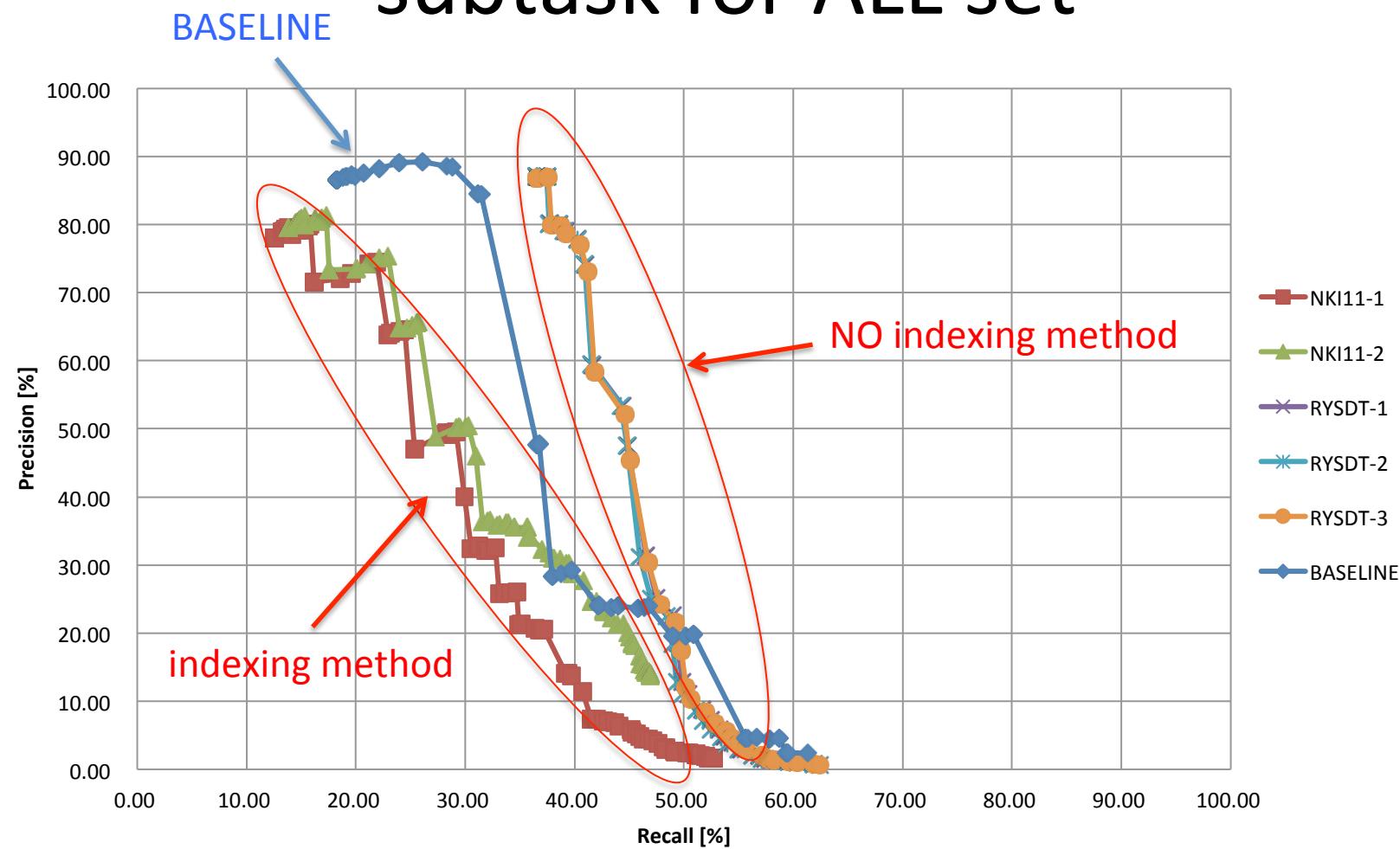
5 runs using multiple transcriptions

# Recall-Precision Curves for STD subtask for CORE set

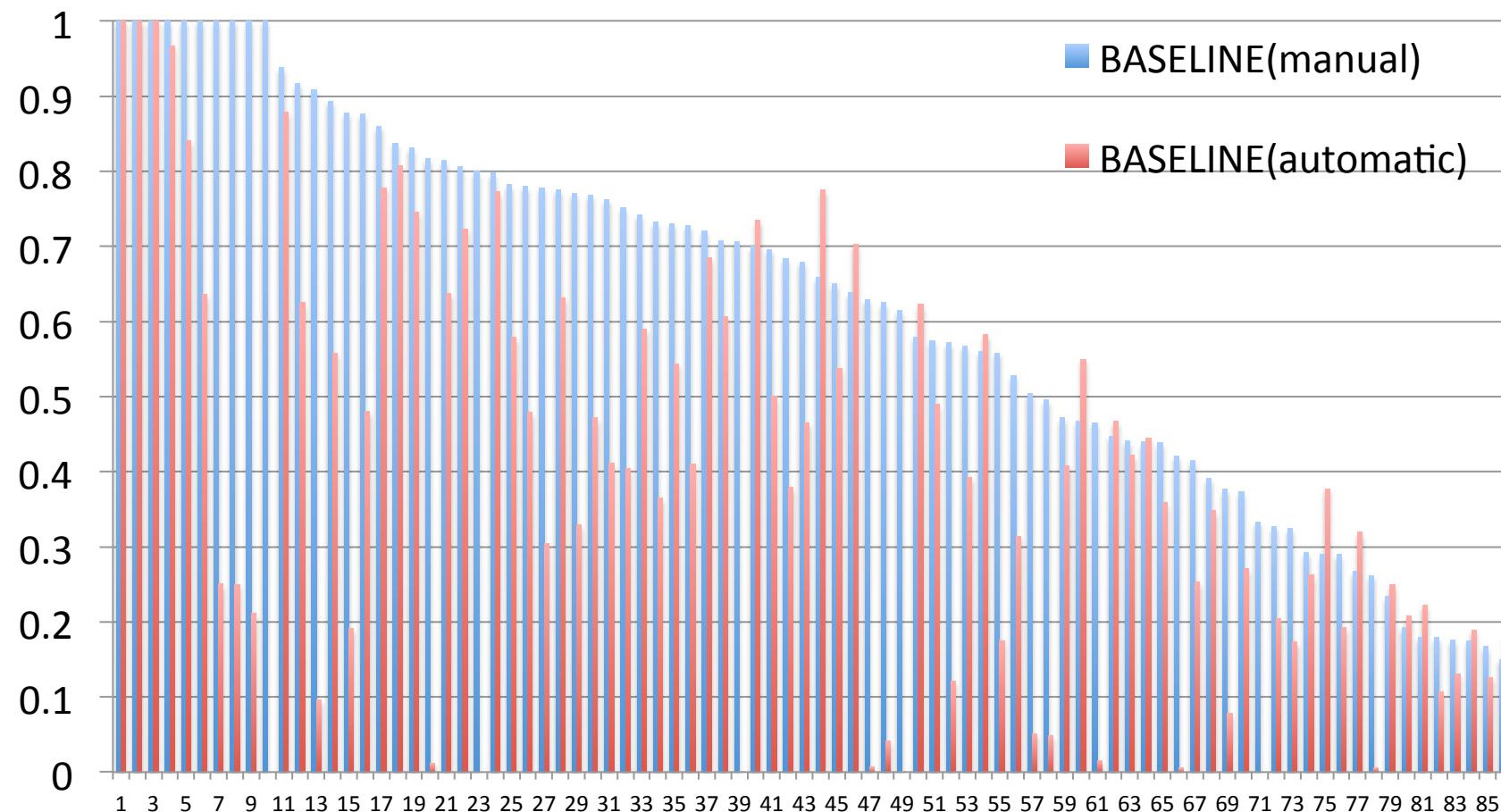


5 runs using indexing for efficiency

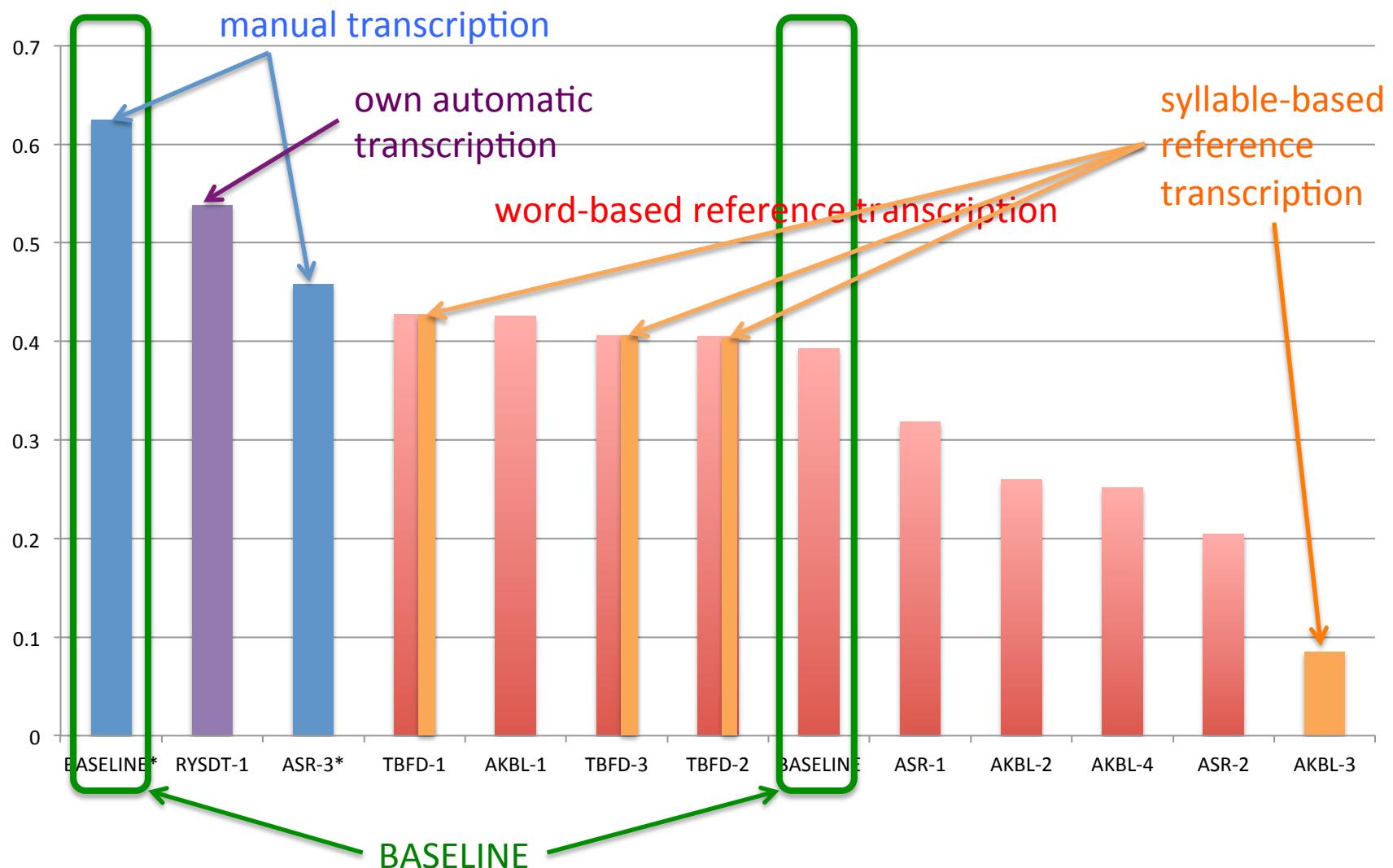
# Recall-Precision Curves for STD subtask for ALL set



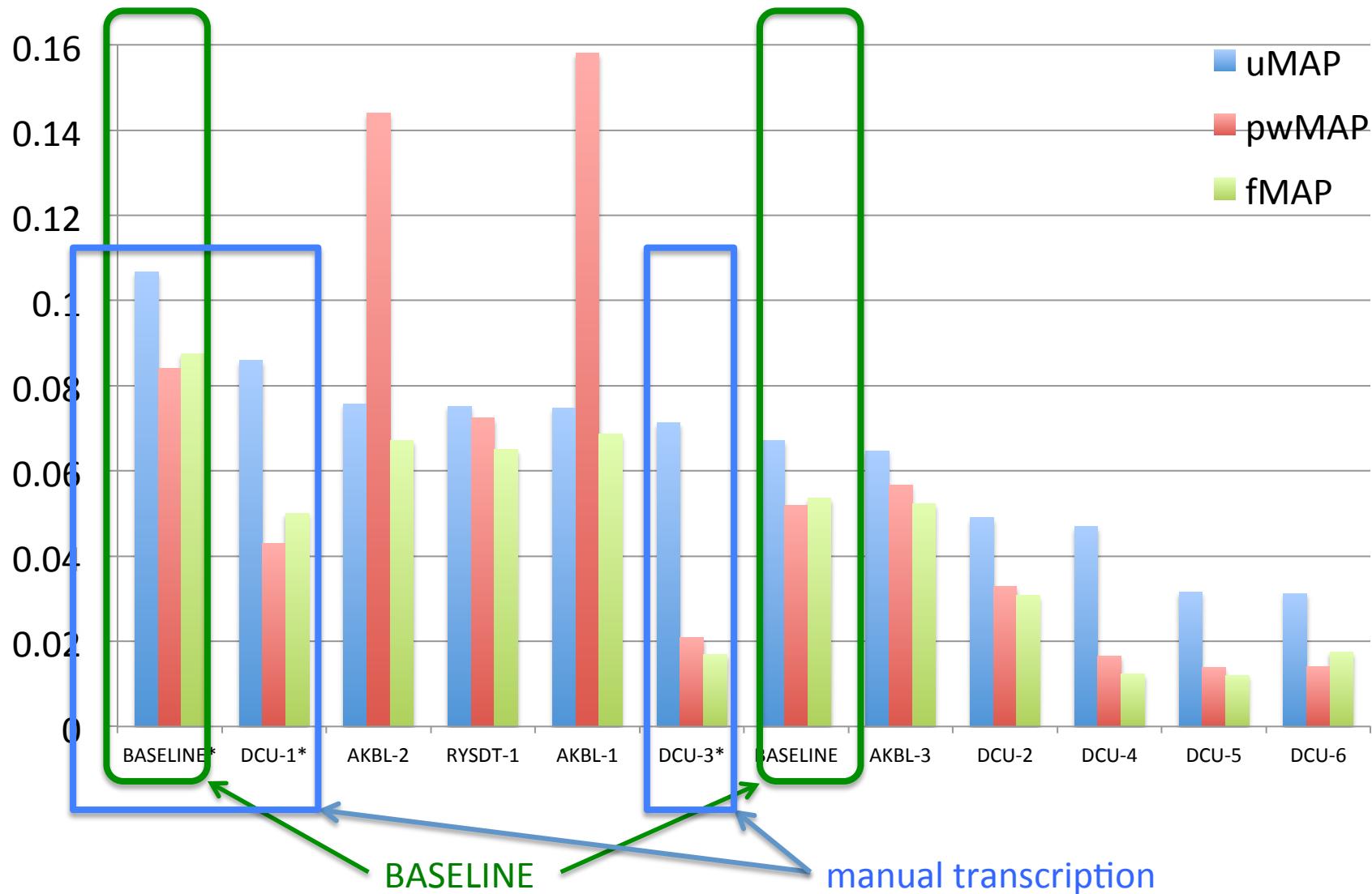
# BASELINE Performance (MAP) per Query for SDR lecture retrieval task



# Evaluation Result (MAP) for the lecture retrieval of SDR subtask



# Evaluation Result for the passage retrieval of SDR subtask



# Findings from STD subtask results

- Task participations were motivated from various research interests, e.g. some for performance, some for efficiency, etc.
  - It, in turn, made comparison among the methods difficult as they often did not share same bases.
    - using various kinds and numbers of transcriptions
    - indexing or not
- Use of multiple transcriptions was one of the most effective methods for improving the performance.
- Indexing methods made the detection thousands times faster than the basic DTW algorithm, while some of them did not degrade the performance much.

# Findings from SDR subtask results

- Common techniques used for text-based IR could help SDR, e.g. pseudo relevance feedback, query and document expansion, TF-IDF weighting, language modeling, etc.
- Some techniques specific for SDR could also be effective, e.g. using multiple recognition candidates, sub-word based indexing, etc.
- Using good transcription (including less errors) consistently improved the IR performance.
- Our boundary-free passage retrieval task was much harder than what had been expected. Solving both the tasks, which are finding the relevant portions in the documents and determining their boundaries, have to be investigated further.

# Oral Presentations

- STD subtask
  - ALPS lab at UY
    - H. Nishizaki, H. Furuya, S. Natori, and Y. Sekiguchi, "Spoken Term Detection using multiple speech recognizers' output at NTCIR-9 SpokenDoc STD subtask"
    - Best performer of STD subtask targeting CORE set
  - Nakagawa LAB
    - K. Iwami and S. Nakagawa, "High speech spoken term detection by combination of n-gram array of a syllable lattice and LVCSR result for NTCIR-SpokenDoc"
    - Achieved efficient detection without much performance loss
- SDR subtask
  - Team Big Four Dragon
    - S. Tsuge, H. Ohashi, N. Kitaoka, K. Takeda, and K. Kita, "Spoken document retrieval method combining query expansion with continuous syllable recognition for NTCIR-SpokenDoc"
    - Best performer among those using reference transcription in lecture retrieval
  - DCU
    - M. Eskevich and G. J. F. Jones, "DCU at the NTCIR-9 SpokenDoc passage retrieval task"
    - Applied text segmentation methods to find the arbitrary length passage