

# 構成的アルゴリズム論 Homomorphisms

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# Outline

- 1 Definition of Homomorphism
- 2 Examples of Homomorphisms
- 3 Algorithm Calculation

# A Problem

## Maximum $p$ -Segment Problem

Given is a sequence  $x$  and a predicate  $p$ . Required is an efficient algorithm for computing a longest segment of  $x$ , all of whose elements satisfy  $p$ .

$$\text{lsp even } [3, 1, 4, 1, 5, 9, 2, 6, 5] = [2, 6]$$

# Homomorphisms

## 定義

A homomorphism from a monoid  $(\alpha, \oplus, id_{\oplus})$  to a monoid  $(\beta, \otimes, id_{\otimes})$  is a function  $h$  satisfying the two equations:

$$\begin{aligned} h id_{\oplus} &= id_{\otimes} \\ h (x \oplus y) &= h x \otimes h y \end{aligned}$$

**Exercise:** Prove that  $h$  is a homomorphism iff the following holds.

$$h \cdot \oplus / = \otimes / \cdot h^* \quad (1)$$

Hint:

$\Rightarrow$ : prove Equation (1) by induction.

$\Leftarrow$ : apply the both sides of Equation (1) to  $[]$  and  $[x, y]$  gives two equations  $h$  should satisfy.

## Examples

- Since  $f_*$  is a homomorphism from  $([\alpha], ++, [])$  to  $([\beta], ++, [])$  whenever  $f : \alpha \rightarrow \beta$ , we have

$$f_* \cdot ++ / = ++ / \cdot f_{**}$$

which is the *map promotion* rule of the previous lecture.

- Since  $\oplus /$  is a homomorphism from  $([\alpha], ++, [])$  to  $(R, \oplus, id_{\oplus})$  whenever  $(\oplus) : R \rightarrow R \rightarrow R$ , we have

$$\oplus / \cdot ++ / = \oplus / \cdot (\oplus /)_*$$

which is the *reduce promotion* rule of the previous lecture.

# Uniqueness Property

We have the fact that  $([\alpha], \text{++}, [])$  is a free monoid, that is for each monoid  $(\beta, \oplus, id_{\oplus})$  there is a unique homomorphism  $h$  from  $([\alpha], \text{++}, [])$  to  $(\beta, \oplus, id_{\oplus})$ .

This homomorphism is determined by the values of  $h$  on singletons. That is, for each  $f : \alpha \rightarrow \beta$ , the additional equation

$$h [a] = f a$$

fixes  $h$  completely.

# Characterization of Homomorphisms

## Lemma (Homomorphism Lemma)

*Every homomorphism from  $([\alpha], ++, [])$  can be expressed as the composition of a reduction with a map, and every such combination is a homomorphism.*

*More precisely, suppose*

$$\begin{aligned} h [] &= id_{\oplus} \\ h [a] &= f a \\ h (x ++ y) &= h x \oplus h y \end{aligned}$$

*then,  $h = \oplus / \cdot f*$ . Conversely, if  $h$  has this form, then  $h$  is a homomorphism.*

# Proof of Homomorphism Lemma

Proof.  $\Rightarrow$ :

$$\begin{aligned}
 & h \\
 = & \{ \text{definition of } id \} \\
 & h \cdot id \\
 = & \{ \text{identity lemma (can you prove it?) } \} \\
 & h \cdot \oplus / \cdot [\cdot]^* \\
 = & \{ h \text{ is a homomorphism, Equation (1)} \} \\
 & \oplus / \cdot h * \cdot [\cdot]^* \\
 = & \{ \text{map distributivity} \} \\
 & \oplus / \cdot (h \cdot [\cdot])^* \\
 = & \{ \text{definition of } h \text{ on singletons} \} \\
 & \oplus / \cdot f^*
 \end{aligned}$$



## Proof of Homomorphism Lemma (Cont.)

$\Leftarrow$ : We reason that  $h = \oplus / \cdot f^*$  is a homomorphism by proving

$$h \cdot ++ / = \oplus / \cdot h^*$$

$$\begin{aligned}
 & h \cdot ++ / \\
 = & \quad \{ \text{given form for } h \} \\
 & \oplus / \cdot f^* \cdot ++ / \\
 = & \quad \{ \text{map and reduce promotion} \} \\
 & \oplus / \cdot (\oplus / \cdot f^*)^* \\
 = & \quad \{ \text{hypothesis} \} \\
 & \oplus / \cdot h^*
 \end{aligned}$$

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# Examples of Homomorphisms

- #: compute the length of a list.

$$\# = + / \cdot K_1^*$$

- *reverse*: reverses the order of the elements in a list.

$$\text{reverse} = \tilde{\#} / \cdot [\cdot]^*$$

Here,  $x \tilde{\oplus} y = y \oplus x$ .

- *sort*: reorders the elements of a list into ascending order.

$$\text{sort} = \wedge / \cdot [\cdot]^*$$

Here,  $\wedge$  (pronounced *merge*) is defined by the equations:

$$\begin{aligned} x \wedge [] &= x \\ [] \wedge y &= y \\ ([a] ++ x) \wedge ([b] ++ y) &= [a] ++ (x \wedge ([b] ++ y)), \quad \text{if } a \leq b \\ &= [b] ++ (([a] ++ x) \wedge y), \quad \text{otherwise} \end{aligned}$$

- *all p*: returns True if every element of the input list satisfies the predicate *p*.

$$\text{all } p = \bigwedge / \cdot p^*$$

- *some p*: returns True if at least one element of the input list satisfies the predicate *p*.

$$\text{some } p = \bigvee / \cdot p^*$$

- *split*: splits a non-empty list into its last element and the remainder.

$$\begin{aligned}
 \mathit{split} [a] &= ([], a) \\
 \mathit{split} (x ++ y) &= \mathit{split} x \oplus \mathit{split} y \\
 &\text{where } (x, a) \oplus (y, b) = (x ++ [a] ++ y, b)
 \end{aligned}$$

**Exercise:** Let  $\mathit{init} = \pi_1 \cdot \mathit{split}$  and  $\mathit{last} = \pi_2 \cdot \mathit{split}$  where  $\pi_1 (a, b) = a$  and  $\pi_2(a, b) = b$ . Show that  $\mathit{init}$  is not a homomorphism, but  $\mathit{last}$  is.

Hint:

$\mathit{init}(x ++ y) = \mathit{init} x \oplus \mathit{init} y$  を満たすような  $\oplus$  が存在しないことを示せばよい. ( $\mathit{init} [1, 2, 3] = \mathit{init} ([1, 2] ++ [3]) = [1] \oplus [] \neq [1, 2].$ )

- *tails*: returns all the tail (final) segments of a list.

$$tails = \oplus / \cdot f *$$

where

$$\begin{aligned} f\ a &= [[a], []] \\ xs \oplus ys &= ( ++\ head\ ys ) * xs ++\ ys \end{aligned}$$

## All applied to

The operator  $^\circ$  (pronounced *all applied to*) takes a sequence of functions and a value and returns the result of applying each function to the value.

$$[f_1, f_2, \dots, f_n]^\circ a = [f_1 a, f_2 a, \dots, f_n a]$$

Formally,  $(^\circ a)$  is a homomorphism:

$$\begin{aligned} []^\circ a &= [] \\ [f]^\circ a &= [f a] \\ (fs ++ gs)^\circ a &= (fs^\circ a) ++ (gs^\circ a) \end{aligned}$$

**Exercise:** Show that  $[\cdot] = [id]^\circ$ .

**Exercise:** Show that we can redefine *tails* to be  $tails = \oplus / \cdot [[id]^\circ, []^\circ]^\circ *$ .



# Conditional Expressions

The conditional notation

$$\begin{aligned} h\ x &= f\ x, && \text{if } p\ x \\ &= g\ x, && \text{otherwise} \end{aligned}$$

will be written by the McCarthy conditional form:

$$h = (p \rightarrow f, g)$$

## Laws on Conditional Forms

$$\begin{aligned} h \cdot (p \rightarrow f, g) &= (p \rightarrow h \cdot f, h \cdot g) \\ (p \rightarrow f, g) \cdot h &= (p \cdot h \rightarrow f \cdot h, g \cdot h) \\ (p \rightarrow f, f) &= f \end{aligned}$$

# Filter

The operator  $\triangleleft$  (pronounced *filter*) takes a predicate  $p$  and a list  $x$  and returns the sublist of  $x$  consisting, in order, of all those elements of  $x$  that satisfy  $p$ .

$$p\triangleleft = ++ / \cdot (p \rightarrow [id]^{\circ}, []^{\circ})^*$$

**Exercise:** Prove that the filter satisfies the *filter promotion* property:

$$(p\triangleleft) \cdot ++ / = ++ / \cdot (p\triangleleft)^*$$

**Exercise:** Prove that the filter satisfies the *map-filter swap* property:

$$(p\triangleleft) \cdot f^* = f^* \cdot (p \cdot f)\triangleleft$$

# Cross-product

$X_{\oplus}$  is a binary operator that takes two lists  $x$  and  $y$  and returns a list of values of the form  $a \oplus b$  for all  $a$  in  $x$  and  $b$  in  $y$ .

$$[a, b]X_{\oplus}[c, d, e] = [a \oplus c, b \oplus c, a \oplus d, b \oplus d, a \oplus e, b \oplus e]$$

Formally, we define  $X_{\oplus}$  by three equations:

$$\begin{aligned} xX_{\oplus}[] &= [] \\ xX_{\oplus}[a] &= (\oplus a) * x \\ xX_{\oplus}(y ++ z) &= (xX_{\oplus}y) ++ (xX_{\oplus}z) \end{aligned}$$

Thus  $(xX_{\oplus})$  is a homomorphism.

# Properties

$[]$  is the *zero element* of  $X_{\oplus}$ :

$$[]X_{\oplus}x = xX_{\oplus}[] = []$$

We have *cross promotion* rules:

$$\begin{aligned} f ** \cdot X_{++} / &= X_{++} / \cdot f ** \\ \oplus / * \cdot X_{++} / &= X_{\oplus} / \cdot (X_{\oplus} /)* \end{aligned}$$

And, if  $\otimes$  distributes through  $\oplus$ , then we have the following general promotion rule:

$$\oplus / \cdot X_{\otimes} / = \otimes / \cdot (\oplus /)*$$

## Example Uses of Cross-product

- $cp$ : takes a list of lists and returns a list of lists of elements, one from each component.

$$cp : [[\alpha]] \rightarrow [[\alpha]]$$

$$cp [[a, b], [c], [d, e]] = [[a, c, d], [b, c, d], [a, c, e], [b, c, e]]$$

$$cp = X_{++} / \cdot ([id]^o *)^*$$

- *subs*: computes all subsequences of a list.

$$\text{subs} : [\alpha] \rightarrow [[\alpha]]$$

$$\text{subs } [a, b, c] = [[], [a], [b], [a, b], [c], [a, c], [b, c], [a, b, c]]$$

$$\text{subs} = X_{++} / \cdot [[\ ]^{\circ}, [id]^{\circ}]^{\circ*}$$

- $(all\ p) \triangleleft$ :

$$(all\ p) \triangleleft = ++ / \cdot (all\ p \rightarrow [id]^{\circ}, []^{\circ})^*$$

Note that *all* can be eliminated with the following property.

$$all\ p \rightarrow [id]^{\circ}, []^{\circ} = X_{++} / \cdot (p \rightarrow [[id]^{\circ}]^{\circ}, []^{\circ})^*$$

**Exercise:** Compute the value of the expression  
 $(all\ even) \triangleleft [[1, 3], [2]]$ .

## Selection Operators

Suppose  $f$  is a numeric valued function. We want to define the operator  $\uparrow_f$  by

$$\begin{aligned} x \uparrow_f y &= x, & f x \geq f y \\ &= y, & \text{otherwise} \end{aligned}$$

Properties:

- ①  $\uparrow_f$  is *associative and idempotent*;
- ②  $\uparrow_f$  is *selective* in that

$$x \uparrow_f y = x \quad \text{or} \quad x \uparrow_f y = y$$

- ③  $\uparrow_f$  is *maximizing* in that

$$f(x \uparrow_f y) = f x \uparrow f y$$



## An Example: $\uparrow_{\#}$

Distributivity of  $\uparrow_{\#}$  :

$$\begin{aligned}x \uparrow\uparrow (y \uparrow_{\#} z) &= (x \uparrow\uparrow y) \uparrow_{\#} (x \uparrow\uparrow z) \\(y \uparrow_{\#} z) \uparrow\uparrow x &= (y \uparrow\uparrow x) \uparrow_{\#} (y \uparrow\uparrow z)\end{aligned}$$

That is,

$$\begin{aligned}(x \uparrow\uparrow) \cdot \uparrow_{\#} / &= \uparrow_{\#} / \cdot (x \uparrow\uparrow)^* \\(\uparrow\uparrow x) \cdot \uparrow_{\#} / &= \uparrow_{\#} / \cdot (\uparrow\uparrow x)^*\end{aligned}$$

We assume  $\omega = \uparrow_{\#} / [ ]$ .

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# A short calculation: $\uparrow_{\#} / \cdot (all\ p) \triangleleft$ is a homomorphism

$$\begin{aligned}
 & \uparrow_{\#} / \cdot (all\ p) \triangleleft \\
 = & \quad \{ \text{definition before} \} \\
 & \uparrow_{\#} / \cdot ++ / \cdot (X_{++} / \cdot (p \rightarrow [[id]^{\circ}]^{\circ}, []^{\circ}) *) * \\
 = & \quad \{ \text{reduce promotion} \} \\
 & \uparrow_{\#} / \cdot (\uparrow_{\#} / \cdot X_{++} / \cdot (p \rightarrow [[id]^{\circ}]^{\circ}, []^{\circ}) *) * \\
 = & \quad \{ \text{cross distributivity} \} \\
 & \uparrow_{\#} / \cdot ( ++ / \cdot \uparrow_{\#} / * \cdot (p \rightarrow [[id]^{\circ}]^{\circ}, []^{\circ}) *) * \\
 = & \quad \{ \text{map distributivity} \} \\
 & \uparrow_{\#} / \cdot ( ++ / \cdot (\uparrow_{\#} / \cdot (p \rightarrow [[id]^{\circ}]^{\circ}, []^{\circ})) *) * \\
 = & \quad \{ \text{conditionals} \} \\
 & \uparrow_{\#} / \cdot ( ++ / \cdot (p \rightarrow \uparrow_{\#} / \cdot [[id]^{\circ}]^{\circ}, \uparrow_{\#} / \cdot []^{\circ}) *) * \\
 = & \quad \{ \text{empty and one-point rules} \} \\
 & \uparrow_{\#} / \cdot ( ++ / \cdot (p \rightarrow [id]^{\circ}, K_{\omega}) *) *
 \end{aligned}$$

## Solution to the Problem

Recall the problem of computing the longest segment of a list, all of whose elements satisfied some given property  $p$ .

$$\begin{aligned}
 & \uparrow_{\#} / \cdot (all\ p) \triangleleft \cdot segs \\
 = & \quad \{ \text{segment decomposition (can you show the derivation?) } \} \\
 & \uparrow_{\#} / \cdot (\uparrow_{\#} / \cdot (all\ p) \triangleleft \cdot tails) * \cdot inits \\
 = & \quad \{ \text{result before } \} \\
 & \uparrow_{\#} / \cdot (\uparrow_{\#} / \cdot ( ++ / \cdot (p \rightarrow [id]^o, K_{\omega}) * ) * \cdot tails) * \cdot inits \\
 = & \quad \{ \text{Horner's rule with } x \odot a = (x ++ (p\ a \rightarrow [a], \omega) \uparrow_{\#} []) \} \\
 & \uparrow_{\#} \cdot \odot \not\rightarrow [] * \cdot inits \\
 = & \quad \{ \text{accumulation lemma } \} \\
 & \uparrow_{\#} \cdot \odot \not\rightarrow []
 \end{aligned}$$

**Exercise:** Show that the definition of  $\odot$  can be simplified to

$$x \odot a = p \ a \rightarrow x \ ++ \ [a], [].$$

**Exercise:** Show the final program is linear in the number of calculation of  $p$ .

**Exercise:** Code the final algorithm in Haskell.

**Exercise:** Can you improve the algorithm by adding computation of  $\#$  in  $\odot$ .