# The MCAT Math Retrieval System for NTCIR-11 Math Track NII MCAT team (mathcat@nii.ac.jp): Giovanni Yoko Kristianto, Goran Topić, Florence Ho, Akiko Aizawa

## Summary

We introduce an encoding technique to capture the structure and content of mathematical expressions. We associate each mathematical expression with two types of automatically extracted textual information, namely words in context window and descriptions. We also introduce dependency graph and post-retrieval reranking methods to improve the performances of our mathematical search system.

## **Extracting Textual Information for Math Formulae**

**Context window** for a mathematical expression consists of ten words preceding and following the expression.

Example of context:

MATH Context The notation *T* refers to a set of topics, *V* to the word vocabulary and  $g_i(\alpha_i)$ 

## Indexing Math Formulae and Textual Information

Indexing the mathematical formulae

The structure of MathML tree is encoded in several Lucene fields:

- opaths: all vertical paths in the tree, specifying for each node its position among sisters
- upaths: all vertical paths, without the position information

to the Dirichlet distribution associated with topic t<sub>i</sub>.

**Descriptions** for each mathematical expression is extracted using binary classification method (SVM) by taking all noun phrases as description candidates and using features as follows.

Matched sentence patterns?	IN is set
Apposition?	set ℕ
Colon?	set: IN
Comma?	set, N
Intervening expression?	$\operatorname{set} \dots A \dots \mathbb{N}$
Parenthetical?	IN (set)
Word distance	set (4 words) IN
After description?	set ℕ
2-word description context	in/IN the/DT <u>set/NN</u> N/MATH of/IN
3-word expression context	in/IN the/DT set/NN <u>IN/MATH</u> of/IN natural/JJ numbers/NNS
First word of description	set/NN
Last word of description	set/NN
Unigrams	in/IN, the/DT, set/NN, N/MATH, of/IN, natural/JJ, numbers/NNS
Bigrams	in/IN the/DT, the/DT set/NN, set/NN N/MATH, N/MATH of/IN
Trigrams	in/IN the/DT set/NN, set/NN N/MATH of/IN
First intervening verb	set $\dots$ shows $\dots$ $\mathbb{N}$

- sisters: all non-trivial collections of sisters
- This is repeated for all non-trivial subtrees

#### Indexing the natural language descriptions

• There are also full-text fields for expression descriptions, processed according to the language (word segmentation, stemming...)

**Indexing Example: the polynomial**  $\sum_{i=1}^{n} a_i x^i$ 



opaths: 1#msubsup 1#1#mo#Σ 1#2#1#mi#i 1#2#2#mo#= 1#2#3#mn#1
 1#3#mi#n 2#msub 2#1#mi#a 2#2#mi#i
opaths: msubsup 1#mo#Σ 2#1#mi#i 2#2#mo#= 2#3#mn#1 3#mi#n
upaths: #msubsup ##mo#Σ ###mi#i ###mo#= ###mn#1 ##mi#n #msub

Distance in predicate-arg.

set (4 hops) IN

Several other features based on predicate argument structure

*Example of description:* 

#### MATH Description

The notation *T* refers to a set of topics, *V* to the word vocabulary and  $g_i(\alpha_i)$  to the Dirichlet distribution associated with topic  $t_i$ .

#### Performance of description extraction

- Exact match (Precision, Recall, F-1) : 73.72%, 45.88%, 41.40%
- Partial match (Precision, Recall, F-1): 80.80%, 72.77%, 76.58%

# **Dependency Graph**

A dependency graph is defined as follows.

- a directed graph
- each vertex represents a distinctive math expression
- an edge from vertex *mathexp\_1* to *mathexp\_2* indicates *mathexp\_1* (parents) contains *mathexp\_2* (child)

##mi#a ##mi#i
upaths: msubsup #mo#Σ ##mi#i ##mo#= ##mn#1 #mi#n
sisters: mi#i mo#= mn#1
sisters: mo#Σ mi#n

description\_en: the polynomial (indexed as: polynomi)

# **Post-Retrieval Reranking**

Given a query formula  $f_q$  and each formula  $f_x$  in the retrieved list, we calculate a score *formSim*.

 $formSim(f_x, f_q) = \lambda \cdot constSim(f_x, f_q) + (1 - \lambda) \cdot structSim(f_x, f_q)$ 

where

- $\lambda$  is weighting parameter ([0 · · · 1]).
- *constSim* is content similarity measure (operators, numeric literal, and identifiers) and is computed using Euclidean distance.
- *structSim* is structure similarity (distinct paths from the MathML representation) computed using Euclidean distance.
- The *formSim* scores are used to to weight the search scores and thus

Descriptions from children can be useful to represent the parent. **Example:** expression  $u_{ij} = \sum_{k=1}^{j} -1^{i-k} {\binom{i-1}{k-1}} {\binom{n-i}{j-k}} a^{2k-i-1}$  which is relevant to a query  $x^2 - x - 1 = 0$  (golden ratio; Fibonacci)

 $(u)^{n} (u)^{n} (u)^$ 

rerank the result.

Results								
Run	High Relevancy			Partial Relevancy				
	P@5	P@10	MAP	P@5	P@10	MAP		
nodep-context	.1640	.0960	.0515	.4040	.2400	.0776		
dep-descriptions	.1920	.1160	.0648	.4160	.2600	.0860		
all_text	.2120	.1240	.0718	.4480	.2800	.0926		
dep-rerank	.2080	.1300	.0742	.4640	.2800	.0933		

Description extraction time: 360 hours (approx.) Indexing time: 48 hours (40h encoding + 8h importing data to Solr) Solr Index size (on disk): 58Gb Average query answer time: 55,262 ms.