

# **Equivalence Issues in Abduction and Induction**

**Chiaki Sakama**

Wakayama University

**Katsumi Inoue**

National Institute of Informatics

*AIAI'07, Aix-en-Provence, 15<sup>th</sup> Sept. 2007*

# Equivalence issues in AI and Program Development

- **Identification**: identifying different knowledge bases developed by different experts
- **Verification**: correct implementation of a given declarative specification
- **Optimization**: transforming a program to an efficient coding

# Program Equivalence in LP

- P1 and P2 are **weakly equivalent** if they have the same declarative meaning.
- P1 and P2 are **strongly equivalent** if  $P1 \cup R$  and  $P2 \cup R$  have the same declarative meaning for any program R.
- † These equivalence relations compare capabilities of deductive reasoning between programs.

# Comparing non-deductive capabilities between programs

- Intelligent agents perform non-deductive commonsense reasoning as well as deductive reasoning.
- Comparing capabilities of non-deductive reasoning such as abduction and induction is meaningful to measure intelligence of agents.

# Abduction and Induction

- Abduction and induction both produce hypotheses to explain observations using background theories.
- There are at least 3 parameters in this task: background theories, explanations, and observations.

# Equivalence issues in abduction and induction

- **Equivalence of background theories:**  
Two background theories are equivalent if they produce the same explanations for any observation.
- **Equivalence of explanations:**  
Two explanations are equivalent if they account for the same observation under a given background theory.
- **Equivalence of observations:**  
Two observations are equivalent if they produce the same explanations under a given background theory.

# Abductive Equivalence

[Inoue and Sakama, IJCAI-05, MBR-06]

- **Explainable equivalence** considers whether two theories have the same explainability for any observation.
- **Explanatory equivalence** considers whether two theories have the same explanations for any observation.

Necessary and sufficient conditions are provided for abductive equivalence in FOL and abductive logic programming.

# Example

- $B1 = \{ \textit{rained} \rightarrow \textit{wet-grass} \}$  and  $B2 = \{ \textit{sprinkler-on} \rightarrow \textit{wet-grass} \}$  with  $H = \{ \textit{rained}, \textit{sprinkler-on} \}$  are **explainably equivalent**, because *wet-grass*, *rained*, *sprinkler-on* are all explainable in both  $(B1, H)$  and  $(B2, H)$ .
- Two theories are not **explanatorily equivalent**, because *wet-grass* is explained by *rained* in  $B1$ , but it is not explained by *rained* in  $B2$ .



# Inductive Equivalence

## [Sakama & Inoue, ILP-05]

- A background theory is **inductively equivalent** to another background theory if they induce the same hypothesis in face of any example.
- Conditions for inductive equivalence are compared in different ILP systems.

# Remaining Issues

- Conditions for equivalence of explanations.
- Conditions for equivalence of observations.
- Conditions for explainable equivalence in skeptical abduction.
- Computational complexities of testing those equivalences.

We investigate these issues in both FOL and (nonmonotonic) logic programming.

# Abduction in FOL

- **Abductive theory**  $(B, H)$  where  $B$  and  $H$  are sets of first-order formulas, respectively representing a **background theory** and a **candidate hypothesis**.
- Given an **observation**  $O$  as any formula,  $E(\subseteq H)$  is an **explanation** of  $O$  if
  - $B \cup E \models O$
  - $B \cup E$  is consistent.

# Remark

- The above definition also characterizes (explanatory) induction.
- Given a finite set  $G$  of examples, induction finds a hypothesis  $E$  satisfying
$$B \cup E \models G \text{ where } B \cup E \text{ is consistent.}$$
- Put  $O = \bigwedge_{g \in G} g$  and  $H = F$ , where  $F$  is the set of all formulas.
- Then, induction is characterized as abduction, and we do not distinguish them hereafter.

# General Extended Disjunctive Program (GEDP)

- Rules

$L_1 ; \dots ; L_k ; \text{not } L_{k+1} ; \dots ; \text{not } L_l$

$\leftarrow L_{l+1} , \dots , L_m , \text{not } L_{m+1} , \dots , \text{not } L_n$

where  $L_i$  are literals.

- Meaning

If all  $L_{l+1} , \dots , L_m$  hold and all  $L_{m+1} , \dots , L_n$  do not hold, then some of  $L_1 , \dots , L_k$  hold or some of  $L_{k+1} , \dots , L_l$  do not hold.

# Answer Set Semantics

- A declarative meaning of a GEDP is given by the **answer set semantics** (Gelfond and Lifschitz).
- A program is **consistent** if it has a consistent answer set. The set of answer sets of P is denoted by **AS(P)**.
- A literal L is a consequence of **skeptical/credulous** reasoning in a program P if L is included in every/some answer set of P.
- For a consistent program P, define

$$\text{skp}(P) = \bigcap_{S \in \text{AS}(P)} S \quad \text{and} \quad \text{crd}(P) = \bigcup_{S \in \text{AS}(P)} S$$

# Abductive Logic Program

- Abductive program  $\langle P, A \rangle$  where  $P$  and  $A$  are GEDPs, respectively representing a background theory and a candidate hypothesis.
- Every element in  $A$  is called an abducible.
- Given an observation  $O$  as a ground literal,  $E(\subseteq H)$  is a credulous/skeptical explanation of  $O$  in  $\langle P, A \rangle$  if  $O$  is included in some/every consistent answer set of  $B \cup E$ .

# Example

- P: *watchTV* ; *sleeping*  $\leftarrow$  *holiday*, **not busy**,  
*working*  $\leftarrow$  *holiday*, *busy*,  
*holiday*  $\leftarrow$ .

A: *busy* .

- P has two answer sets:  $\{ \textit{holiday}, \textit{watchTV} \}$   
and  $\{ \textit{holiday}, \textit{sleeping} \}$ . So,  $O1 = \textit{watchTV}$   
has the credulous explanation  $E1 = \{ \}$  .
- $P \cup \{ \textit{busy} \}$  has the single answer set:  
 $\{ \textit{holiday}, \textit{busy}, \textit{working} \}$ . So,  $O2 = \textit{working}$   
has the skeptical explanation  $E2 = \{ \textit{busy} \}$ .



# Remark

- The above definition also characterizes **inductive logic programming**.
- Given a finite set  $G$  of ground literals as examples, build a rule  $O \leftarrow G$  and put  $B' = B \cup \{ O \leftarrow G \}$ . Then,  
$$B \cup E \models G \text{ iff } B' \cup E \models O .$$
- Again, induction is characterized as abduction in the context of logic programming.

# Equivalence of Explanations in First-Order Abduction

- **Definition** Given an abductive theory  $(B, H)$ , two explanations  $E_1$  and  $E_2$  are **equivalent** if, for any observation  $O$ ,  $E_1$  is an explanation of  $O$  in  $(B, H)$  iff  $E_2$  is an explanation of  $O$  in  $(B, H)$ .

# Result

- **Theorem** Let  $(B,H)$  be an abductive theory. Then, two explanations  $E1$  and  $E2$  are equivalent iff  $B \cup E1 \equiv B \cup E2$  .

- Given

B:  $p \supset q, q \supset p, p \wedge q \supset r$

H:  $p, q,$

$E1 = \{ p \}, E2 = \{ q \}, E3 = \{ p, q \}$

are all equivalent explanations.

# Equivalence of Explanations in Abductive LP

- **Theorem** Let  $\langle P, A \rangle$  be an abductive program. Then, two explanations  $E1$  and  $E2$  are equivalent if  $AS(P \cup E1) = AS(P \cup E2)$  where  $P \cup E1$  and  $P \cup E2$  are consistent.

- Given

$P : \quad p \leftarrow \mathbf{not} q, \quad q \leftarrow \mathbf{not} p, \quad r \leftarrow \mathbf{not} r$

$A : \quad r \leftarrow p, \quad r \leftarrow \mathbf{not} q,$

$E1 = \{ r \leftarrow p \}, E2 = \{ r \leftarrow \mathbf{not} q \}, E3 = \{ r \leftarrow p, r \leftarrow \mathbf{not} q \}$  are all equivalent explanations.

# Equivalence of Observations in First-Order Abduction

- **Definition** Given an abductive theory  $(B, H)$ , two observations  $O_1$  and  $O_2$  are **equivalent** if, for any  $E(\subseteq H)$ ,  $E$  is an explanation of  $O_1$  in  $(B, H)$  iff  $E$  is an explanation of  $O_2$  in  $(B, H)$ .

# Result

- **Theorem** Let  $(B,H)$  be an abductive theory. Then, two observations  $O1$  and  $O2$  are equivalent iff  $B \cup E \models O1 \equiv O2$  for any  $E \subseteq H$  such that  $B \cup E$  is consistent.
- Given  
     $B1: p \supset q, \quad H1: p, q,$   
     $O1 = p$  and  $O2 = p \wedge q$  are equivalent.  
    But  $O1$  and  $O2$  are not equivalent in  
     $B2: p \vee q, \quad H2: p, q .$

# Equivalence of Observations in Abductive LP

- **Theorem** Let  $\langle P, A \rangle$  be an abductive program,  $O_1$  and  $O_2$  be observations.
  - ▣  $O_1$  and  $O_2$  are equivalent in credulous abduction iff  $O_1 \in \text{crd}(P \cup E) \Leftrightarrow O_2 \in \text{crd}(P \cup E)$
  - ▣  $O_1$  and  $O_2$  are equivalent in skeptical abduction iff  $O_1 \in \text{skp}(P \cup E) \Leftrightarrow O_2 \in \text{skp}(P \cup E)$for any  $E \subseteq A$  s.t.  $P \cup E$  is consistent.

# Example

- Given

$P : \quad wet \leftarrow rain, \mathbf{not} \neg wet ,$   
 $\quad \neg wet \leftarrow rain, \mathbf{not} wet$

$A : \quad rain$

- Putting  $E = \{\}$ ,  $P \cup E$  has the answer set  $\{\}$ .

- Putting  $E = \{rain\}$ ,  $P \cup E$  has two answer sets  $\{rain, wet\}$  and  $\{rain, \neg wet\}$ .

Then,  $O1 = wet$  and  $O2 = \neg wet$  are equivalent in both credulous/skeptical abduction.



# Summary of Results

## necessary and sufficient conditions

Logic	Background Theory		Explanation	Observation
	explainable	explanatory		
FOL (B1, H1) v.s. (B2, H2)	$\text{Ext}(B1, H1) = \text{Ext}(B2, H2)^*$	$B1 \equiv B2$	$B \cup E1 \equiv B \cup E2$	$B \cup E \models O1 \equiv O2$ for any $E \subseteq H$
ALP (credulous) $\langle P1, A1 \rangle$ v.s. $\langle P2, A2 \rangle$	$\bigcup_{E \in A1} \text{crd}(B1 \cup E) = \bigcup_{F \in A2} \text{crd}(B2 \cup F)$	B1 and B2 are strongly equivalent wrt $A1=A2$ .	$AS(P \cup E1) = AS(P \cup E2)$	$O1 \in \text{crd}(P \cup E) \Leftrightarrow O1 \in \text{crd}(P \cup E)$
(skeptical)	$\exists E \in A1, \exists F \in A2$ s.t. $\text{skp}(P1 \cup E) = \text{skp}(P2 \cup F)$	B1 and B2 are strongly equivalent wrt $A1=A2$ .	$AS(P \cup E1) = AS(P \cup E2)$	$O1 \in \text{skp}(P \cup E) \Leftrightarrow O2 \in \text{skp}(P \cup E)$

\*  $\text{Ext}(B, H) = \text{Th}(B \cup S)$  where S is a maximal subset of H s.t.  $B \cup S$  is consistent.

# Summary of Results

## Computational Complexities (Propositional Case)

Logic	Background Theory		Explanation	Observation
	explainable	explanatory		
FOL (B1,H1) v.s. (B2,H2)	$\Pi^P_2$ - complete*	coNP- complete	coNP- complete	coNP- complete
ALP (credulous) $\langle P1, A1 \rangle$ v.s. $\langle P2, A2 \rangle$	$\Pi^P_2$ -hard (in $\Delta^P_3$ )	$\Pi^P_2$ - complete	$\Pi^P_2$ - complete	$\Sigma^P_2$ -complete
(skeptical)	$\Pi^P_2$ -hard (in $\Delta^P_4$ )	$\Pi^P_2$ - complete	$\Pi^P_2$ - complete	$\Pi^P_2$ -complete

\*Testing explainable equivalence of Horn programs is tractable.

# Conclusion

- Logical equivalence characterizes equivalence problems in first-order abduction. In abductive LP, strong equivalence, **weak equivalence**, and other equivalence notions characterize different problems.
- What makes comparison of abductive programs more complicated is **nonmonotonicity** in ALP, which also makes computational task of equivalence testing harder than first-order abduction in general.
- From the viewpoint of program development, program transformations such as **unfold/fold** do not preserve strong equivalence of programs. Hence, they are not used for optimizing background theories without changing the results of abduction/induction in general.