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## Overview

Retrieval based Method Solr search engine + Similarity

Generative Model

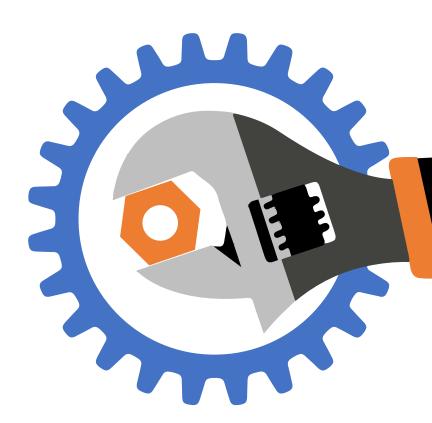
Short Text Generation

Emotion Classification model

Generative Model + General Purpose Response

Generation
Purpose Response



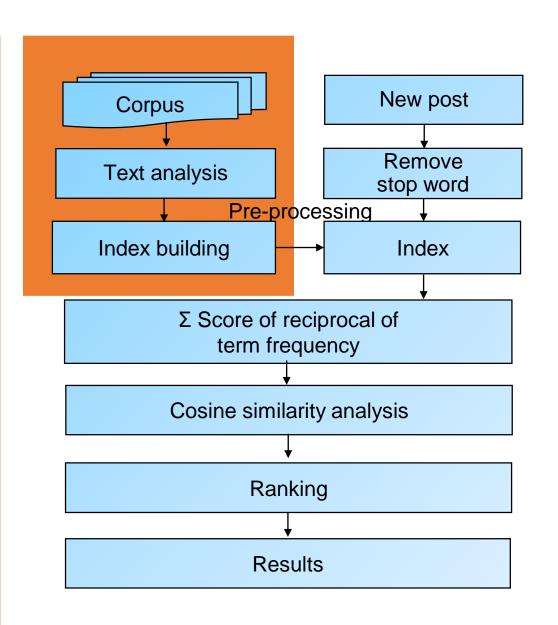


# Retrieval Based

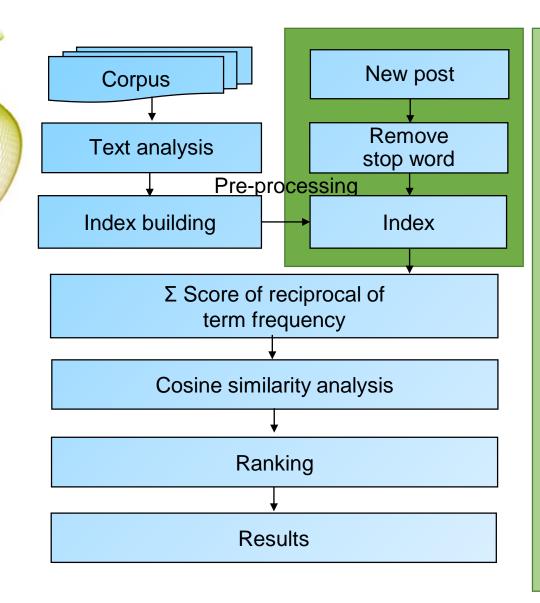
Search responses from corpus.

## Overview of Retrieval-based Method

- We used Solr to index the corpus.
- Before indexing it, we perform word segmentation, text analysis, and remove stop words.
- Then, we complete the Solr index building.

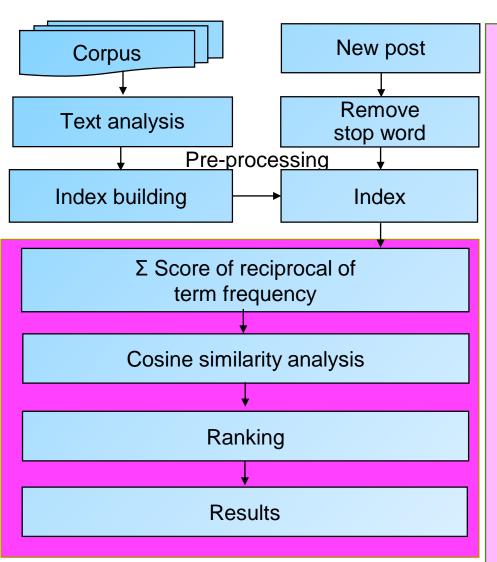


# Retrieval-based Method: Search the new post



- When a new post provided, we searched the Solr index, and obtain the fetched potential candidate comments.
- We used all terms (words)
  from the provided new post
  one by one to search the Solr.
- If the term appeared in the post of post-comment pair, we fetched the "comment" (rather than post) as potential candidates for generated comments.
- Keep the first 500 search results

# Ranking the Results



- We calculated the accumulated inverse term frequency.
- We computed the cosine similarity between the new post and the candidate comments.
- We multiplied accumulated inverse term frequency by cosine similarity as the relevance score.
- The candidate comment that match the assigned emotion and with highest relevance score was treated as the generated comment.

# **Evaluations** | Retrieval-based Method

Evaluation Results									
Result	Submission	Method	Label 0	Label 1	Label 2	Total	Overall score	Average score	
Evaluation result	RUN 1	Retrieval	716	200	84	1000	368	0.368	

Only 3 teams Table 5. The result of the overall score and average score.

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method

Team	Name	Label 0	Label 1	Label 2	Total	Overall score	Average score
AINT	$PU_{-1}$	716	200	84	1000	368	0.368
IMTK	$U_{-1}$	580	248	172	1000	592	0.592



$\mathrm{WUST}\_1$	601	211	188	1000	587	0.587
						<u>'</u>

### Weakness of our retrieval method

### We do not used semantic analysis before searching

- We used only the terms in the new post to search the results.
- We should also used similar term with similar meaning to search the corpus.

### **Emotion Categories**

• We do not consider the noisy of emotion classification. We realize the precision issue of emotion categories after receiving the evaluation results.

### **Evaluations**

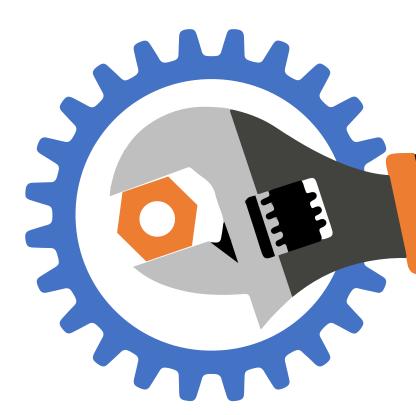
Retrieval-based Method

Evaluation Results										
Result	Submission	Method	Label 0	Label 1	Label 2	Total	Overall score	Average score		
Evaluation result	RUN 1	Retrieval	716	200	84	1000	368	0.368		

We realize the precision issue of emotion categories after receiving the evaluation results.

Only 30% (84/284) response were with correct emotion.

According to the organizers, the accuracy rate for emotion classification was 62% in their NLPCC papers. The actual accuracy rate may be lower than that.



# Generative Approach

Automatically generate responses to questions

# **Generative Approach**

Generative Model Short Response Generation

Emotion Classification model

# Generative Models

**Automatically** Generated Response in Short text conversion



We employed an attention-based sequence to sequence (Seq2Seq) network model for the generation-based approach.

### Generative Models | Generation-based Method

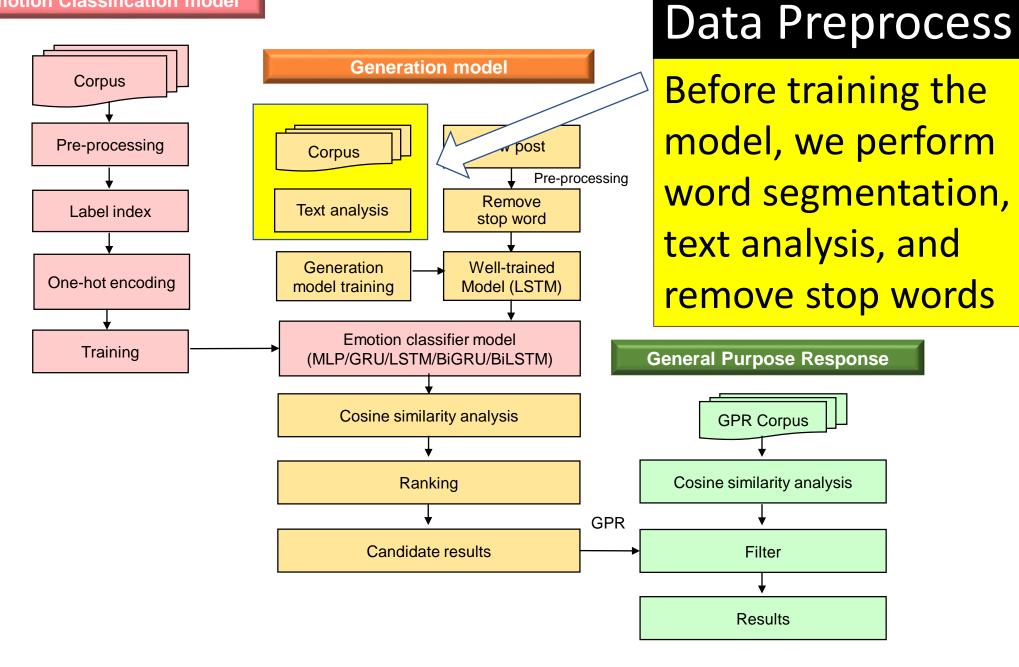
**Generate Short Responses to the Dialogue** 

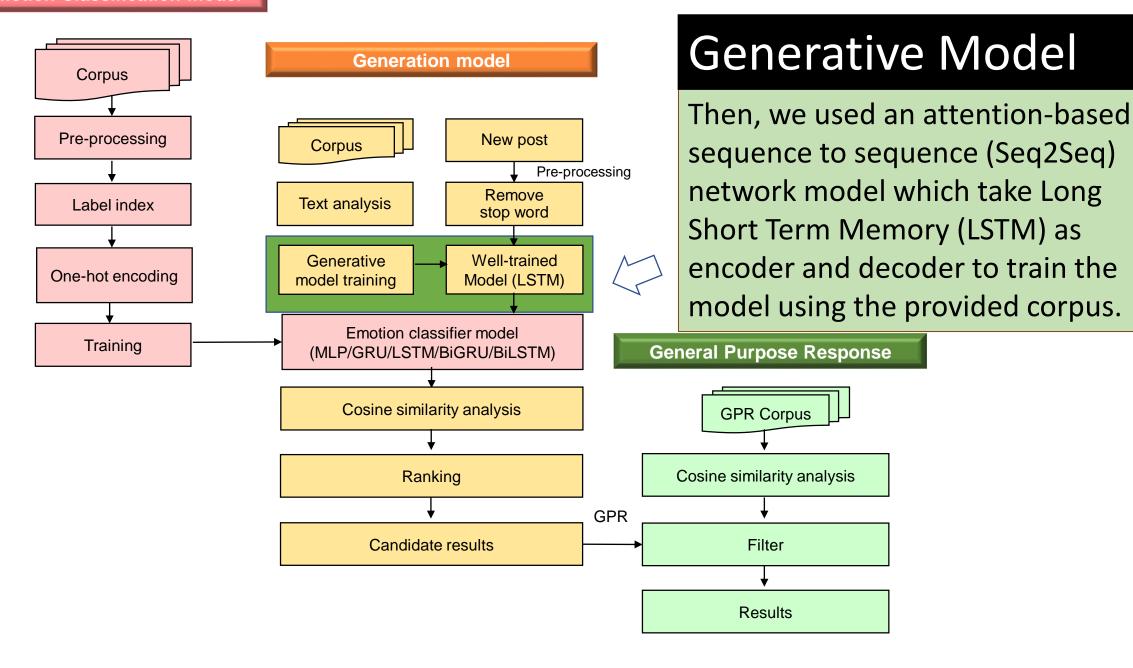
Seq2Seq with attention mechanism

Long Short Term Memory (LSTM) as encoder and decoder



#### **Emotion Classification model**

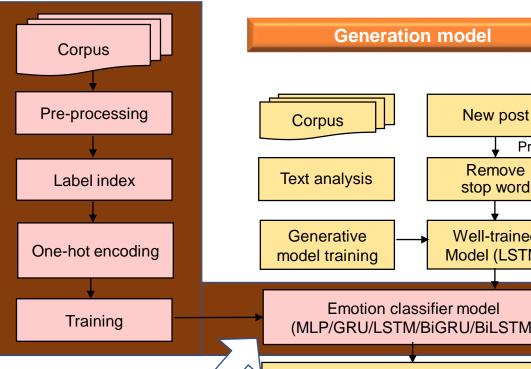




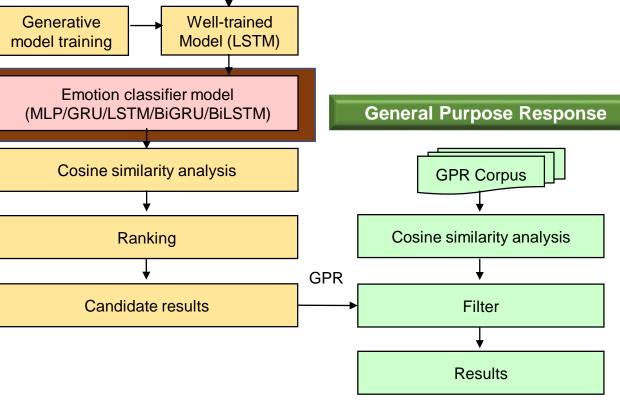
**Emotion Classification model** 

### Emotion

We performed preprocessing, label indexing, one-hot encoding, and training to train emotion classification model



We compared the different methods of MLP/GRU/LSTM/BiGRU/BiLSTM for developing emotion classification.



Pre-processing

### Deep learning approach of Emotion Classification model

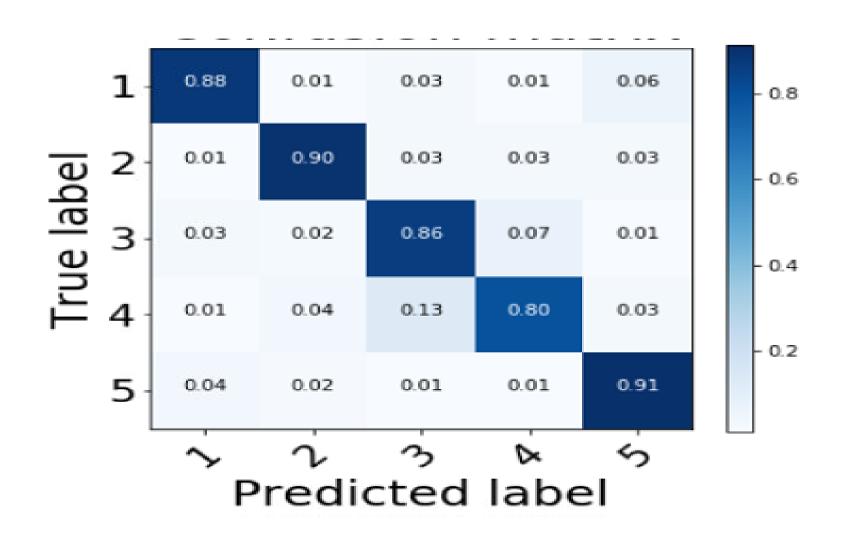
• MLP, GRU, LSTM, BiGRU, and BiLSTM

### **Evaluations of all all deep learning approachs**

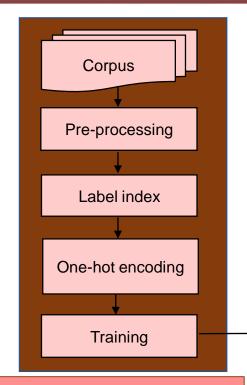
Evaluation Results								
DL model	Batch size	Dropout	Epochs	Accuracy	Loss			
BiGRU	256	0.5	15	0.880	0.333			
BiLSTM	256	0.4	10	0.879	0.335			
LSTM	256	0.1	20	0.879	0.335			
GRU	256	0.4	20	0.872	0.356			
MLP	256	0.4	30	0.843	0.451			

# Confusion matrix for emotion classification

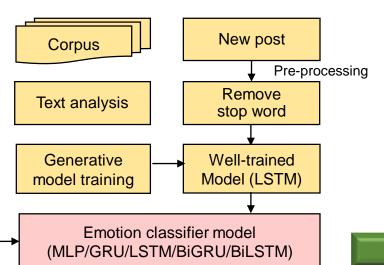
Best Method Bi-GRU



#### **Emotion Classification model**



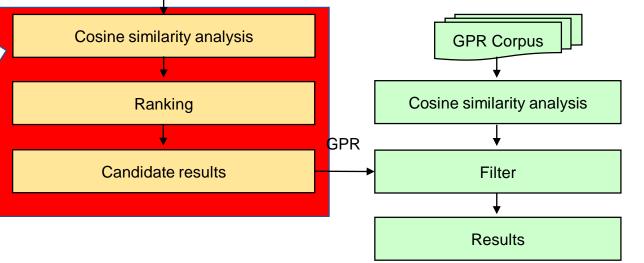
#### Generation model



# Similarity

We computed the cosine similarity between the new post and the generated candidate comments. The candidate comment that with highest cosine similarity with question was treated as the generated comment.

#### **General Purpose Response**



# Self-Evaluation Performance

Use MLP to automatically generate responses

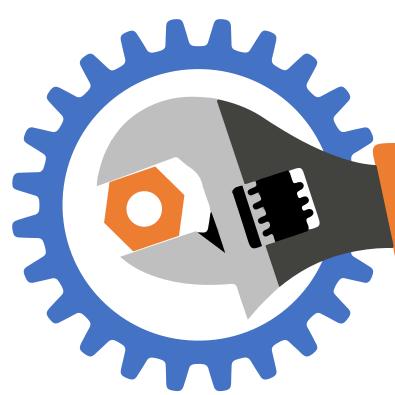
Emotion classification	Label0	Label1	Label2	Total	Overall core	Average score
MLP	873	85	42	200	169	0.169
GRU	855	69	76	1000	221	0.221
BiGRU	860	72	68	1000	208	0.208
LSTM	864	65	71	1000	207	0.207
BiLSTM	857	84	59	1000	202	0.202

# Self-Evaluation Performance

The emotion precision rate was only around 50%

Use MLP to automatically generate responses

Emotion classification	Label0	Label1	Label2	Total	Overall core	Average score
MLP	873	85	42	200	169	0.169
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# General Purpose Response

Generate responses when we do not know how to answer the questions

#### General Purpose Responses **Generation model** Corpus Pre-processing responses were created. New post Corpus Pre-processing Remove Text analysis Label index stop word Well-trained Generative One-hot encoding model training Model (LSTM) **Emotion classifier model Training** (MLP/GRU/LSTM/BiGRU/BiLSTM)

Cosine similarity analysis

Ranking

Candidate results

# we used **General Purpose Response(GPR)** to improve the generative-based response performance. About 1500 general purpose The generated comments will be replaced by the GPR at filter stage if the new post and generated comments received a low relevance score computed by cosine similarity (about 30%). **General Purpose Response GPR Corpus** Cosine similarity analysis **GPR** Filter

Results

# MLP+ General Purpose Responses

Use MLP plus GPR to automatically generate responses

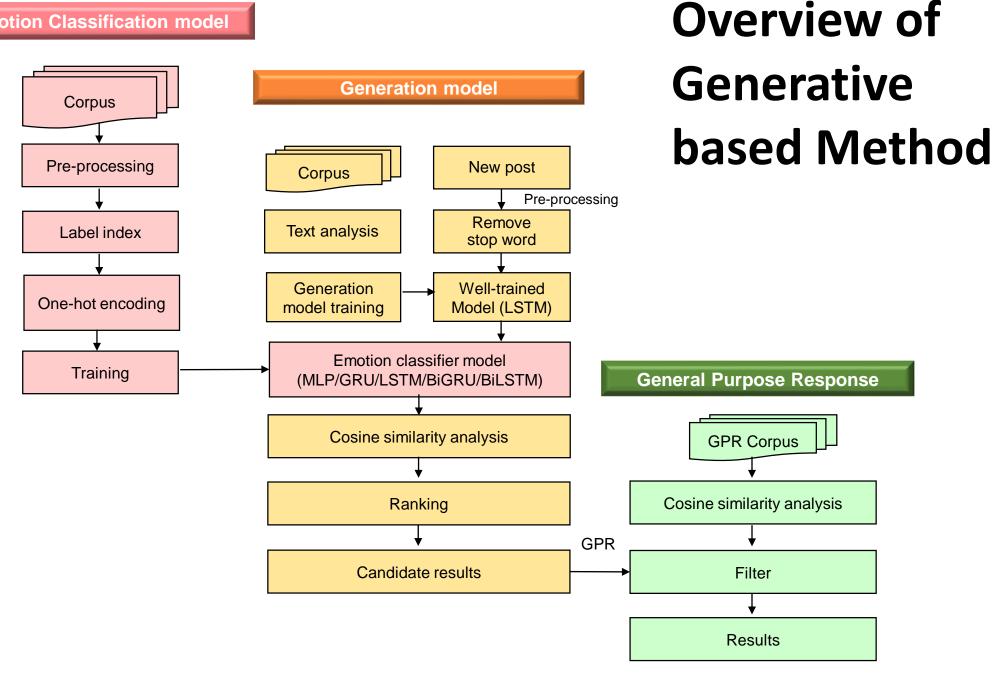
Emotion classificat	tion Label0	Label1	Label2	Total	Overall core	Average score
MLP	808	124	68	1000	260	0.26
GRU	756	77	167	1000	411	0.411
BiGR	U 727	111	162	1000	435	0.435
LSTM	<b>1</b> 749	89	162	1000	413	0.413
BiLSTN	A 753	75	172	1000	419	0.419

### With or Without GPR

Use MLP to automatically generate responses

Emotion classification	With GPR Average score	Without GPR Average score	Difference
MLP	0.26	0.169	+0.091
GRU	0.411	0.221	+0.190
BiGRU	0.435	0.208	+0.227
LSTM	0.413	0.207	+0.216
BiLSTM	0.419	0.202	+0.217

**Emotion Classification model** 



### **Conclusion**

### **Comparison between methods**

- Performance of Retrieval-based model is better than Generative model
- However, use different approach of deep learning in Emotion Classification model will have different kinds of improvement
- Further more, use EGPR can make performance more close to retrieval-based model

### **Evaluation of Emotion Classification model**

• BiGRU > BiLSTM > LSTM > GRU > MLP

### **Future work**

### 1. conversation model

- use seqGAN as deep learning neural network of generative model
- try to add topic layer between encoder and decoder of S2S architecture

### 2. EGPR

take more general condition to expand EGPR dataset

### 3. Emotion Classification model

 Bidirectional Encoder Representation from Transformers (BERT) to improve the performance of emotion classification model